

ARC
25



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
DIVISION FOR BEHAVIORAL HEALTH

Lori A. Weaver
Commissioner

Katja S. Fox
Director

129 PLEASANT STREET, CONCORD, NH 03301
603-271-9544 1-800-852-3345 Ext. 9544
Fax: 603-271-4332 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

April 10, 2024

His Excellency, Governor Christopher T. Sununu
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Authorize the Department of Health and Human Services, Division for Behavioral Health, to enter into a **Sole Source** amendment to an existing contract with Growth Partners, LLC (VC#337620), Lincoln, NE, for the continued provision of technical assistance for the Alcohol and Other Drug Services System, by increasing the price limitation by \$1,130,760 from \$3,379,390 to \$4,510,150 and by extending the completion date from June 30, 2024 to June 30, 2026, effective upon Governor and Council approval. 42% Federal Funds. 58% Other Funds (Governor's Commission).

The original contract was approved by Governor and Council on August 26, 2020, item #13, amended on May 19, 2021, item #19, amended on February 16, 2022, item #35, and most recently amended on June 14, 2023, item #41C.

Funds are available in the following accounts for State Fiscal Years 2024 and 2025, and are anticipated to be available in State Fiscal Year 2026, upon the availability and continued appropriation of funds in the future operating budget, with the authority to adjust budget line items within the price limitation and encumbrances between state fiscal years through the Budget Office, if needed and justified.

See attached fiscal details.

EXPLANATION

This request is **Sole Source** because the Department is seeking to extend the contract beyond the available renewal options, and is implementing the funding actions taken by the Governor's Commission on Alcohol and Other Drugs. On December 15, 2023, the Commission recommended and approved the funding allocation for this Agreement. The Department carries out the administrative functions of the Commission in accordance with RSA 12-J. The Contractor provides expertise in providing technical assistance, training and data analysis tailored to prevention, early intervention, treatment and recovery providers.

The purpose of this request is for the Contractor to continue to provide technical assistance related to youth behavioral health data analytics and dissemination. Additionally, the Contractor will align technical assistance related scope and deliverables for the Global Appraisal of Individual Needs-Short Screener (GAIN-SS).

The Contractor will design and deliver data analytic technical assistance to school administrators, staff, students, Regional Public Health Networks and other relevant stakeholders, which is aimed at improving behavioral health outcomes for youth. The Contractor will continue to provide technical assistance to the Bureau of Drug and Alcohol Services and its providers

across the Alcohol and other Drug (AOD) Continuum of Care System which consists of prevention, early intervention, treatment and recovery. Technical assistance is focused on improving the AOD Continuum of Care System and enhancing workforce development of AOD providers to gain knowledge and skills consistent with advances in the science and practices related to substance misuse and other behavioral health issues.

The Department will continue monitoring services through the review of monthly reports to ensure contract deliverables and performance measures are being met.

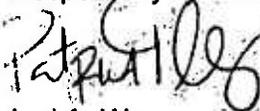
Should the Governor and Council not authorize this request, the Department will be limited in its ability to ensure that the Bureau of Drug and Alcohol Services and its providers across the AOD Continuum of Care System receive technical assistance regarding youth behavioral health data analytics; aimed at improving behavioral health outcomes for youth.

Area served: Statewide.

Source of Federal Funds: Assistance Listing Number #93.959, FAIN #'s T1084659, T1085821, T1087053 and T1083509; and Assistance Listing Number #93.967, FAIN # NE110E000077.

In the event that the Federal or Other Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



dfi
Lori A. Weaver
Commissioner

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
FISCAL DETAILS SHEET**

**05-95-92-920510-33820000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
DIVISION FOR BEHAVIORAL HEALTH, BUREAU OF DRUG AND ALCOHOL, GOVERNOR COMMISSION
100% Other Funds (Governor Commission Funds)**

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2021 | 102-500731 | Contracts for Prog Svc | 92058501 | \$450,400.00 | \$0.00 | \$450,400.00 |
| 2022 | 102-500731 | Contracts for Prog Svc | 92058501 | \$325,400.00 | \$0.00 | \$325,400.00 |
| 2023 | 102-500731 | Contracts for Prog Svc | 92058501 | \$325,380.00 | \$0.00 | \$325,380.00 |
| 2024 | 102-500731 | Contracts for Prog Svc | 92058501 | \$725,380.00 | \$0.00 | \$725,380.00 |
| 2025 | 102-500731 | Contracts for Prog Svc | 92058501 | \$0.00 | \$325,380.00 | \$325,380.00 |
| 2026 | 102-500731 | Contracts for Prog Svc | 92058501 | \$0.00 | \$325,380.00 | \$325,380.00 |
| | | Sub Total | | \$1,826,560.00 | \$650,760.00 | \$2,477,320.00 |

**05-95-92-920510-33840000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
DIVISION FOR BEHAVIORAL HEALTH, BUREAU OF DRUG AND ALCOHOL, CLINICAL SERVICES
100% Federal Funds**

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2021 | 102-500731 | Contracts for Prog Svc | 92057501 | \$35,683.00 | \$0.00 | \$35,683.00 |
| 2021 | 102-500731 | Contracts for Prog Svc | 92057502 | \$125,000.00 | \$0.00 | \$125,000.00 |
| 2022 | 102-500731 | Contracts for Prog Svc | 92057501 | \$35,682.00 | \$0.00 | \$35,682.00 |
| 2022 | 102-500731 | Contracts for Prog Svc | 92057502 | \$233,185.00 | \$0.00 | \$233,185.00 |
| 2023-Q1 | 102-500731 | Contracts for Prog Svc | 92057502 | \$54,065.00 | \$0.00 | \$54,065.00 |
| 2024 | 102-500731 | Contracts for Prog Svc | 92057502 | \$100,000.00 | \$0.00 | \$100,000.00 |
| 2025 | 102-500731 | Contracts for Prog Svc | 92056506 | \$0.00 | \$200,000.00 | \$200,000.00 |
| 2026 | 102-500731 | Contracts for Prog Svc | 92056506 | \$0.00 | \$200,000.00 | \$200,000.00 |
| | | Sub Total | | \$583,615.00 | \$400,000.00 | \$983,615.00 |

**05-95-92-920510-19810000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
DIVISION FOR BEHAVIORAL HEALTH, BUREAU OF DRUG AND ALCOHOL, SABG ADDITIONAL
100% Federal Funds**

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2022 | 102-500731 | Contracts for Prog Svc | 92055501 | \$307,120.00 | \$0.00 | \$307,120.00 |
| 2023 | 102-500731 | Contracts for Prog Svc | 92055501 | \$492,305.00 | \$0.00 | \$492,305.00 |
| | | Sub Total | | \$799,425.00 | \$0.00 | \$799,425.00 |

**05-95-92-920510-33800000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
BEHAVIORAL HEALTH DIV, BUREAU OF DRUG AND ALCOHOL, PREVENTION SVS
97% Federal Funds, 3% General Funds**

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2022 | 102500731 | Contracts for Prog Svc | 92057502 | \$20,000.00 | \$0.00 | \$20,000.00 |
| | | Sub Total | | \$20,000.00 | \$0.00 | \$20,000.00 |

**05-95-94-940010-24650000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
NEW HAMPSHIRE HOSPITAL, NEW HAMPSHIRE HOSPITAL, ARPA DHHS FISCAL RECOVERY FUNDS
100% Other Funds**

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2022 | 102-500731 | Contracts for Prog Svc | 00FRF602PH9510A | \$57,500.00 | \$0.00 | \$57,500.00 |
| 2023 | 102-500731 | Contracts for Prog Svc | 00FRF602PH9510A | \$92,290.00 | \$0.00 | \$92,290.00 |
| | | Sub Total | | \$149,790.00 | \$0.00 | \$149,790.00 |

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
FISCAL DETAILS SHEET**

**05-95-90-900510-16280000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
DIVISION OF PUBLIC HEALTH, BUREAU OF INFORMATICS, STRENGTHEN PH INFRASTRUCTURE**

100% Federal Funds

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|----------------------|----------------|---------------------|----------------|
| 2024 | 102-500731 | Contracts for Prog Svc | 90162802 | \$0.00 | \$11,500.00 | \$11,500.00 |
| 2025 | 102-500731 | Contracts for Prog Svc | 90162802 | \$0.00 | \$68,500.00 | \$68,500.00 |
| 2026 | 102-500731 | Contracts for Prog Svc | 90162802 | \$0.00 | \$0.00 | \$0.00 |
| | | Sub Total | | \$0.00 | \$80,000.00 | \$80,000.00 |
| | | | Overall Total | \$3,379,390.00 | \$1,130,760.00 | \$4,510,150.00 |

**State of New Hampshire
Department of Health and Human Services
Amendment #4**

This Amendment to the Technical Assistance for the Alcohol and Other Drug Service System contract is by and between the State of New Hampshire, Department of Health and Human Services ("State" or "Department") and Growth Partners, LLC ("the Contractor").

WHEREAS, pursuant to an agreement (the "Contract") approved by the Governor and Executive Council on August 26, 2020 (Item #13), as amended on May 19, 2021 (Item #19), as amended on February 16, 2022 (Item #35), and as most recently amended on June 14, 2023 (Item #41C), the Contractor agreed to perform certain services based upon the terms and conditions specified in the Contract as amended and in consideration of certain sums specified; and

WHEREAS, pursuant to Form P-37, General Provisions, the Contract may be amended upon written agreement of the parties and approval from the Governor and Executive Council; and

NOW THEREFORE, in consideration of the foregoing and the mutual covenants and conditions contained in the Contract and set forth herein, the parties hereto agree to amend as follows:

1. Form P-37 General Provisions, Block 1.7, Completion Date, to read:
June 30, 2026
2. Form P-37, General Provisions, Block 1.8, Price Limitation, to read:
\$4,510,150
3. Modify Exhibit B, Amendment #2, Scope of Services, Section 1.1.19 to read:
1.1.19. Website and Social Media
 - 1.1.19.1. The Contractor must work with the Department's Communications Bureau to ensure that any social media or website designed, created, or managed on behalf of the Department meets all Department and NH DoIT website and social media requirements and policies.
 - 1.1.19.2. The Contractor agrees Protected Health Information (PHI), Personally Identifiable Information (PII), or other Confidential Information solicited either by social media or the website that is maintained, stored or captured must not be further disclosed unless expressly provided in the Contract. The solicitation or disclosure of PHI, PII, or other Confidential Information is subject to the terms of the Department's Information Security Requirements Exhibit, the Business Associate Agreement signed by the parties, and all applicable Department and federal law, rules, and agreements. Unless specifically required by the Agreement and unless clear notice is provided to users of the website or social media, the Contractor agrees that site visitation must not be tracked, disclosed or used for website or social media analytics or marketing.
 - 1.1.19.3. State of New Hampshire's Website Copyright
 - 1.1.19.3.1. All right, title and interest in the State WWW site, including copyright to all Data and information, shall remain with the State of New Hampshire. The State of New Hampshire shall also retain all right, title and interest in any user interfaces and computer instructions embedded within the WWW pages. All WWW pages and any other Data or information shall, where applicable, display the State of New Hampshire's copyright.

4. Modify Exhibit B, Amendment #2, Scope of Services, Section 1.4 to read:

1.4. Global Appraisal of Individual Needs-Short Screener (GAIN-SS) TA (Through ^{DS} March 14,

2024)

- 1.4.1. The Contractor shall collaborate with the Department to develop and implement training and TA on the use and application of the Global Appraisal of Individual Needs-Short Screener (GAIN-SS) for Student Assistance Program (SAP) sub-recipients.
- 1.4.2. The Contractor shall develop and provide virtual training modules for SAP counselors to introduce the components of the GAIN-SS Implementation Guide and its application. The Contractor shall:
 - 1.4.2.1. Provide up to two (2) trainings using the GAIN-SS Implementation Guide; and
 - 1.4.2.2. Provide on-going individual training and TA, as needed, including for school administration.
- 1.4.3. The Contractor shall develop and provide virtual training modules for SAP counselors to introduce the components of the GAIN-SS Implementation Guide and its application. The Contractor shall:
 - 1.4.3.1. Provide up to two (2) trainings using the GAIN-SS Implementation Guide; and
 - 1.4.3.2. Provide on-going individual training and TA, as needed, including for school administration.
- 1.4.4. The Contractor shall ensure the virtual training modules are available through and stored on the Contractor's website.
- 1.4.5. The Contractor shall develop recommendations on processes and protocols for SAP counselors to obtain certification to administer the GAIN-SS.
- 1.4.6. The Contractor shall submit the recommendations to the Department for approval of a final GAIN-SS Administrator certification process and protocol.
- 1.4.7. The Contractor shall develop evidence-informed information sheets and infographics for school administrators. The Contractor shall ensure the information sheets and info-graphics include, but are not limited to:
 - 1.4.7.1. User guidance on understanding the use and utility of screening.
 - 1.4.7.2. Guidance on confidentiality protocols.
 - 1.4.7.3. Assurance of the protection of student rights.
- 1.4.8. The Contractor shall provide TA, as directed by the Department, on:
 - 1.4.8.1. All components of the GAIN-SS Implementation Guide; and
 - 1.4.8.2. Use and utility of the GAIN-SS instrument.

5. Modify Exhibit B, Amendment #2, Scope of Services, Section 1.5. to read:

1.5. Youth Behavioral Health Data Analytics and Dissemination

- 1.5.1. The Contractor shall collaborate with the Department to access data that supports the design and delivery of data analytic technical assistance aimed at improving

DS
JB

behavioral health outcomes for youth, including, but not limited to:

- 1.5.1.1. Youth Risk Behavior Surveillance System (YRBSS).
- 1.5.1.2. National Survey on Drug Use and Health (NSDUH).
- 1.5.1.3. Other data sources as identified and requested by the Department.
- 1.5.2. The Contractor shall ensure the access and use of data is in accordance with all applicable data sharing agreements and state and federal confidentiality requirements, through the expiration date of this agreement.
- 1.5.3. The Contractor shall develop a process for data analytic technical assistance, including, but not limited to:
 - 1.5.3.1. Receipt and approval of requests.
 - 1.5.3.2. Development of a technical assistance approach to provide based on each request.
 - 1.5.3.3. Provision of technical assistance.
 - 1.5.3.4. Evaluation of the quality and efficacy of each instance of technical assistance provided.
- 1.5.4. The Contractor shall design data analytic technical assistance approaches based on each request and shall submit the proposed approach to the Department, for approval, prior to the provision of technical assistance.
- 1.5.5. The Contractor shall provide data analytic technical assistance to school administrators, staff, students and Regional Public Health Networks to improve behavioral health outcomes. The Contractor shall ensure technical assistance includes, but is not limited to:
 - 1.5.5.1. Interpreting data from identified sources, such as state and national data sources such as the National Survey on Drug Use.
 - 1.5.5.2. Creating visual representations of data to create data stories that clearly communicate key insights and highlight trends and outliers to drive positive change, including but not limited to:
 - 1.5.5.2.1. Infographics.
 - 1.5.5.2.2. Fact sheets.
 - 1.5.5.2.3. Snap shots.
- 1.5.6. The Contractor shall work with the Department to post products such as data infographics resulting from this contract on the Department's website and social media outlets, and the Contractor's YRBS website, and make products available to school districts and Regional Public Health networks for education and engagement purposes.
- 1.5.7. The Contractor shall market its data analytic support technical assistance to school districts and other stakeholders as identified and requested by the Department.

6. Modify Exhibit B, Amendment #2, Scope of Services, by adding Section 1.7. to read:

1.7. Background Checks

- 1.7.1. Prior to permitting any individual to provide services under this Agreement, the Contractor must ensure that said individual has undergone:

- 1.7.1.1. A criminal background check, at the Contractor's expense, and has no convictions for crimes that represent evidence of behavior that could endanger individuals served under this Agreement;
- 1.7.1.2. A name search of the Department's Bureau of Elderly and Adult Services (BEAS) State Registry, pursuant to RSA 161-F:49, with results indicating no evidence of behavior that could endanger individuals served under this Agreement; and
- 1.7.1.3. A name search of the Department's Division for Children, Youth and Families (DCYF) Central Registry pursuant to RSA 169-C:35, with results indicating no evidence of behavior that could endanger individuals served under this Agreement.

7. Modify Exhibit B, Amendment #2, Scope of Services, by adding Section 1.8. to read:

1.8. Contract End-of-Life Transition Services

1.8.1. General Requirements

- 1.8.1.1. If applicable, upon termination or expiration of the Agreement the parties agree to cooperate in good faith to effectuate a smooth secure transition of the Services from the Contractor to the Department and, if applicable, the Contractor engaged by the Department to assume the Services previously performed by the Contractor for this section the new Contractor shall be known as "Recipient"). Ninety (90) days prior to the end-of the contract or unless otherwise specified by the Department, the Contractor must begin working with the Department and if applicable, the new Recipient to develop a Data Transition Plan (DTP). The Department shall provide the DTP template to the Contractor.
- 1.8.1.2. The Contractor must use reasonable efforts to assist the Recipient, in connection with the transition from the performance of Services by the Contractor and its End Users to the performance of such Services. This may include assistance with the secure transfer of records (electronic and hard copy), transition of historical data (electronic and hard copy), the transition of any such Service from the hardware, software, network and telecommunications equipment and internet-related information technology infrastructure ("Internal IT Systems") of Contractor to the Internal IT Systems of the Recipient and cooperation with and assistance to any third-party consultants engaged by Recipient in connection with the Transition Services.
- 1.8.1.3. If a system, database, hardware, software, and/or software licenses (Tools) was purchased or created to manage, track, and/or store Department Data in relationship to this contract said Tools will be inventoried and returned to the Department, along with the inventory document, once transition of Department Data is complete.
- 1.8.1.4. The internal planning of the Transition Services by the Contractor and its End Users shall be provided to the Department and if applicable the Recipient in a timely manner. Any such Transition Services shall be deemed to be Services for purposes of this Agreement.
- 1.8.1.5. Should the data Transition extend beyond the end of the Agreement, the Contractor agrees that the Information Security Requirements, and if applicable, the Department's Business Associate Agreement terms and conditions remain in effect until the Data Transition is accepted as complete

DS
JB

by the Department.

1.8.1.6. In the event where the Contractor has comingled Department Data and the destruction or Transition of said data is not feasible, the Department and Contractor will jointly evaluate regulatory and professional standards for retention requirements prior to destruction, refer to the terms and conditions of the Department's DHHS Information Security Requirements Exhibit.

1.8.2. Completion of Transition Services

1.8.2.1. Each service or Transition phase shall be deemed completed (and the Transition process finalized) at the end of 15 business days after the product, resulting from the Service, is delivered to the Department and/or the Recipient in accordance with the mutually agreed upon Transition plan, unless within said 15 business day term the Contractor notifies the Department of an issue requiring additional time to complete said product.

1.8.2.2. Once all parties agree the data has been migrated the Contractor will have 30 days to destroy the data per the terms and conditions of the Department's Information Security Requirements Exhibit.

1.8.3. Disagreement over Transition Services Results

1.8.3.1. In the event the Department is not satisfied with the results of the Transition Service, the Department shall notify the Contractor, in writing, stating the reason for the lack of satisfaction within 15 business days of the final product or at any time during the data Transition process. The Parties shall discuss the actions to be taken to resolve the disagreement or issue. If an agreement is not reached, at any time the Department shall be entitled to initiate actions in accordance with the Agreement.

8. Modify Exhibit C Payment Terms, Section 1 to read:

1. This Agreement is funded by:

1.1. 40.93 Federal funds:

1.1.1. 52.36% Federal Funds from HHS: Substance Abuse Mental Health Services Administration (SAMHSA), Substance Abuse Prevention & Treatment Block Grant - Assistance Listing Number (ALN) #93.959, FAIN #8TI084659 dated 2/10/2022, FAIN #TI085821 dated 2/15/2023 and FAIN # TI087053 dated 2/20/24.

1.1.2. 43.31% Federal Funds from HHS: Substance Abuse Mental Health Services Administration (SAMHSA), Substance Abuse Prevention & Treatment Block Grant COVID 19 - Assistance Listing Number (ALN) #93.959, FAIN #8T1083509 dated 3/11/2021.

1.1.3. 4.33% Federal Funds from DHHS: Centers for Disease Control and Prevention (CDC), Strengthening NH Public Health Infrastructure Workforce, and Data Systems - ALN #93.967, FAIN #NE11OE000077 dated 11/29/2022.

1.2. 58.24% Other Funds (Governor's Commission on Alcohol and Other Drugs).

1.3. .83% General Funds

9. Modify Exhibit C Payment Terms, Section 3 to read:

3. Payment shall be on a cost reimbursement basis for actual expenditures incurred in the fulfillment of this Agreement, and shall be in accordance with the approved line item, as specified in Exhibits C-1, Budget through Exhibit C-14 Budget, Technical Assistance.

10. Modify Exhibit C-12, Amendment 3, SFY. 2024 Budget, Technical Assistance, by replacing it in its

entirety with Exhibit C-12, Amendment 4, SFY 2024 Budget, which is attached hereto and incorporated by reference herein.

11. Add Exhibit C-13, Amendment 4, SFY 2025 Budget, which is attached hereto and incorporated by reference herein.
12. Add Exhibit C-14, Amendment 4, SFY 2026 Budget, which is attached hereto and incorporated by reference herein.

DS
JB

All terms and conditions of the Contract and prior amendments not modified by this Amendment remain in full force and effect. This Amendment shall be effective upon Governor and Council approval.

IN WITNESS WHEREOF, the parties have set their hands as of the date written below,

State of New Hampshire
Department of Health and Human Services

4/10/2024

Date

DocuSigned by:

Katja S. Fox

ED9D45D8-1088-442

Name: Katja S. Fox

Title: Director

Growth Partners, LLC

DocuSigned by:

Jeff Barr

062804FC02-980405

Name: Jeff Barr

Title: COO

4/10/2024

Date

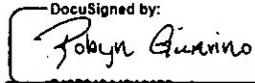
The preceding Amendment, having been reviewed by this office, is approved as to form, substance, and execution.

OFFICE OF THE ATTORNEY GENERAL

4/10/2024

Date

DocuSigned by:



Name: Robyn Guarino

Title: Attorney

I hereby certify that the foregoing Amendment was approved by the Governor and Executive Council of the State of New Hampshire at the Meeting on: _____ (date of meeting)

OFFICE OF THE SECRETARY OF STATE

Date

Name:

Title:

Exhibit C-12, Amendment 4, SFY2024 Budget
Technical Assistance

New Hampshire Department of Health and Human Services

Contractor Name: Growth Partners, LLC

Project Title: Technical Assistance for the Alcohol and Other Drug (AOD) Service System

Budget Period: G&C Approval through June 30, 2024

| Line Item | Total Program Cost | | | Contractor Share / Match | | | Funded by DHHS contract share | | |
|---|----------------------|---------------------|----------------------|--------------------------|-------------|-------------|-------------------------------|---------------------|----------------------|
| | Direct | Indirect | Total | Direct | Indirect | Total | Direct | Indirect | Total |
| 1. Total Salary/Wages | \$ 485,356.00 | \$ 48,650.00 | \$ 534,006.00 | \$ - | \$ - | \$ - | \$ 485,356.00 | \$ 48,650.00 | \$ 534,006.00 |
| 2. Employee Benefits | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 3. Consultants | \$ 186,000.00 | \$ 18,600.00 | \$ 204,600.00 | \$ - | \$ - | \$ - | \$ 186,000.00 | \$ 18,600.00 | \$ 204,600.00 |
| 4. Equipment: | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Rental | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Repair and Maintenance | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Purchase/Depreciation | \$ 2,000.00 | \$ 200.00 | \$ 2,200.00 | \$ - | \$ - | \$ - | \$ 2,000.00 | \$ 200.00 | \$ 2,200.00 |
| 5. Supplies: | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Educational | \$ 1,000.00 | \$ 100.00 | \$ 1,100.00 | \$ - | \$ - | \$ - | \$ 1,000.00 | \$ 100.00 | \$ 1,100.00 |
| Lab | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Pharmacy | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Medical | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Office | \$ 2,500.00 | \$ 250.00 | \$ 2,750.00 | \$ - | \$ - | \$ - | \$ 2,500.00 | \$ 250.00 | \$ 2,750.00 |
| 6. Travel | \$ 8,500.00 | \$ 850.00 | \$ 9,350.00 | \$ - | \$ - | \$ - | \$ 8,500.00 | \$ 850.00 | \$ 9,350.00 |
| 7. Occupancy | \$ 12,000.00 | \$ 1,200.00 | \$ 13,200.00 | \$ - | \$ - | \$ - | \$ 12,000.00 | \$ 1,200.00 | \$ 13,200.00 |
| 8. Current Expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Telephone | \$ 2,160.00 | \$ 216.00 | \$ 2,376.00 | \$ - | \$ - | \$ - | \$ 2,160.00 | \$ 216.00 | \$ 2,376.00 |
| Postage | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Subscriptions | \$ 2,000.00 | \$ 200.00 | \$ 2,200.00 | \$ - | \$ - | \$ - | \$ 2,000.00 | \$ 200.00 | \$ 2,200.00 |
| Audit and Legal | \$ 3,000.00 | \$ 300.00 | \$ 3,300.00 | \$ - | \$ - | \$ - | \$ 3,000.00 | \$ 300.00 | \$ 3,300.00 |
| Insurance | \$ 1,480.00 | \$ 148.00 | \$ 1,628.00 | \$ - | \$ - | \$ - | \$ 1,480.00 | \$ 148.00 | \$ 1,628.00 |
| Board Expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 9. Software | \$ 2,500.00 | \$ 250.00 | \$ 2,750.00 | \$ - | \$ - | \$ - | \$ 2,500.00 | \$ 250.00 | \$ 2,750.00 |
| 10. Marketing/Communications | \$ 2,200.00 | \$ 220.00 | \$ 2,420.00 | \$ - | \$ - | \$ - | \$ 2,200.00 | \$ 220.00 | \$ 2,420.00 |
| 11. Staff Education and Training | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 12. Subcontracts/Agreements | \$ 50,000.00 | \$ 5,000.00 | \$ 55,000.00 | \$ - | \$ - | \$ - | \$ 50,000.00 | \$ 5,000.00 | \$ 55,000.00 |
| 13. Other (specific details mandatory): | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| SBIRT Screening | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Evaluation Contract: | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Outreach/Education/Training | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Stipend/Meeting expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Cultural/Linguistic Support | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| TOTAL | \$ 760,696.00 | \$ 76,184.00 | \$ 836,880.00 | \$ - | \$ - | \$ - | \$ 760,696.00 | \$ 76,184.00 | \$ 836,880.00 |

Indirect As A Percent of Direct 10.0%

Exhibit C-13, Amendment 4, SFY2025 Budget
Technical Assistance

New Hampshire Department of Health and Human Services

Contractor Name: Growth Partners, LLC

Project Title: Technical Assistance for the Alcohol and Other Drug (AOD) Service System

Budget Period: July 1, 2024 through June 30, 2025

| Line Item | Total Program Cost | | | Contractor Share / Match | | | Funded by DHMS contract share | | |
|---|--------------------|--------------|---------------|--------------------------|----------|-------|-------------------------------|--------------|---------------|
| | Direct | Indirect | Total | Direct | Indirect | Total | Direct | Indirect | Total |
| 1. Total Salary/Wages | \$ 415,716.00 | \$ 41,571.60 | \$ 457,287.60 | \$ - | \$ - | \$ - | \$ 415,716.00 | \$ 41,571.60 | \$ 457,287.60 |
| 2. Employee Benefits | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 3. Consultants | \$ 83,143.20 | \$ 8,314.32 | \$ 91,457.52 | \$ - | \$ - | \$ - | \$ 83,143.20 | \$ 8,314.32 | \$ 91,457.52 |
| 4. Equipment: | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Rental | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Repair and Maintenance | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Purchase/Depreciation | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 5. Supplies: | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Educational | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Lab | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Pharmacy | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Medical | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Office | \$ 2,969.40 | \$ 296.94 | \$ 3,266.34 | \$ - | \$ - | \$ - | \$ 2,969.40 | \$ 296.94 | \$ 3,266.34 |
| 6. Travel | \$ 5,938.80 | \$ 593.88 | \$ 6,532.68 | \$ - | \$ - | \$ - | \$ 5,938.80 | \$ 593.88 | \$ 6,532.68 |
| 7. Occupancy | \$ 5,938.80 | \$ 593.88 | \$ 6,532.68 | \$ - | \$ - | \$ - | \$ 5,938.80 | \$ 593.88 | \$ 6,532.68 |
| 8. Current Expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Telephone | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Postage | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Subscriptions | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Audit and Legal | \$ 5,938.80 | \$ 593.88 | \$ 6,532.68 | \$ - | \$ - | \$ - | \$ 5,938.80 | \$ 593.88 | \$ 6,532.68 |
| Insurance | \$ 2,969.40 | \$ 296.94 | \$ 3,266.34 | \$ - | \$ - | \$ - | \$ 2,969.40 | \$ 296.94 | \$ 3,266.34 |
| Board Expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 9. Software | \$ 2,969.40 | \$ 296.94 | \$ 3,266.34 | \$ - | \$ - | \$ - | \$ 2,969.40 | \$ 296.94 | \$ 3,266.34 |
| 10. Marketing/Communications | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 11. Staff Education and Training | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 12. Subcontracts/Agreements | \$ 14,253.12 | \$ 1,484.70 | \$ 15,737.82 | \$ - | \$ - | \$ - | \$ 14,253.12 | \$ 1,484.70 | \$ 15,737.82 |
| 13. Other (specific details mandatory): | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| SBIRT Screening | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Evaluation Contract: | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Outreach/Education/Training | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Stipend/Meeting expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Cultural/Linguistic Support | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| TOTAL | \$ 539,836.92 | \$ 54,043.08 | \$ 593,880.00 | \$ - | \$ - | \$ - | \$ 539,836.92 | \$ 54,043.08 | \$ 593,880.00 |

Indirect As A Percent of Direct

10.0%

Exhibit C-14, Amendment 4, SFY2026 Budget
Technical Assistance

New Hampshire Department of Health and Human Services
COMPLETE ONE BUDGET FORM FOR EACH BUDGET PERIOD

Contractor Name: Growth Partners, LLC

Project Title: Technical Assistance for the Alcohol and Other Drug (AOD) Service System

Budget Period: July 1, 2025 through June 30, 2026.

| Line Item | Total Program Cost | | | Contractor Share / Match | | | Funded by DHHS contract share | | |
|---|----------------------|---------------------|----------------------|--------------------------|-------------|-------------|-------------------------------|---------------------|----------------------|
| | Direct | Indirect | Total | Direct | Indirect | Total | Direct | Indirect | Total |
| 1. Total Salary/Wages | \$ 364,124.74 | \$ 36,412.48 | \$ 400,537.22 | \$ - | \$ - | \$ - | \$ 364,124.74 | \$ 36,412.48 | \$ 400,537.22 |
| 2. Employee Benefits | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 3. Consultants | \$ 72,824.95 | \$ 7,282.50 | \$ 80,107.45 | \$ - | \$ - | \$ - | \$ 72,824.95 | \$ 7,282.50 | \$ 80,107.45 |
| 4. Equipment: | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Rental | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Repair and Maintenance | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Purchase/Depreciation | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 5. Supplies: | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Educational | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Lab | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Pharmacy | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Medical | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Office | \$ 2,600.89 | \$ 260.09 | \$ 2,860.98 | \$ - | \$ - | \$ - | \$ 2,600.89 | \$ 260.09 | \$ 2,860.98 |
| 6. Travel | \$ 5,201.78 | \$ 520.18 | \$ 5,721.96 | \$ - | \$ - | \$ - | \$ 5,201.78 | \$ 520.18 | \$ 5,721.96 |
| 7. Occupancy | \$ 5,201.78 | \$ 520.18 | \$ 5,721.96 | \$ - | \$ - | \$ - | \$ 5,201.78 | \$ 520.18 | \$ 5,721.96 |
| 8. Current Expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Telephone | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Postage | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Subscriptions | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Audit and Legal | \$ 5,201.78 | \$ 520.18 | \$ 5,721.96 | \$ - | \$ - | \$ - | \$ 5,201.78 | \$ 520.18 | \$ 5,721.96 |
| Insurance | \$ 2,600.89 | \$ 260.09 | \$ 2,860.98 | \$ - | \$ - | \$ - | \$ 2,600.89 | \$ 260.09 | \$ 2,860.98 |
| Board Expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 9. Software | \$ 2,600.89 | \$ 260.09 | \$ 2,860.98 | \$ - | \$ - | \$ - | \$ 2,600.89 | \$ 260.09 | \$ 2,860.98 |
| 10. Marketing/Communications | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 11. Staff Education and Training | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 12. Subcontracts/Agreements | \$ 17,212.70 | \$ 1,773.81 | \$ 18,986.51 | \$ - | \$ - | \$ - | \$ 17,212.70 | \$ 1,773.81 | \$ 18,986.51 |
| 13. Other (specific details mandatory): | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| SBIRT Screening | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Evaluation Contract: | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Outreach/Education/Training | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Stipend/Meeting expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Cultural/Linguistic Support | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| TOTAL: | \$ 477,570.40 | \$ 47,809.60 | \$ 525,380.00 | \$ - | \$ - | \$ - | \$ 477,570.40 | \$ 47,809.60 | \$ 525,380.00 |

Indirect As A Percent of Direct

10.0%

State of New Hampshire

Department of State

CERTIFICATE

I, David M. Scanlan, Secretary of State of the State of New Hampshire, do hereby certify that GROWTH PARTNERS, LLC is a Nebraska Limited Liability Company registered to transact business in New Hampshire on July 02, 2020. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 845612

Certificate Number: 0006658120



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 3rd day of April A.D. 2024.

A handwritten signature in black ink, appearing to read "David M. Scanlan".

David M. Scanlan
Secretary of State

CERTIFICATE OF AUTHORITY

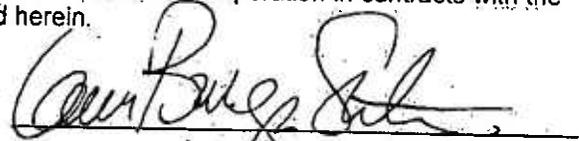
I, Laurie Barger Sutter, hereby certify that:

1. I am the Chief Executive Officer of Growth Partners, LLC; and
2. The following is a true copy of a vote taken at a meeting of the Directors duly called and held on April 3, 2024, at which a quorum of the Directors were present and voting.

VOTED: That Jeff Barr, Chief Operating Officer is duly authorized on behalf of Growth Partners, LLC to enter into contracts or agreements with the State of New Hampshire and any of its agencies or departments and further is authorized to execute any and all documents, agreements and other instruments, and any amendments, revisions, or modifications thereto, which may in his/her judgment be desirable or necessary to effect the purpose of this vote.

3. I hereby certify that said vote has not been amended or repealed and remains in full force and effect as of the date of the contract/contract amendment to which this certificate is attached. This authority was **valid thirty (30) days prior to and remains valid for thirty (30) days** from the date of this Certificate of Authority. I further certify that it is understood that the State of New Hampshire will rely on this certificate as evidence that the person(s) listed above currently occupy the position(s) indicated and that they have full authority to bind the corporation. To the extent that there are any limits on the authority of any listed individual to bind the corporation in contracts with the State of New Hampshire, all such limitations are expressly stated herein.

Dated: April 3, 2024



Signature of Elected Officer
Name: Laurie Barger Sutter
Title: Chief Executive Officer



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

4/3/2024

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

| PRODUCER Williams Insurance, Inc. 2127A Winthrop Ave Lincoln NE 68502 | CONTACT NAME: PHONE: (A/C No. Ext): 402-802-9968 FAX (A/C. No): E-MAIL: ADDRESS: austin@williams.insure | | | | | | | | | | | | | | |
|---|--|-------------------------------|--------|---|-------|--|-------|---------------------------------|-------|------------|--|------------|--|------------|--|
| INSURED Growth Partners, LLC Laurie Sutter 1900 B St. Lincoln NE 68502 | <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">INSURER(S) AFFORDING COVERAGE</th> <th style="text-align: left;">NAIC #</th> </tr> </thead> <tbody> <tr> <td>INSURER A: Travelers Casualty Ins Co of America</td> <td>19046</td> </tr> <tr> <td>INSURER B: The Travelers Property Casualty Ins Co of America</td> <td>25674</td> </tr> <tr> <td>INSURER C: Standard Fire Ins Co</td> <td>19070</td> </tr> <tr> <td>INSURER D:</td> <td></td> </tr> <tr> <td>INSURER E:</td> <td></td> </tr> <tr> <td>INSURER F:</td> <td></td> </tr> </tbody> </table> | INSURER(S) AFFORDING COVERAGE | NAIC # | INSURER A: Travelers Casualty Ins Co of America | 19046 | INSURER B: The Travelers Property Casualty Ins Co of America | 25674 | INSURER C: Standard Fire Ins Co | 19070 | INSURER D: | | INSURER E: | | INSURER F: | |
| INSURER(S) AFFORDING COVERAGE | NAIC # | | | | | | | | | | | | | | |
| INSURER A: Travelers Casualty Ins Co of America | 19046 | | | | | | | | | | | | | | |
| INSURER B: The Travelers Property Casualty Ins Co of America | 25674 | | | | | | | | | | | | | | |
| INSURER C: Standard Fire Ins Co | 19070 | | | | | | | | | | | | | | |
| INSURER D: | | | | | | | | | | | | | | | |
| INSURER E: | | | | | | | | | | | | | | | |
| INSURER F: | | | | | | | | | | | | | | | |

COVERAGES **CERTIFICATE NUMBER: 290804951** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

| INSR LTR | TYPE OF INSURANCE | ADDL SUBR INSD L WVD | POLICY NUMBER | POLICY EFF (MM/DD/YYYY) | POLICY EXP (MM/DD/YYYY) | LIMITS |
|----------|--|----------------------|-----------------|-------------------------|-------------------------|--|
| A | <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER: | | 680-9P082341-23 | 8/3/2023 | 8/3/2024 | EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000 \$ |
| A | AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY <input type="checkbox"/> AUTOS ONLY | | 680-9P082341-23 | 8/3/2023 | 8/3/2024 | COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$ |
| B | <input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$ 5,000 | | CUP-0X487723-23 | 8/3/2023 | 8/3/2024 | EACH OCCURRENCE \$ 1,000,000 AGGREGATE \$ \$ |
| C | WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below | Y/N Y | UB-2R393326-23 | 8/3/2023 | 8/3/2024 | <input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000 |

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER **CANCELLATION**

| | |
|---|---|
| State of New Hampshire Department of Health and Human Services 129 Pleasant Street Concord NH 03301-3857 | SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE |
|---|---|



41C ARC

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
DIVISION FOR BEHAVIORAL HEALTH

Lori A. Weaver
 Interim Commissioner

129 PLEASANT STREET, CONCORD, NH 03301
 603-271-9544 1-800-852-3345 Ext. 9544
 Fax: 603-271-4332 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

Katja S. Fox
 Director

May 31, 2023

His Excellency, Governor Christopher T. Sununu
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Authorize the Department of Health and Human Services, Division for Behavioral Health, to amend an existing contract with Growth Partners, LLC (VC#337620), Lincoln, NE, to continue providing technical assistance for the Alcohol and Other Drug Services System, by exercising a contract renewal option by increasing the price limitation by \$825,380 from \$2,554,010 to \$3,379,390 and extending the completion date from June 30, 2023 to June 30, 2024, effective July 1, 2023 upon Governor and Council approval. 44.85% Federal Funds. 1.10% General Funds. 54.05% Other Funds (Governors Commission).

The original contract was approved by Governor and Council on August 26, 2020, item #13, amended on May 19, 2021, item #19, and most recently amended on February 16, 2022, item #35.

Funds are anticipated to be available in State Fiscal Year 2024, upon the availability and continued appropriation of funds in the future operating budget, with the authority to adjust budget line items within the price limitation and encumbrances between state fiscal years through the Budget Office, if needed and justified.

See attached fiscal details

EXPLANATION

The purpose of this request is to continue to provide technical assistance to the Bureau of Drug and Alcohol Services and its providers across the Alcohol and other Drug (AOD) Continuum of Care System which consists of prevention, early intervention, treatment and recovery. This statewide system aligns with the Department's efforts to establish a whole-person centered, community-based provider system that is integrated with primary health care and behavioral health. Technical assistance is vital to strengthen and improve efforts in substance misuse services and supports and ensure the citizens of New Hampshire receive quality prevention, treatment and recovery services.

The Contractor will continue providing a suite of technical assistance to assist with improving the AOD Continuum of Care System and enhancing workforce development of AOD providers to gain knowledge and skills consistent with advances in the science and practices related to substance misuse and other behavioral health issues.

In State Fiscal Year '23, Growth Partners conducted 48 Communities of Practice focused on Prevention, Treatment, Recovery, and Hospital System Addictions Care. The Communities of Practice attracted an array of professionals across the AOD Continuum of Care System who came together to collectively increase their knowledge, skills and aptitude in the advances in the field

of AOD. The vendor also provided over 25 technical assistance requests which yielded improvements across the AOD Continuum of Care system. Some of the products resulting from the technical assistance include but are not limited:

- Engaging Partners to Improve Health Outcomes: To improve the Regional Public Health Network
- Recovery Care Organizations Comprehensive Review Evaluation Tool and Process
- HIV Testing Guidance Document for Treatment Providers
- Innovative and Best Practices for Adolescent Intensive Outpatient Treatment; and
- Secondary Exposure to Trauma Workforce Retention Pilot with two treatment providers.

Technical Assistance services and supports provided by the Contractor impact all citizens of NH by enriching and enhancing the AOD Continuum of Care system, ensuring a strong workforce and delivery of quality prevention, early intervention, treatment and recovery services.

The Department will continue monitoring services through the review of monthly reports to ensure contract deliverables and performance measures are being met.

As referenced in Exhibit A, Revisions to Standard Contract Provisions of the original agreement, the parties have the option to extend the agreement for up to two (2) additional years, contingent upon satisfactory delivery of services, available funding, agreement of the parties and Governor and Council approval. The Department is exercising its option to renew services for the remaining one (1) year available.

Should the Governor and Council not authorize this request, the Department would have limited capacity to improve the substance misuse continuum of care system and enhance workforce development efforts for providers to align with current best practices related to substance misuse and other behavioral health issues.

Area served: Statewide

Source of Federal Funds: Assistance Listing Number #93.959, FAIN #B08T1084659, #TI085821 & #8T1083509.

In the event that the Federal or Other Funds become no longer available, additional General Funds will not be requested to support this program.

Respectfully submitted,



Lori A. Weaver
Interim Commissioner

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
FISCAL DETAILS SHEET**

05-95-92-920510-33820000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION FOR BEHAVIORAL HEALTH, BUREAU OF DRUG AND ALCOHOL, GOVERNOR COMMISSION FUNDS

100% Other Funds (Governor Commission Funds)

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2021 | 102-500731 | Contracts for Prog Svc | 92058501 | \$450,400.00 | \$0.00 | \$450,400.00 |
| 2022 | 102-500731 | Contracts for Prog Svc | 92058501 | \$325,400.00 | \$0.00 | \$325,400.00 |
| 2023 | 102-500731 | Contracts for Prog Svc | 92058501 | \$325,380.00 | \$0.00 | \$325,380.00 |
| 2024 | 102-500731 | Contracts for Prog Svc | 92058501 | \$0.00 | \$725,380.00 | \$725,380.00 |
| | | Sub Total | | \$1,101,180.00 | \$725,380.00 | \$1,826,560.00 |

05-95-92-920510-33840000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION FOR BEHAVIORAL HEALTH, BUREAU OF DRUG AND ALCOHOL, CLINICAL SERVICES

Funding Source Split Varies by Fiscal Year

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2021 | 102-500731 | Contracts for Prog Svc | 92057501 | \$35,683.00 | \$0.00 | \$35,683.00 |
| 2021 | 102-500731 | Contracts for Prog Svc | 92057502 | \$125,000.00 | \$0.00 | \$125,000.00 |
| 2022 | 102-500731 | Contracts for Prog Svc | 92057501 | \$35,682.00 | \$0.00 | \$35,682.00 |
| 2022 | 102-500731 | Contracts for Prog Svc | 92057502 | \$233,185.00 | \$0.00 | \$233,185.00 |
| 2023-Q1 | 102-500731 | Contracts for Prog Svc | 92057502 | \$54,065.00 | \$0.00 | \$54,065.00 |
| 2024 | 102-500731 | Contracts for Prog Svc | 92056506 | \$0.00 | \$100,000.00 | \$100,000.00 |
| | | Sub Total | | \$483,615.00 | \$100,000.00 | \$583,615.00 |

05-95-92-920510-19810000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION FOR BEHAVIORAL HEALTH, BUREAU OF DRUG AND ALCOHOL, SABG ADDITIONAL

100% Federal Funds

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2022 | 102-500731 | Contracts for Prog Svc | 92055501 | \$307,120.00 | \$0.00 | \$307,120.00 |
| 2023 | 102-500731 | Contracts for Prog Svc | 92055501 | \$492,305.00 | \$0.00 | \$492,305.00 |
| | | Sub Total | | \$799,425.00 | \$0.00 | \$799,425.00 |

05-95-92-920510-33800000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: BEHAVIORAL HEALTH DIV, BUREAU OF DRUG AND ALCOHOL, PREVENTION SVS

97% Federal Funds, 3% General Funds

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2022 | 102500731 | Contracts for Prog Svc | 92057502 | \$20,000.00 | \$0.00 | \$20,000.00 |
| | | Sub Total | | \$20,000.00 | \$0.00 | \$20,000.00 |

05-95-94-940010-24650000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: NEW HAMPSHIRE HOSPITAL, NEW HAMPSHIRE HOSPITAL, ARPA DHHS FISCAL RECOVERY FUNDS

100% Other Funds

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2022 | 102-500731 | Contracts for Prog Svc | 00FRF602PH9510A | \$57,500.00 | \$0.00 | \$57,500.00 |
| 2023 | 102-500731 | Contracts for Prog Svc | 00FRF602PH9510A | \$92,290.00 | \$0.00 | \$92,290.00 |
| | | Sub Total | | \$149,790.00 | \$0.00 | \$149,790.00 |

| | | | |
|----------------------|-----------------------|---------------------|-----------------------|
| Overall Total | \$2,554,010.00 | \$825,380.00 | \$3,379,390.00 |
|----------------------|-----------------------|---------------------|-----------------------|

**State of New Hampshire
Department of Health and Human Services
Amendment #3**

This Amendment to the Technical Assistance for the Alcohol and Other Drug Service System contract is by and between the State of New Hampshire, Department of Health and Human Services ("State" or "Department") and Growth Partners, LLC ("the Contractor").

WHEREAS, pursuant to an agreement (the "Contract") approved by the Governor and Executive Council on August 26, 2020 (Item #13), as amended on May 19, 2021 (Item #19), and as most recently amended on February 16, 2022 (Item #35) the Contractor agreed to perform certain services based upon the terms and conditions specified in the Contract as amended and in consideration of certain sums specified; and

WHEREAS, pursuant to Form P-37, General Provisions, Paragraph 17, and Exhibit A, Revisions to Standard Contract Provisions; the Contract may be amended upon written agreement of the parties and approval from the Governor and Executive Council; and

WHEREAS, the parties agree to extend the term of the agreement, increase the price limitation, and modify the scope of services to support continued delivery of these services; and

NOW THEREFORE, in consideration of the foregoing and the mutual covenants and conditions contained in the Contract and set forth herein, the parties hereto agree to amend as follows:

1. Form P-37 General Provisions, Block 1.7, Completion Date, to read:
June 30, 2024
2. Form P-37, General Provisions, Block 1.8, Price Limitation, to read:
\$3,379,390
3. Form P-37, General Provisions, Block 1.9, Contracting Officer for State Agency, to read:
Robert W. Moore, Director
4. Modify Exhibit B – Amendment #2, Scope of Services, Section 1, Statement of Work, Subsection 1.4, Global Appraisal of Individual Needs-Short Screener (GAIN-SS) TA, to read:
 - 1.4. Global Appraisal of Individual Needs-Short Screener (GAIN-SS) TA:
 - 1.4.1 The Contractor shall collaborate with the Department to develop and implement training and TA on the use and application of the Global Appraisal of Individual Needs-Short Screener (GAIN-SS) for Student Assistance Program (SAP) sub-recipients.
 - 1.4.2. The Contractor shall develop and provide virtual training modules for SAP counselors to introduce the components of the GAIN-SS Implementation Guide and its application. The Contractor shall:
 - 1.4.2.1. Provide up to two (2) trainings using the GAIN-SS Implementation Guide; and
 - 1.4.2.2. Provide on-going individual training and TA, as needed, including for school administration.
 - 1.4.3. The Contractor shall develop and provide virtual training modules for SAP counselors to introduce the components of the GAIN-SS Implementation Guide and its application. The Contractor shall:
 - 1.4.3.1.1. Provide up to two (2) trainings using the GAIN-SS Implementation Guide; and
 - 1.4.3.1.2. Provide on-going individual training and TA, as needed, including for

school administration.

- 1.4.4. The Contractor shall ensure the virtual training modules are available through and stored on the Contractor's website.
 - 1.4.5. The Contractor shall develop recommendations on processes and protocols for SAP counselors to obtain certification to administer the GAIN-SS.
 - 1.4.6. The Contractor shall submit the recommendations to the Department for approval of a final GAIN-SS Administrator certification process and protocol.
 - 1.4.7. The Contractor shall develop evidence-informed information sheets and info-graphics for school administrators. The Contractor shall ensure the information sheets and info- graphics include, but are not limited to:
 - 1.4.7.1. User guidance on understanding the use and utility of screening.
 - 1.4.7.2. Guidance on confidentiality protocols.
 - 1.4.7.3. Assurance of the protection of student rights.
 - 1.4.8. The Contractor shall provide TA, as directed by the Department, on:
 - 1.4.8.1. All components of the GAIN-SS Implementation Guide; and
 - 1.4.8.2. Use and utility of the GAIN-SS instrument
5. Modify Exhibit B – Amendment #2, Scope of Services, Section 1, Statement of Work, Subsection 1.5, Data Analytics, to read
1.5. RESERVED
 6. Modify Exhibit C Payment Terms, Section 1 to read:
 1. This Agreement is funded by:
 - 1.1. 44.85 % Federal Funds from HHS: Substance Abuse Mental Health Services Administration (SAMHSA), Substance Abuse Prevention & Treatment Block Grant - CFDA #93.959, Fain #8TI084659 dated 2/10/2022, Fain #TI085821 dated 2/15/2023 and Fain #8TI1083509 dated 3/11/2021.
 - 1.2. 54.05% Other Funds (Governor's Commission on Alcohol and Other Drugs).
 - 1.3. 1.10% General Funds
 7. Modify Exhibit C Payment Terms, Section 3 to read:
 3. Payment shall be on a cost reimbursement basis for actual expenditures incurred in the fulfillment of this Agreement, and shall be in accordance with the approved line item, as specified in Exhibits C-1, Budget through Exhibit C-12, Amendment 3, SFY 2024 Budget, Technical Assistance.
 8. Add Exhibit C-12, Amendment 3, SFY 2024 Budget, Technical Assistance, which is attached hereto and incorporated by reference herein.

All terms and conditions of the Contract and prior amendments not modified by this Amendment remain in full force and effect. This Amendment shall be effective July 1, 2023, upon Governor and Council approval.

IN WITNESS WHEREOF, the parties have set their hands as of the date written below,

State of New Hampshire
Department of Health and Human Services

5/31/2023
Date

DocuSigned by:
Katja S. Fox
Name: Katja S. Fox
Title: Director

5/31/2023
Date

Growth Partners, LLC
DocuSigned by:
Laurie Barger Sutter
Name: Laurie Barger Sutter
Title: CEO

The preceding Amendment, having been reviewed by this office, is approved as to form, substance, and execution.

OFFICE OF THE ATTORNEY GENERAL

6/1/2023

Date

DocuSigned by:

Robyn Guarino

Name: Robyn Guarino

Title: Attorney

I hereby certify that the foregoing Amendment was approved by the Governor and Executive Council of the State of New Hampshire at the Meeting on: _____: (date of meeting)

OFFICE OF THE SECRETARY OF STATE

Date

Name:

Title:

Exhibit C-12, Amendment 3, SFY2024 Budget
Technical Assistance

New Hampshire Department of Health and Human Services
COMPLETE ONE BUDGET FORM FOR EACH BUDGET PERIOD

Contractor Name: Growth Partners, LLC

Project Title: Technical Assistance for the Alcohol and Other Drug (AOD) Service System

Budget Period: July 1, 2023 through June 30, 2024

| Line Item | Total Program Cost | | | Contractor Share / Match | | | Funded by DHHHS contract share | | |
|--|--------------------|--------------|---------------|--------------------------|----------|-------|--------------------------------|--------------|---------------|
| | Direct | Indirect | Total | Direct | Indirect | Total | Direct | Indirect | Total |
| 1. Total Salary/Wages | \$ 475,008.00 | \$ 47,500.00 | \$ 522,508.00 | \$ - | \$ - | \$ - | \$ 475,008.00 | \$ 47,500.00 | \$ 522,508.00 |
| 2. Employee Benefits | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 3. Consultants | \$ 180,000.00 | \$ 18,000.00 | \$ 204,000.00 | \$ - | \$ - | \$ - | \$ 180,000.00 | \$ 18,000.00 | \$ 204,000.00 |
| 4. Equipment: | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Rental | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Repair and Maintenance | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Purchase/Depreciation | \$ 2,000.00 | \$ 200.00 | \$ 2,200.00 | \$ - | \$ - | \$ - | \$ 2,000.00 | \$ 200.00 | \$ 2,200.00 |
| 5. Supplies: | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Educational | \$ 1,000.00 | \$ 100.00 | \$ 1,100.00 | \$ - | \$ - | \$ - | \$ 1,000.00 | \$ 100.00 | \$ 1,100.00 |
| Lab | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Pharmacy | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Medical | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Office | \$ 2,500.00 | \$ 250.00 | \$ 2,750.00 | \$ - | \$ - | \$ - | \$ 2,500.00 | \$ 250.00 | \$ 2,750.00 |
| 6. Travel | \$ 8,500.00 | \$ 850.00 | \$ 9,350.00 | \$ - | \$ - | \$ - | \$ 8,500.00 | \$ 850.00 | \$ 9,350.00 |
| 7. Occupancy | \$ 12,000.00 | \$ 1,200.00 | \$ 13,200.00 | \$ - | \$ - | \$ - | \$ 12,000.00 | \$ 1,200.00 | \$ 13,200.00 |
| 8. Current Expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Telephone | \$ 2,180.00 | \$ 218.00 | \$ 2,378.00 | \$ - | \$ - | \$ - | \$ 2,180.00 | \$ 218.00 | \$ 2,378.00 |
| Postage | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Subscriptions | \$ 2,000.00 | \$ 200.00 | \$ 2,200.00 | \$ - | \$ - | \$ - | \$ 2,000.00 | \$ 200.00 | \$ 2,200.00 |
| Audit and Legal | \$ 3,000.00 | \$ 300.00 | \$ 3,300.00 | \$ - | \$ - | \$ - | \$ 3,000.00 | \$ 300.00 | \$ 3,300.00 |
| Insurance | \$ 1,480.00 | \$ 148.00 | \$ 1,628.00 | \$ - | \$ - | \$ - | \$ 1,480.00 | \$ 148.00 | \$ 1,628.00 |
| Board Expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 9. Software | \$ 2,500.00 | \$ 250.00 | \$ 2,750.00 | \$ - | \$ - | \$ - | \$ 2,500.00 | \$ 250.00 | \$ 2,750.00 |
| 10. Marketing/Communications | \$ 2,200.00 | \$ 220.00 | \$ 2,420.00 | \$ - | \$ - | \$ - | \$ 2,200.00 | \$ 220.00 | \$ 2,420.00 |
| 11. Staff Education and Training | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 12. Subcontracts/Agreements | \$ 50,000.00 | \$ 5,000.00 | \$ 55,000.00 | \$ - | \$ - | \$ - | \$ 50,000.00 | \$ 5,000.00 | \$ 55,000.00 |
| 13. Other (specific detail mandatory): | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| SBIRT Screening | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Evaluation Contract | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Outreach/Education/Training | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Supplies/Meeting expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Cultural/Linguistic Support | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| TOTAL | \$ 750,348.00 | \$ 75,834.00 | \$ 826,182.00 | \$ - | \$ - | \$ - | \$ 750,348.00 | \$ 75,834.00 | \$ 826,182.00 |

Indirect As A Percent of Direct

10.0%

35 mac

JAN28'22 PM 3:31 RCVD



Lori A. Sbitlaette
Commissioner

Karla S. Fox
Director

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
DIVISION FOR BEHAVIORAL HEALTH

129 PLEASANT STREET, CONCORD, NH 03301
603-271-9544 1-800-852-3345 Ext. 9544
Fax: 603-271-4332 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

January 10, 2022

His Excellency, Governor Christopher T. Sununu
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Authorize the Department of Health and Human Services, Division for Behavioral Health, to amend an existing contract with Growth Partners, LLC (VC#319225), Lincoln, NE, to continue providing technical assistance for prevention, treatment and recovery providers, including Student Assistance Programs, by exercising a contract renewal option by increasing the price limitation by \$1,456,845 from \$1,097,165 to \$2,554,010 and extending the completion date from June 30, 2022 to June 30, 2023, effective upon Governor and Council approval: 49.66% Federal Funds, 6.44% General Funds, 43.90% Other Funds (Governor's Commission).

The original contract was approved by Governor and Council on August 26, 2020, Item #13 and most recently amended with Governor and Council approval on May 19, 2021, Item #19.

Funds are available in the following accounts for State Fiscal Years 2022 and 2023, and are anticipated to be available in State Fiscal Year 2024, upon the availability and continued appropriation of funds in the future operating budget, with the authority to adjust budget line items within the price limitation and encumbrances between state fiscal years through the Budget Office, if needed and justified.

See attached fiscal details.

EXPLANATION

The purpose of this request is to continue providing technical assistance services to providers in the substance misuse continuum of care in order to enrich and enhance substance misuse prevention, early intervention, treatment and recovery.

This request adds the requirement that the Contractor increase the skills, knowledge and abilities of Student Assistance Program providers on the use and application of the Global Appraisal of Individual Needs-Short Screen, which identifies needs in the areas of mental health and substance misuse among at-risk adolescents. The NH Student Assistance Program is a school-based substance misuse prevention program modeled after a national model and operates in 55 middle and high schools. Student Assistance Programs include school-wide prevention efforts; behavioral health screening of students referred to the program; individual and group counseling; classroom prevention education; and parent and teacher consultations.

The Contractor will develop a training manual for the use and application of the Global Appraisal of Individual Needs-Short Screen and provide up to two (2) trainings on the use of the manual as directed by the Department. In addition, the Contractor will conduct on-going and individual training and technical assistance as needed. Appropriate use of the Global Appraisal

His Excellency, Governor Christopher T. Sununu
and the Honorable Council
Page 2 of 2

of Individual Needs – Short Screen will standardize screening of, and appropriate interventions for, students:

Additionally, the Contractor will provide data analytic services to NH school district administrators and staff, which will include data analysis of school level Youth Risk Behavior Survey results and other data sources; developing data profiles; and creating data infographics or other visual products.

In an effort to address the substance misuse and mental health needs that have been exacerbated by the Covid-19 pandemic, the United States' Department of Health and Human Services provided supplemental funding to the Substance Abuse and Mental Health Services Administration for dissemination to states. Funding to support this amendment was in part made possible by these supplemental awards to enhance and expand NH's substance misuse prevention and early intervention efforts.

The Department will monitor services to ensure:

- 95% of Student Assistance Program professionals receive training and technical assistance on the use and application of the Global Appraisal of Individual Needs-Short Screen.
- 85% or greater recipient satisfaction ratings for the delivery of data analytical technical assistance.
- 85% of TA recipients report taking at least one (1) action step as a result of TA provided.

As referenced in Exhibit A, Revisions to Standard Contract Provisions of the original agreement, the parties have the option to extend the agreement for up to two (2) additional years, contingent upon satisfactory delivery of services, available funding, agreement of the parties and Governor and Council approval. The Department is exercising its option to renew services for one (1) of the two (2) years available.

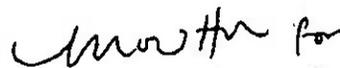
Should the Governor and Council not authorize this request, the Department would have limited capacity to improve the substance misuse continuum of care system and enhance workforce development efforts for providers to align with current best practices related to substance misuse and other behavioral health issues.

Area served: Statewide

Source of Federal Funds: Assistance Listing Number #93.959, FAIN #T11083464; T11083509 and T11083509.

In the event that the Federal or Other Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Lori A. Shibinette
Commissioner

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
FISCAL DETAILS SHEET**

**05-95-92-920510-33820000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
DIVISION FOR BEHAVIORAL HEALTH, BUREAU OF DRUG AND ALCOHOL, GOVERNOR COMMISSION
100% Other Funds (Governor Commission Funds)**

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2021 | 102-500731 | Contracts for Prog Svc | 92058501 | \$450,400.00 | \$0.00 | \$450,400.00 |
| 2022 | 102-500731 | Contracts for Prog Svc | 92058501 | \$325,400.00 | \$0.00 | \$325,400.00 |
| 2023 | 102-500731 | Contracts for Prog Svc | 92058501 | \$0.00 | \$325,380.00 | \$325,380.00 |
| | | Sub Total | | \$775,800.00 | \$325,380.00 | \$1,101,180.00 |

**05-95-92-920510-33840000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
DIVISION FOR BEHAVIORAL HEALTH, BUREAU OF DRUG AND ALCOHOL, CLINICAL SERVICES
66% Federal Funds, 34% General Funds**

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2021 | 102-500731 | Contracts for Prog Svc | 92057501 | \$35,683.00 | \$0.00 | \$35,683.00 |
| 2021 | 102-500731 | Contracts for Prog Svc | 92057502 | \$125,000.00 | \$0.00 | \$125,000.00 |
| 2022 | 102-500731 | Contracts for Prog Svc | 92057501 | \$35,682.00 | \$0.00 | \$35,682.00 |
| 2022 | 102-500731 | Contracts for Prog Svc | 92057502 | \$125,000.00 | \$108,185.00 | \$233,185.00 |
| 2023-01 | 102-500731 | Contracts for Prog Svc | 92057502 | \$0.00 | \$54,065.00 | \$54,065.00 |
| | | Sub Total | | \$321,365.00 | \$162,250.00 | \$483,615.00 |

**05-95-92-920510-19810000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
DIVISION FOR BEHAVIORAL HEALTH, BUREAU OF DRUG AND ALCOHOL, SABG ADDITIONAL
100% Federal Funds**

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2022 | 102-500731 | Contracts for Prog Svc | 92055501 | \$0.00 | \$307,120.00 | \$307,120.00 |
| 2023 | 102-500731 | Contracts for Prog Svc | 92055501 | \$0.00 | \$492,305.00 | \$492,305.00 |
| | | Sub Total | | \$0.00 | \$799,425.00 | \$799,425.00 |

**05-95-92-920510-33800000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
BEHAVIORAL HEALTH DIV, BUREAU OF DRUG AND ALCOHOL, PREVENTION SVS
97% Federal Funds, 3% General Funds**

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2022 | 102500731 | Contracts for Prog Svc | 92057502 | \$0.00 | \$20,000.00 | \$20,000.00 |
| | | Sub Total | | \$0.00 | \$20,000.00 | \$20,000.00 |

**05-95-94-940010-24650000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS:
NEW HAMPSHIRE HOSPITAL, NEW HAMPSHIRE HOSPITAL, ARPA DHHS FISCAL RECOVERY FUNDS
100% Federal Funds**

| State Fiscal Year | Class / Account | Class Title | Activity Number | Current Amount | Increase (Decrease) | Revised Amount |
|-------------------|-----------------|------------------------|-----------------|----------------|---------------------|----------------|
| 2022 | 102-500731 | Contracts for Prog Svc | 00FRF602PH9510A | \$0.00 | \$57,500.00 | \$57,500.00 |
| 2023 | 102-500731 | Contracts for Prog Svc | 00FRF602PH9510A | \$0.00 | \$92,290.00 | \$92,290.00 |
| | | Sub Total | | \$0.00 | \$149,790.00 | \$149,790.00 |

| | | | |
|----------------------|-----------------------|-----------------------|-----------------------|
| Overall Total | \$1,097,165.00 | \$1,456,845.00 | \$2,554,010.00 |
|----------------------|-----------------------|-----------------------|-----------------------|

**State of New Hampshire
Department of Health and Human Services
Amendment #2**

This Amendment to the Technical Assistance for the Alcohol and Other-Drug Service System contract is by and between the State of New Hampshire, Department of Health and Human Services ("State" or "Department") and Growth Partners, LLC ("the Contractor").

WHEREAS, pursuant to an agreement (the "Contract") approved by the Governor and Executive Council on August 26, 2020, (Item #13), as amended on May 19, 2021, (Item #19), the Contractor agreed to perform certain services based upon the terms and conditions specified in the Contract as amended and in consideration of certain sums specified; and

WHEREAS, pursuant to Form P-37, General Provisions, Paragraph 17, and Exhibit A, Revisions to Standard Contract Provisions, Section 1., Subsection 1.1., the Contract may be amended upon written agreement of the parties and approval from the Governor and Executive Council; and

WHEREAS, the parties agree to extend the term of the agreement, increase the price limitation, or modify the scope of services to support continued delivery of these services; and

NOW THEREFORE, in consideration of the foregoing and the mutual covenants and conditions contained in the Contract and set forth herein, the parties hereto agree to amend as follows:

1. Form P-37 General Provisions, Block 1.7, Completion Date, to read:
June 30, 2023
2. Form P-37, General Provisions, Block 1.8, Price Limitation, to read:
\$2,554,010
3. Modify Exhibit B, Scope of Services by replacing it in its entirety with Exhibit B -- Amendment #2, Scope of Services, which is attached hereto and incorporated herein.
4. Add Exhibit C-5, Amendment #2, SFY 2022 Budget, Data Analytics, which is attached hereto and incorporated by reference herein.
5. Add Exhibit C-6, Amendment #2, SFY 2022 Budget, GAIN SS, which is attached hereto and incorporated by reference herein.
6. Add Exhibit C-7, Amendment #2, SFY 2022 Budget, Supplemental TA, which is attached hereto and incorporated by reference herein.
7. Add Exhibit C-8, Amendment #2, SFY 2023 Budget, Data Analytics, which is attached hereto and incorporated by reference herein.
8. Add Exhibit C-9, Amendment #2, SFY 2023 Budget, GAIN SS, which is attached hereto and incorporated by reference herein.
9. Add Exhibit C-10, Amendment #2, SFY 2023 Budget, Supplemental TA, which is attached hereto and incorporated by reference herein.
10. Add Exhibit C-11, Amendment #2, SFY 2023 Budget, Technical Assistance, which is attached hereto and incorporated by reference herein.

All terms and conditions of the Contract and prior amendments not modified by this Amendment remain in full force and effect. This Amendment shall be effective upon the date of Governor and Executive Council approval.

IN WITNESS WHEREOF, the parties have set their hands as of the date written below,

State of New Hampshire
Department of Health and Human Services

1/26/2022

Date

DocuSigned by:
Katja S. Fox
ED5005804C83442...
Name: Katja S. Fox
Title: Director

Growth Partners, LLC

1/24/2022

Date

DocuSigned by:
Laurie Barger Sutter
31A1HD2053AD4AD...
Name: Laurie Barger Sutter
Title: CEO

DS
LBS

1/24/2022

The preceding Amendment, having been reviewed by this office, is approved as to form, substance, and execution.

OFFICE OF THE ATTORNEY GENERAL

1/27/2022

Date

DocuSigned by:
Robyn Guarino
729734641041400
Name: Robyn Guarino
Title: Attorney

I hereby certify that the foregoing Amendment was approved by the Governor and Executive Council of the State of New Hampshire at the Meeting on: _____ (date of meeting)

OFFICE OF THE SECRETARY OF STATE

Date

Name:
Title:

New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System



EXHIBIT B – Amendment #2

Scope of Services

1. Statement of Work

1.1. Alcohol and Other Drug (AOD) Technical Assistance

- 1.1.1. The Contractor shall provide outcome-driven technical assistance (TA), at the direction of the Department, to the Alcohol and Other Drug (AOD) service system in order to bridge gaps between research, policies and practices.
- 1.1.2. The Contractor shall ensure TA includes guidance on delivering outcome supported and evidence-informed AOD Continuum of Care (CoC) support services that:
 - 1.1.2.1. Increases knowledge, skills, and abilities of the AOD service system and its practitioners;
 - 1.1.2.2. Increases the AOD service system capacity to improve health outcomes within communities, statewide;
 - 1.1.2.3. Supports implementation of programs, policies, and practices that reduce the misuse of AOD across the lifespan;
 - 1.1.2.4. Increases and supports integration efforts with primary and mental healthcare across the AOD CoC; and
 - 1.1.2.5. Ensures program fidelity while meeting state and federal grant requirements including, but not limited to the Substance Abuse Prevention and Treatment Federal Block Grant (SABG).
- 1.1.3. The Contractor shall work closely with the Department to support current and emerging initiatives for improving the AOD CoC system of care.
- 1.1.4. The Contractor shall ensure all TA is approved by the Department prior to initiation and implementation of services.
- 1.1.5. The Contractor shall monitor, manage, and report on all TA requested and provided.
- 1.1.6. The Contractor shall provide TA in a variety of formats including, but not limited to:
 - 1.1.6.1. Onsite, face-to-face interactions.
 - 1.1.6.2. Offsite TA to respond to information requests, delivered through methods including, but not limited to:
 - 1.1.6.2.1. Tele- and video-conference calls.
 - 1.1.6.2.2. Other electronic distance-based communications.
 - 1.1.6.3. Resource documents including, but not limited to:

^{DS}
LBS

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

- 1.1.6.3.1. Briefs.
- 1.1.6.3.2. Annotated bibliographies.
- 1.1.6.3.3. Literature reviews.
- 1.1.6.4. Individual-level activities, including, but not limited to personalized coaching and mentoring to assist TA recipients:
 - 1.1.6.4.1. Enhance the application of knowledge, skills and abilities (KSAs); and
 - 1.1.6.4.2. Address unique issues or conditions.
- 1.1.6.5. Group activities, including, but not limited to team-based activities involving Communities of Practice (CoP) and other peer-to-peer groups to address issues of common interest.
- 1.1.6.6. Intergroup activities, including, but not limited to, promoting coordination, collaboration, and interdisciplinary teamwork across network members and partners, as appropriate, to strengthen efficiencies and outcomes.
- 1.1.6.7. Large-group activities, including, but not limited to, engaging large groups to identify and resolve network-wide issues, design new approaches to structuring and managing professional practices, and promote network cohesiveness.
- 1.1.7. The Contractor shall utilize TA practices of varying intensity and duration including, but not limited to:
 - 1.1.7.1. Basic TA which includes, but is not limited to:
 - 1.1.7.1.1. Fulfilling information requests.
 - 1.1.7.1.2. Providing resource guides and case studies.
 - 1.1.7.1.3. Conducting brief expert consultations and coaching sessions.
 - 1.1.7.2. Intensive TA which includes, but is not limited to:
 - 1.1.7.2.1. Ongoing coaching.
 - 1.1.7.2.2. Consultation.
 - 1.1.7.2.3. Periodic reassessment.
- 1.1.8. The Contractor shall facilitate and provide technical and logistical support, as directed by the Department, in the format, frequency, and length determined by the Department for a minimum of four (4) Communities of Practice (CoP), which include, but are not limited to:
 - 1.1.8.1. Prevention.

DS
LBS

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

- 1.1.8.2. Treatment.
- 1.1.8.3. Medication-Assisted Treatment.
- 1.1.8.4. Recovery Support Services.
- 1.1.9. The Contractor shall utilize best practices for CoPs including, but not limited to:
 - 1.1.9.1. Identifying and recruiting core members, as directed and approved by the Department, who are:
 - 1.1.9.1.1. Engaged.
 - 1.1.9.1.2. Credible.
 - 1.1.9.1.3. Community oriented.
 - 1.1.9.1.4. Willing to assume leadership roles.
 - 1.1.9.2. Establishing a collective identity and clear purpose, with clear goals based on assessment.
 - 1.1.9.3. Providing a range of sustainable opportunities for participation at different levels including, but not limited to:
 - 1.1.9.3.1. Meetings.
 - 1.1.9.3.2. Email lists.
 - 1.1.9.3.3. Peer mentoring.
 - 1.1.9.4. Providing ongoing leadership and facilitation.
 - 1.1.9.5. Creating opportunities for shared leadership among members.
 - 1.1.9.6. Providing clear guidelines and information sharing protocols.
 - 1.1.9.7. Establishing consensus on group norms.
 - 1.1.9.8. Maintaining a welcoming atmosphere where social interaction is encouraged and people feel valued.
 - 1.1.9.9. Conducting periodic evaluations to assess progress toward group goals and member engagement.
- 1.1.10. The Contractor shall provide systems development TA as a systematic process of identifying and addressing changes needed in network structure, climate, culture, and behavior to enhance performance and outcomes which includes, but is not limited to helping networks:
 - 1.1.10.1. Successfully respond to complex and rapidly changing environments;
 - 1.1.10.2. Increase trust, satisfaction, and commitment among members;

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

- 1.1.10.3. Establish effective processes for solving problems; conflict resolution, collaboration, and cooperation; and
- 1.1.10.4. Operationalize processes that lead to sustainable improvements in performance.
- 1.1.11. The Contractor shall develop products and resources that assist with providing TA as directed by, or in consultation with the Department including, but not limited to:
 - 1.1.11.1. Toolkits.
 - 1.1.11.2. Briefs.
 - 1.1.11.3. Logic models.
 - 1.1.11.4. Professional case studies.
 - 1.1.11.5. Compilation of practices and strategies on a variety of topics related to AOD.
 - 1.1.11.6. Library of State Information Requests (SIRs).
 - 1.1.11.7. In-depth resource guides.
- 1.1.12. The Contractor shall maintain relationships with current subject matter experts (SMEs) as well as recruit new SMEs, as directed and approved by the Department, to enhance the TA activities.
- 1.1.13. The Contractor shall review previous TA planning processes utilized by the Department, as requested by the Department, in order to suggest enhancements or modifications for the Department's consideration.
- 1.1.14. The Contractor shall ensure when creating TA plans to:
 - 1.1.14.1. Balance specific needs and goals, objectives, performance mandates, and priorities;
 - 1.1.14.2. Consider political, economic, resource, and other challenges TA recipients are experiencing;
 - 1.1.14.3. Be sensitive to health disparities and lack of access to resources that population groups and subpopulations may be experiencing;
 - 1.1.14.4. Ensure that TA activities aligned with geographic, demographic, cultural, and other needs; and
 - 1.1.14.5. Ensure TA activities are developmentally appropriate, gender-specific, and address other population characteristics, including, but not limited to, behavioral health issues, physical disabilities, sensory impairments, and sexual orientation.

LBS

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

- 1.1.15. The Contractor shall develop and utilize a TA performance measurement plan, as approved by the Department, which evaluates the quality and efficacy of each instance of TA provided to ensure:
 - 1.1.15.1. Timeliness in the response to and delivery of TA;
 - 1.1.15.2. Transfer of knowledge;
 - 1.1.15.3. Increased capacity;
 - 1.1.15.4. Systems/practice change; and
 - 1.1.15.5. TA recipient satisfaction.
- 1.1.16. The Contractor shall develop and utilize proposed TA Evaluation Questions, as approved by the Department.
- 1.1.17. The Contractor shall participate in federal programmatic webinars associated with the Department's awarded grants including, but not limited to the SABG, as directed by the Department.
- 1.1.18. The Contractor shall develop and maintain a public-facing website or web application that, at a minimum, provides best practice and other professional assistance information. The Contractor shall ensure:
 - 1.1.18.1. Website content is approved by the Department and includes, but is not limited to:
 - 1.1.18.1.1. Access to an online forum for all Communities of Practice.
 - 1.1.18.1.2. All publications and content created through this Agreement.
 - 1.1.18.2. The website is built according to the Department's goals;
 - 1.1.18.3. The website is user-friendly and has capacity for content growth.
 - 1.1.18.4. The website is designed with a step-by-step design, integration, and testing process.
- 1.1.19. The Contractor shall work with the Department to ensure that the website is designed, created, and managed according to all NH Department of Information Technology (DoIT) website requirements, and that any protected health information (PHI), personal information (PI), or other confidential information solicited, will not be stored or captured on the website and shall not be further disclosed except as provided by contract. The solicitation or disclosure of any PHI, PI, or other confidential information will be subject to the requirements in Exhibit I, Exhibit K, and all applicable state rules, or state or federal law. Unless specifically required by the resulting contract and unless notice

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

is clearly provided on the website, the Contractor agrees that website visitation will not be tracked for website analytics or marketing.

1.1.20. The Contractor shall utilize strategies, systems, and processes, as approved by the Department, to manage the contracting process including, but not limited to:

1.1.20.1. Establishing regular, ongoing protocols for communication with the Department, including a regular and recurring schedule of meetings.

1.1.20.2. Establishing regular staff operational and planning meetings.

1.1.20.3. Utilizing TA request, design, and implementation tracking documents and protocols.

1.1.20.4. Utilizing TA assessment, design, implementation, and evaluation processes.

1.1.20.5. Utilizing protocols for consultant recruitment, vetting, and monitoring.

1.1.20.6. Managing TA budget development processes.

1.1.20.7. Utilizing TA implementation plans.

1.1.20.8. Utilizing expenditure and invoice monitoring protocols.

1.1.20.9. Utilizing reporting formats to cover all aspects of operations including, but not limited to:

1.1.20.9.1. Budget.

1.1.20.9.2. TA completion, and

1.1.20.9.3. Evaluation findings.

1.2. Substance Misuse TA:

1.2.1. The Contractor shall provide technical assistance activities to Substance Use Disorder (SUD) treatment providers identified by the Department; which includes, but is not limited to:

1.2.1.1. Providing treatment providers with information about effective strategies to deal with compassion fatigue and/or vicarious trauma.

1.2.1.2. Developing a methodology to select treatment providers who submit proposals for pilot projects to implement creative compassion fatigue or vicarious trauma programs within their agencies.

03
LBS

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

- 1.2.1.3. Providing mini-grants pilot projects, upon approval by the Department.
- 1.2.1.4. Providing TA for implementation of pilot projects.
- 1.2.1.5. Evaluating pilot projects on effectiveness of staff support, impact on workforce retention, and cost effectiveness.
- 1.2.1.6. Providing a report on promising practices based upon evaluation of, and lessons learned from, pilot projects.
- 1.2.1.7. Disseminating information from the report identified in 1.2.1.6. to treatment providers.
- 1.2.2. The Contractor shall ensure TA services for SUD treatment providers include specialty populations as described by the Substance Abuse Mental Health Services Administration (SAMHSA) Block Grant, which include:
 - 1.2.2.1. Pregnant women and women with dependent children;
 - 1.2.2.2. Individuals who inject drugs;
 - 1.2.2.3. Individuals at risk for tuberculosis; and
 - 1.2.2.4. Individuals in need of primary substance use prevention.
- 1.2.3. The Contractor shall provide TA in collaboration with the Department that includes, but is not limited to:
 - 1.2.3.1. Determining prevention metrics that measure all direct prevention services including, but not limited to:
 - 1.2.3.1.1. Identifying consistent measureable outcomes.
 - 1.2.3.1.2. Identifying process and outcome indicators and the associated targets for all desired results.
 - 1.2.3.1.3. Making recommendations on possible data collection systems.
 - 1.2.3.1.4. Developing evaluation processes that identify and compare actual results to desired results.

1.3. Synar TA

- 1.3.1. The Contractor shall develop a work plan for Synar TA, to be approved by the Department, that includes but is not limited to:
 - 1.3.1.1. Reviewing the current Synar sampling design that includes both over-the-counter sales and vending machine locations for tobacco products and making recommendations for changes.

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

- 1.3.1.2. Identifying available staffing resources and roles and responsibilities to conduct inspection and/or coverage studies and making recommendations for inspection protocols.
- 1.3.1.3. Developing a design strategy for incorporating the Federal Tobacco 21 Model.
- 1.3.1.4. Incorporating tobacco products that are not in the current sample design, as requested by the Department.
- 1.3.1.5. Recommending appropriate changes to sample design and inspection protocol.
- 1.3.1.6. Collecting and compiling data for ASR reporting to be approved by the Department before submission to SAMHSA.
- 1.3.1.7. Providing support for SAMHSA appendices review process.
- 1.3.1.8. Utilizing the Synar Survey Estimation System (SSES) sample size calculator to determine sample size by strata.
- 1.3.1.9. Drawing a random sample of outlets from the outlet frame file provided by the Department for Synar inspections.
- 1.3.1.10. Designing and implementing a coverage study that includes:
 - 1.3.1.10.1. Developing and presenting training materials;
 - 1.3.1.10.2. Preparing and distributing materials that include, but are not limited to, maps.
 - 1.3.1.10.3. Collecting and analyzing coverage study data;
 - 1.3.1.10.4. Providing a report detailing coverage study results; and
 - 1.3.1.10.5. Completing Appendix D for the ASR for Department approval.
- 1.3.1.11. Collaborating with the Department to develop a data collection template for Synar inspections including but not limited to:
 - 1.3.1.11.1. Identifying Synar data element needs.
 - 1.3.1.11.2. Developing a set of specifications.
- 1.3.1.12. Receiving, reviewing and monitoring aggregate Synar inspection data at intervals approved by the Department.
- 1.3.1.13. Conducting quality control measures to ensure data accuracy, including, but not limited to:
 - 1.3.1.13.1. Submitting requests for data correction from designing staff as needed.

LBS

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

1.3.1.13.2. Submitting recommendations to adjust inspection assignments to meet requirements of the sampling design.

1.3.1.13.3. Preparing and entering aggregated inspection data into a Department approved file to be used for SSES computations.

1.3.1.14. Analyzing Synar inspection data using SSES including:

1.3.1.14.1. Executing SSES;

1.3.1.14.2. Providing Tables 1-8 from the Annual Synar Report to the Department; and

1.3.1.14.3. Providing additional statistical analysis of data factors that contribute to the retail violation rate (RVR) across prior years.

1.3.1.15. Providing a report within one (1) business day of the receipt of inspection data that includes, but is not limited to:

1.3.1.15.1. All inspections assigned versus completed.

1.3.1.15.2. Inspections assigned versus completed by individual inspector.

1.3.1.15.3. Overall age ratio and age ration for underage buyers as reported by individual inspectors while conducting compliance checks with tobacco retailers.

1.4. Global Appraisal of Individual Needs-Short Screener (GAIN-SS) TA

1.4.1. The Contractor shall collaborate with the Department to develop and implement training and TA on the use and application of the Global Appraisal of Individual Needs-Short Screener (GAIN-SS) for Student Assistance Program (SAP) sub-recipients.

1.4.2. Phase 1

1.4.2.1. The Contractor shall obtain Local Trainer Certification from the GAIN Coordinating Center or identify a consultant with the certification, as approved by the Department.

1.4.2.2. The Contractor shall collaborate with the Department and consult with subject matter experts to develop a guide for the implementation of the GAIN-SS.

1.4.2.3. The Contractor shall ensure the GAIN-SS Implementation Guide includes:

UBS

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

- 1.4.2.3.1. An introduction to the GAIN-SS instrument;
- 1.4.2.3.2. A narrative on the importance of screening to accurately identify needs in the areas of mental health and substance misuse in adolescents;
- 1.4.2.3.3. The purposes of screening for behavioral health, including:
 - 1.4.2.3.3.1. Supporting social and emotional learning;
 - 1.4.2.3.3.2. Informing prevention and early intervention strategies;
 - 1.4.2.3.3.3. Identifying students at risk for substance misuse or mental illness;
 - 1.4.2.3.3.4. Identifying concerns specific to certain grades or sub-populations;
 - 1.4.2.3.3.5. Identifying areas of school climate strength or areas for school climate improvement; and
 - 1.4.2.3.3.6. Improving access to behavioral health supports within the school community.
- 1.4.2.3.4. The steps needed to implement a comprehensive screening process within a school district, including:
 - 1.4.2.3.4.1. Identifying members and assembling a team comprised of administration and behavioral health staff within the school district and behavioral health professionals in the community;
 - 1.4.2.3.4.2. Identifying behavioral health support resources within the school district and community;
 - 1.4.2.3.4.3. Providing evidence-informed guidance on the development of administrative policies, including, but not limited to:

New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System



EXHIBIT B – Amendment #2

- 1.4.2.3.4.3.1. Consent and assent policies;
- 1.4.2.3.4.3.2. Confidentiality assurances.
- 1.4.2.3.4.3.3. Referral processes.
- 1.4.2.3.4.4. Ensuring enhanced Culturally and Linguistically Appropriate Services (CLAS) standards are applied including cultural considerations of administering the GAIN-SS.
- 1.4.2.3.4.5. Providing guidance on developing data collection, administration and follow-up processes.
- 1.4.2.3.4.6. Providing guidance on the training and certification process for GAIN-SS implementation.
- 1.4.2.4. The Contractor shall collaborate with the Department to develop processes for data sharing, collection, and exchange ensuring PHI or PII is not collected or exchanged.
- 1.4.3. **Phase 2**
 - 1.4.3.1. The Contractor shall develop and provide virtual training modules for SAP counselors to introduce the components of the GAIN-SS Implementation Guide and its application. The Contractor shall:
 - 1.4.3.1.1. Provide up to two (2) trainings using the GAIN-SS Implementation Guide; and
 - 1.4.3.1.2. Provide on-going individual training and TA, as needed, including for school administration.
 - 1.4.3.2. The Contractor shall ensure the virtual training modules are available through and stored on the Contractor's website.
 - 1.4.3.3. The Contractor shall develop recommendations on processes and protocols for SAP counselors to obtain certification to administer the GAIN-SS.
 - 1.4.3.4. The Contractor shall submit the recommendations to the Department for approval of a final GAIN-SS Administrator certification process and protocol.
 - 1.4.3.5. The Contractor shall develop evidence-informed information sheets and info-graphics for school administrators. ⁰³ The

LBS

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

Contractor shall ensure the information sheets and infographics include, but are not limited to:

- 1.4.3.5.1. User guidance on understanding the use and utility of screening.
- 1.4.3.5.2. Guidance on confidentiality protocols.
- 1.4.3.5.3. Assurance of the protection of student rights.

1.4.4. Phase 3

1.4.4.1. The Contractor shall provide TA as directed by the Department on:

- 1.4.4.1.1. All components of the GAIN-SS Implementation Guide; and
- 1.4.4.1.2. Use and utility of the GAIN-SS instrument.

1.5. Data Analytics

1.5.1. The Contractor shall collaborate with the Department to access data that supports the improvement of behavioral health outcomes, including, but not limited to:

- 1.5.1.1. Youth Risk Behavior Surveillance System (YRBSS).
- 1.5.1.2. National Survey on Drug Use and Health (NSDUH).
- 1.5.1.3. Other data sources as identified and requested by the Department.

1.5.2. The Contractor shall ensure the access and use of data is in accordance with all applicable data sharing agreements and state and federal confidentiality requirements.

1.5.3. The Contractor shall provide data analytic TA to school administrators, staff, and students to improve behavioral health outcomes. The Contractor shall ensure TA includes, but is not limited to:

- 1.5.3.1. Interpreting data from identified sources.
- 1.5.3.2. Creating visual representations of data to create data stories that clearly communicate key insights and highlight trends and outliers to drive positive change, including but not limited to:
 - 1.5.3.2.1. Infographics.
 - 1.5.3.2.2. Fact sheets.
 - 1.5.3.2.3. Snap shots.

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

- 1.5.4. The Contractor shall design data analytic TA approaches based on each request and shall submit the proposed approach to the Department prior to the provision of TA.
- 1.5.5. The Contractor shall ensure all requests for data analytic TA are approved by the Department prior to the development of a TA approach.
- 1.5.6. The Contractor shall develop a process for data analytic TA, including, but not limited to:
 - 1.5.6.1. Receipt of requests.
 - 1.5.6.2. Approval of requests.
 - 1.5.6.3. Development of a TA approach to be provided based on each request.
 - 1.5.6.4. Provision of TA.
 - 1.5.6.5. Evaluation of the quality and efficacy of each instance of TA provided.
- 1.5.7. The Contractor shall create a portal on the Contractor's website for SAP counselors and school districts to submit data analytic TA requests.
- 1.5.8. The Contractor shall market its data analytic support TA to school districts and other stakeholders as identified and requested by the Department.
- 1.6. The Contractor shall respond to all Department communications within 72 hours of receiving the request.
2. **Exhibits Incorporated**
 - 2.1. The Contractor shall use and disclose Protected Health Information in compliance with the Standards for Privacy of Individually Identifiable Health Information (Privacy Rule) (45 CFR Parts 160 and 164) under the Health Insurance Portability and Accountability Act (HIPAA) of 1996, and in accordance with the attached Exhibit I, Business Associate Agreement, which has been executed by the parties.
 - 2.2. The Contractor shall comply with all Exhibits D through K, which are attached hereto and incorporated by reference herein.
3. **Reporting Requirements**
 - 3.1. The Contractor shall provide a list of all TA requested, to the Department for approval prior to initiating the provision of TA services.
 - 3.2. The Contractor shall provide written monthly progress reports to the Department, within 10 days of the end of the previous month, related to accomplishments of the contract goals and performance measures

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

- 3.3. The Contractor shall ensure each written monthly progress report includes, but is not limited to:
 - 3.3.1. A summary of the key work performed during the monthly period, including updates and outcomes of TA provided.
 - 3.3.2. Encountered and foreseeable key issues and suggested mitigation strategies for each issue, as applicable.
 - 3.3.3. Updates to the work plan.
 - 3.4. The Contractor shall complete and submit an end-of-year report no later than sixty (60) days after the end of the state fiscal year, which includes, but is not limited to:
 - 3.4.1. A complete program overview.
 - 3.4.2. Accomplishments toward program goals and performance measures linked to outcomes.
 - 3.4.3. End of year financial report.
 - 3.5. The Contractor shall submit the data analytic TA process to the Department for review and approval with 10 days of the approval of this agreement.
- 4. Performance Measures**
- 4.1. The Department will monitor Contractor performance according to the following standards:
 - 4.1.1. The Contractor shall ensure 85% of TA recipients report taking at least one (1) action step as a result of TA provided.
 - 4.1.2. The Contractor shall ensure evaluations of TA sessions show 85% or better recipient satisfaction rating.
 - 4.1.3. The Contractor shall ensure 35% of Student Assistance Program professionals receive training and technical assistance on the use and application of the Global Appraisal of Individual Needs-Short Screen.
 - 4.2. The Contractor shall actively and regularly collaborate with the Department to enhance contract management, improve results, and adjust program delivery and policy based on successful outcomes.
 - 4.3. The Contractor may be required to provide other key data and metrics to the Department, including client-level demographic, performance, and service data.
 - 4.4. Where applicable, the Contractor shall collect and share data with the Department in a format specified by the Department.

5. Additional Terms

5.1. Impacts Resulting from Court Orders or Legislative Changes

Growth Partners, LLC

Exhibit B – Amendment #2

Contractor Initials

⁰³
LBS

RFP-2021-BDAS-02-TECHN-01-A02

Page 14 of 16

Date 1/24/2022

New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System



EXHIBIT B – Amendment #2

5.1.1. The Contractor agrees that, to the extent future state or federal legislation or court orders may have an impact on the Services described herein, the State has the right to modify Service priorities and expenditure requirements under this Agreement so as to achieve compliance therewith.

5.2. Federal Civil Rights Laws Compliance: Culturally and Linguistically Appropriate Programs and Services

5.2.1. The Contractor shall submit, within ten (10) days of the contract effective date, a detailed description of the communication access and language assistance services they will provide to ensure meaningful access to their programs and/or services to persons with limited English proficiency, people who are deaf or have hearing loss, are blind or have low vision, or who have speech challenges.

5.3. Credits and Copyright Ownership

5.3.1. All documents, notices, press releases, research reports and other materials prepared during or resulting from the performance of the services of the Contract shall include the following statement, "The preparation of this (report, document etc.) was financed under a Contract with the State of New Hampshire, Department of Health and Human Services, with funds provided in part by the State of New Hampshire and/or such other funding sources as were available or required, e.g., the United States Department of Health and Human Services."

5.3.2. All materials produced or purchased under the contract shall have prior approval from the Department before printing, production, distribution or use.

5.3.3. The Department shall retain copyright ownership for any and all original materials produced, including, but not limited to:

- 5.3.3.1. Brochures.
- 5.3.3.2. Resource directories.
- 5.3.3.3. Protocols or guidelines.
- 5.3.3.4. Posters.
- 5.3.3.5. Reports.

5.3.4. The Contractor shall not reproduce any materials produced under the contract without prior written approval from the Department.

6. Records

6.1. The Contractor shall keep records that include, but are not limited to:

09
LBS

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B – Amendment #2

6.1.1. Books, records, documents and other electronic or physical data evidencing and reflecting all costs and other expenses incurred by the Contractor in the performance of the Contract, and all income received or collected by the Contractor.

6.1.2. All records must be maintained in accordance with accounting procedures and practices, which sufficiently and properly reflect all such costs and expenses, and which are acceptable to the Department, and to include, without limitation, all ledgers, books, records, and original evidence of costs such as purchase requisitions and orders; vouchers, requisitions for materials, inventories, valuations of in-kind contributions; labor time cards, payrolls, and other records requested or required by the Department.

6.2. During the term of this Contract and the period for retention hereunder, the Department, the United States Department of Health and Human Services, and any of their designated representatives shall have access to all reports and records maintained pursuant to the Contract for purposes of audit, examination, excerpts and transcripts. Upon the purchase by the Department of the maximum number of units provided for in the Contract and upon payment of the price limitation hereunder, the Contract and all the obligations of the parties hereunder (except such obligations as, by the terms of the Contract are to be performed after the end of the term of this Contract and/or survive the termination of the Contract) shall terminate, provided however, that if, upon review of the Final Expenditure Report the Department shall disallow any expenses claimed by the Contractor as costs hereunder the Department shall retain the right, at its discretion, to deduct the amount of such expenses as are disallowed or to recover such sums from the Contractor.

Exhibit C-6, Amendment 2, SFY 2022 Budget
Data Analysis

New Hampshire Department of Health and Human Services
COMPLETE ONE BUDGET FORM FOR EACH BUDGET PERIOD

Contractor Name: Growth Partners, LLC

Project Title: Technical Assistance for the Alcohol and Other Drug (AOD) Service System

Budget Period: SFY 2022 - Effective Date - June 30, 2022

| Line Item | Total Program Cost | | | Contractor Share / Match | | | Funded by DHHS contract share | | |
|--------------------------------------|--------------------|-------------|--------------|--------------------------|-----------|-------------|-------------------------------|-------------|--------------|
| | Direct | Indirect | Total | Direct | Indirect | Total | Direct | Indirect | Total |
| 1. Total Salary/Wages | \$ 20,873.00 | \$ - | \$ 20,873.00 | \$ 5,173.00 | \$ - | \$ 5,173.00 | \$ 15,700.00 | \$ - | \$ 15,700.00 |
| 2. Employee Benefits | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 3. Consultants | \$ 23,000.00 | \$ - | \$ 23,000.00 | \$ - | \$ - | \$ - | \$ 23,000.00 | \$ - | \$ 23,000.00 |
| 4. Equipment | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Rental | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Repair and Maintenance | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Purchase/Depreciation | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 5. Supplies | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Educational | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Lab | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Pharmacy | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Medical | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Office | \$ 750.00 | \$ - | \$ 750.00 | \$ - | \$ - | \$ - | \$ 750.00 | \$ - | \$ 750.00 |
| 6. Travel | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 7. Occupancy | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 8. Current Expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Telephone | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Postage | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Subscriptions | \$ 750.00 | \$ - | \$ 750.00 | \$ - | \$ - | \$ - | \$ 750.00 | \$ - | \$ 750.00 |
| Audit and Legal | \$ 750.00 | \$ - | \$ 750.00 | \$ - | \$ - | \$ - | \$ 750.00 | \$ - | \$ 750.00 |
| Insurance | \$ 750.00 | \$ - | \$ 750.00 | \$ - | \$ - | \$ - | \$ 750.00 | \$ - | \$ 750.00 |
| Board Expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 9. Software | \$ 1,200.00 | \$ - | \$ 1,200.00 | \$ - | \$ - | \$ - | \$ 1,200.00 | \$ - | \$ 1,200.00 |
| 10. Marketing/Communications | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 11. Staff Education and Training | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 12. Subcontract/Agreements | \$ 800.00 | \$ - | \$ 800.00 | \$ - | \$ - | \$ - | \$ 800.00 | \$ - | \$ 800.00 |
| 13. Other (specify below mandatory): | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| DOI | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Indirect As A Percent of Direct | \$ - | \$ 7,568.00 | \$ 7,568.00 | \$ - | \$ 518.00 | \$ 518.00 | \$ - | \$ 7,050.00 | \$ 7,568.00 |
| TOTAL | \$ 25,873.00 | \$ 7,568.00 | \$ 33,441.00 | \$ 5,173.00 | \$ 518.00 | \$ 5,691.00 | \$ 20,250.00 | \$ 7,050.00 | \$ 27,300.00 |

Indirect As A Percent of Direct

22.6%

Exhibit C-A, Amendment 2, SFY 2022 Budget
GAM 53

New Hampshire Department of Health and Human Services
COMPLETE ONE BUDGET FORM FOR EACH BUDGET PERIOD

Contractor Name: Growth Partners, LLC

Project Title: Technical Assistance for the Alcohol and Other Drug (AOD) Service System

Budget Period: SFY 2022 - (Effective date - June 30, 2022)

| Line Item | Total Program Cost | | | Contractor Share / Match | | | Funded by DHS contract others | | |
|---------------------------------------|--------------------|------------------|-------------------|--------------------------|-----------------|------------------|-------------------------------|------------------|-------------------|
| | Direct | Indirect | Total | Direct | Indirect | Total | Direct | Indirect | Total |
| 1. Total Salary/Wages | 210,430.00 | | 210,430.00 | 77,430.00 | | 77,430.00 | 133,000.00 | | 133,000.00 |
| 2. Employee Benefits | | | | | | | | | |
| 3. Consultants | 40,000.00 | | 40,000.00 | | | | 40,000.00 | | 40,000.00 |
| 4. Equipment | | | | | | | | | |
| Rental | | | | | | | | | |
| Repair and Maintenance | | | | | | | | | |
| Purchase/Depreciation | 2,500.00 | | 2,500.00 | | | | 2,500.00 | | 2,500.00 |
| 5. Supplies | | | | | | | | | |
| Educational | 2,500.00 | | 2,500.00 | | | | 2,500.00 | | 2,500.00 |
| Lab | | | | | | | | | |
| Pharmacy | | | | | | | | | |
| Medical | | | | | | | | | |
| Office | 2,000.00 | | 2,000.00 | | | | 2,000.00 | | 2,000.00 |
| 6. Travel | 3,000.00 | | 3,000.00 | | | | 3,000.00 | | 3,000.00 |
| 7. Occupancy | 2,100.00 | | 2,100.00 | | | | 2,100.00 | | 2,100.00 |
| 8. Current Expenses | | | | | | | | | |
| Telephones | 400.00 | | 400.00 | | | | 400.00 | | 400.00 |
| Postage | 750.00 | | 750.00 | | | | 750.00 | | 750.00 |
| Supplies | 1,800.00 | | 1,800.00 | | | | 1,800.00 | | 1,800.00 |
| Audit and Legal | 800.00 | | 800.00 | | | | 800.00 | | 800.00 |
| Insurance | 750.00 | | 750.00 | | | | 750.00 | | 750.00 |
| Board Expenses | | | | | | | | | |
| 9. Software | 2,500.00 | | 2,500.00 | | | | 2,500.00 | | 2,500.00 |
| 10. Printing/Communications | 7,500.00 | | 7,500.00 | | | | 7,500.00 | | 7,500.00 |
| 11. Staff Education and Training | 3,000.00 | | 3,000.00 | | | | 3,000.00 | | 3,000.00 |
| 12. Subcontract/Agreements | 75,000.00 | | 75,000.00 | | | | 75,000.00 | | 75,000.00 |
| 13. Other (specify details mandatory) | | | | | | | | | |
| Indirect As A Percent of Direct | | 20,665.00 | 20,665.00 | | 2,743.00 | 2,743.00 | | 27,870.00 | 27,870.00 |
| TOTAL | 308,430.00 | 20,665.00 | 327,115.00 | 77,430.00 | 2,743.00 | 80,173.00 | 247,942.00 | 27,870.00 | 275,812.00 |

Indirect As A Percent of Direct

10.8%

Exhibit C-7, Amendment 2, SFY 2022 Budget
Supplemental TA

New Hampshire Department of Health and Human Services
COMPLETE ONE BUDGET FORM FOR EACH BUDGET PERIOD

Contractor Name: Growth Partners, LLC

Project Title: Technical Assistance for the Alcohol and Other Drug (AOD) Service System

Budget Period: SFY 2022 - Effective date - June 30, 2022

| Line Item | Total Program Cost | | | Contractor Effort / Match | | | Funded by DHS contract share | | |
|--------------------------------------|--------------------|------------------|-------------------|---------------------------|-----------------|------------------|------------------------------|-----------------|-------------------|
| | Direct | Indirect | Total | Direct | Indirect | Total | Direct | Indirect | Total |
| 1. Total Salary/Wages | \$6,750.00 | | \$6,750.00 | 11,250.00 | | 11,250.00 | 73,000.00 | | 73,000.00 |
| 2. Employee Benefits | | | | | | | | | |
| 3. Consultants | 20,400.00 | | 20,400.00 | | | | 20,400.00 | | 20,400.00 |
| 4. Equipment | | | | | | | | | |
| Rental | | | | | | | | | |
| Repair and Maintenance | | | | | | | | | |
| Purchase/Replacement | | | | | | | | | |
| 5. Supplies | | | | | | | | | |
| Educational | | | | | | | | | |
| Lab | | | | | | | | | |
| Pharmacy | | | | | | | | | |
| Medical | | | | | | | | | |
| Office | 750.00 | | 750.00 | | | | 750.00 | | 750.00 |
| 6. Travel | | | | | | | | | |
| 7. Occupancy | | | | | | | | | |
| 8. Current Expenses | | | | | | | | | |
| Telephone | | | | | | | | | |
| Postage | | | | | | | | | |
| Subscriptions | 800.00 | | 800.00 | | | | 800.00 | | 800.00 |
| Audit and Legal | | | | | | | | | |
| Insurance | | | | | | | | | |
| Board Expenses | | | | | | | | | |
| 9. Software | 800.00 | | 800.00 | | | | 800.00 | | 800.00 |
| 10. Marketing/Communications | | | | | | | | | |
| 11. Staff Education and Training | | | | | | | | | |
| 12. Subcontractors/Agreements | 1,500.00 | | 1,500.00 | | | | 1,500.00 | | 1,500.00 |
| 13. Other (specify beyond mandatory) | | | | | | | | | |
| Indirect As A Percent of Direct | | 10,800.00 | 10,800.00 | | 1,125.00 | 1,125.00 | | 0,835.00 | 0,835.00 |
| TOTAL | 109,800.00 | 10,800.00 | 120,600.00 | 11,250.00 | 1,125.00 | 12,375.00 | 84,250.00 | 0,835.00 | 185,185.00 |

Indirect As A Percent of Direct

10.0%

Exhibit C-10, Amendment 2, SFY 2023 Budget
Supplemental TA

New Hampshire Department of Health and Human Services
COMPLETE ONE BUDGET FORM FOR EACH BUDGET PERIOD

Contractor Name: Growth Partners, LLC

Project Title: Technical Assistance for the Alcohol and Other Drug (AOD) Service System

Budget Period: SFY 2023: July 1, 2023 - September 30, 2023

| Line Item | Total Program Cost | | | Contractor Share / Match | | | Funded by OHSU contract share | | |
|--|--------------------|-------------|--------------|--------------------------|-----------|-------------|-------------------------------|-------------|--------------|
| | Direct | Indirect | Total | Direct | Indirect | Total | Direct | Indirect | Total |
| 1. Total Salary/Wages | \$ 43,125.00 | \$ - | \$ 43,125.00 | \$ 5,825.00 | \$ - | \$ 5,825.00 | \$ 37,300.00 | \$ - | \$ 37,300.00 |
| 2. Employee Benefits | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 3. Consultants | \$ 10,700.00 | \$ - | \$ 10,700.00 | \$ - | \$ - | \$ - | \$ 10,700.00 | \$ - | \$ 10,700.00 |
| 4. Equipment | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Rental | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Repair and Maintenance | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Purchase/Depreciation | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 5. Supplies | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Educational | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Lab | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Pharmacy | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Medical | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Office | \$ 100.00 | \$ - | \$ 100.00 | \$ - | \$ - | \$ - | \$ 100.00 | \$ - | \$ 100.00 |
| 6. Travel | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 7. Occupancy | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 8. Current Expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Telephone | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Postage | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Subscriptions | \$ 200.00 | \$ - | \$ 200.00 | \$ - | \$ - | \$ - | \$ 200.00 | \$ - | \$ 200.00 |
| Audit and Legal | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Insurance | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Board Expenses | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 9. Software | \$ 300.00 | \$ - | \$ 300.00 | \$ - | \$ - | \$ - | \$ 300.00 | \$ - | \$ 300.00 |
| 10. Marketing/Communications | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 11. Staff Education and Training | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 12. Subcontract/Agreements | \$ 750.00 | \$ - | \$ 750.00 | \$ - | \$ - | \$ - | \$ 750.00 | \$ - | \$ 750.00 |
| 13. Other (Indicate details in narrative): | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| Indirect As A Percent of Direct | \$ - | \$ 3,477.00 | \$ 3,477.00 | \$ - | \$ 562.00 | \$ 562.00 | \$ - | \$ 4,813.00 | \$ 4,813.00 |
| TOTAL | \$ 54,775.00 | \$ 3,477.00 | \$ 58,252.00 | \$ 5,825.00 | \$ 562.00 | \$ 6,387.00 | \$ 49,156.00 | \$ 4,813.00 | \$ 54,969.00 |
| Indirect As A Percent of Direct | | 10.0% | | | | | | | |

Exhibit C-11, Amendment 2, SFY 2022 Budget
Technical Assistance

New Hampshire Department of Health and Human Services
COMPLETE ONE BUDGET FORM FOR EACH BUDGET PERIOD

Contractor Name: Growth Partners, LLC

Project Title: Technical Assistance for the Alcohol and Other Drug (AOD) Service System

Budget Period: SFY 2022: July 1, 2022 - June 30, 2023

| Line Item | Total Program Cost | | | Contractor Share / Match | | | Funded by DHS/LS contract share | | |
|----------------------------------|--------------------|------------------|-------------------|--------------------------|-----------------|------------------|---------------------------------|------------------|-------------------|
| | Direct | Indirect | Total | Direct | Indirect | Total | Direct | Indirect | Total |
| 1. Total Salary/Wages | 764,500.00 | | 764,500.00 | 34,500.00 | | 34,500.00 | 230,000.00 | | 230,000.00 |
| 2. Employee Benefits | | | | | | | | | |
| 3. Consultants | 63,000.00 | | 63,000.00 | | | | 63,000.00 | | 63,000.00 |
| 4. Equipment | | | | | | | | | |
| Rental | | | | | | | | | |
| Repair and Maintenance | | | | | | | | | |
| Purchase/Construction | | | | | | | | | |
| 5. Supplies | | | | | | | | | |
| Educational | | | | | | | | | |
| Lab | 750.00 | | 750.00 | | | | 750.00 | | 750.00 |
| Pharmacy | | | | | | | | | |
| Medical | | | | | | | | | |
| Office | 750.00 | | 750.00 | | | | 750.00 | | 750.00 |
| 6. Travel | 3,000.00 | | 3,000.00 | | | | 3,000.00 | | 3,000.00 |
| 7. Occupancy | | | | | | | | | |
| 8. Current Expenses | | | | | | | | | |
| Telephone | 800.00 | | 800.00 | | | | 800.00 | | 800.00 |
| Postage | | | | | | | | | |
| Subscriptions | 750.00 | | 750.00 | | | | 750.00 | | 750.00 |
| Audit and Legal | 750.00 | | 750.00 | | | | 750.00 | | 750.00 |
| Insurance | 750.00 | | 750.00 | | | | 750.00 | | 750.00 |
| Board Expenses | | | | | | | | | |
| 9. Software | 1,750.00 | | 1,750.00 | | | | 1,750.00 | | 1,750.00 |
| 10. Learning/Communications | | | | | | | | | |
| 11. Staff Education and Training | | | | | | | | | |
| 12. Support/Logistics | 4,000.00 | | 4,000.00 | | | | 4,000.00 | | 4,000.00 |
| 13. Other (specify below) | | | | | | | | | |
| Indirect As A Percent of Direct | | 33,000.00 | 33,000.00 | | 3,450.00 | 3,450.00 | | 79,500.00 | 79,500.00 |
| TOTAL | 124,300.00 | 33,000.00 | 161,300.00 | 34,500.00 | 3,450.00 | 37,950.00 | 234,300.00 | 79,500.00 | 323,300.00 |

Indirect As A Percent of Direct

16%

19
MAC



**STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
DIVISION FOR BEHAVIORAL HEALTH**

Lori A. Shiblette
Commissioner

Kelja S. Fox
Director

129 PLEASANT STREET, CONCORD, NH 03301
603-271-9544 1-800-852-3345 Ext. 9544
Fax: 603-271-4332 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

April 7, 2021

His Excellency, Governor Christopher T. Sununu
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Authorize the Department of Health and Human Services, Division for Behavioral Health, to enter into a Sole Source amendment to an existing contract with Growth Partners, LLC (VC#319255), Lincoln, NE to enhance technical assistance for direct substance misuse prevention services and workforce development efforts for substance misuse professionals by increasing the price limitation by \$446,365 from \$650,800 to \$1,097,165 with no change to the contract completion date of June 30, 2022, effective upon Governor and Council approval: 21.82% Federal Funds. 11.24% General Funds. 66.94% Other Funds (Governor Commission).

The original contract was approved by Governor and Council on August 26, 2020, Item #13.

Funds are available in the following accounts for State Fiscal Years 2021, and are anticipated to be available in State Fiscal Year 2022, upon the availability and continued appropriation of funds in the future operating budget, with the authority to adjust budget line items within the price limitation and encumbrances between state fiscal years through the Budget Office, if needed and justified.

05-96-92-920510-33820000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION FOR BEHAVIORAL HEALTH, BUREAU OF DRUG AND ALCOHOL, GOVERNOR COMMISSION FUNDS

| State Fiscal Year | Class / Account | Class Title | Job Number | Current Budget | Increased (Decreased) Amount | Revised Budget |
|-------------------|-----------------|------------------------|-----------------|------------------|------------------------------|------------------|
| 2021 | 102-500731 | Contracts for Prog Svc | 92058501 | \$325,400 | \$125,000 | \$450,400 |
| 2022 | 102-500731 | Contracts for Prog Svc | 92058501 | \$325,400 | \$0 | \$325,400 |
| | | | Subtotal | \$650,800 | \$125,000 | \$775,800 |

05-96-92-920510-33840000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION FOR BEHAVIORAL HEALTH, BUREAU OF DRUG AND ALCOHOL, CLINICAL SERVICES

His Excellency, Governor Christopher T. Sununu
and the Honorable Council
Page 2 of 3

| State Fiscal Year | Class / Account | Class Title | Job Number | Current Budget | Increased (Decreased) Amount | Revised Budget |
|-------------------|-----------------|------------------------|-----------------|------------------|------------------------------|--------------------|
| 2021 | 102-500731 | Contracts for Prog Svc | 92057501 | \$0 | \$35,683 | \$35,683 |
| 2021 | 102-500731 | Contracts for Prog Svc | 92057502 | \$0 | \$125,000 | \$125,000 |
| 2022-Q1 | 102-500731 | Contracts for Prog Svc | 92057501 | \$0 | \$35,682 | \$35,682 |
| 2022-Q1 | 102-500731 | Contracts for Prog Svc | 92057502 | \$0 | \$125,000 | \$125,000 |
| | | | Subtotal | \$0 | \$321,365 | \$321,365 |
| | | | Total | \$850,800 | \$448,385 | \$1,097,165 |

EXPLANATION

This request is Sole Source because this amendment adds funding to increase the price limitation by 10% over the original contract amount and must therefore be labelled as sole source. The Contractor is currently providing these services and is therefore uniquely qualified to deliver the additional technical assistance and training needed within the necessary timeframe. The supplemental funding was awarded by the Substance Abuse and Mental Health Services Administration and must be fully obligated and expended before September 30, 2021.

The purpose of this request is to provide supplemental funding to enhance data-driven strategies for direct prevention services and population evaluations in New Hampshire to better understand current substance misuse prevention efforts. The Contractor provides technical assistance services and supports that will impact the residents of New Hampshire by enriching and enhancing the substance misuse continuum of care, which includes prevention, early intervention, treatment and recovery.

The Contractor will provide a suite of technical assistance to improve the substance misuse continuum of care system and enhance workforce development efforts for providers to align with current best practices related to substance misuse and other behavioral health issues.

The Department will monitor contracted services to ensure:

- 85% or more of technical assistance recipients report taking at least one (1) action step as a result of the technical assistance that was provided.
- 85% or greater recipient satisfaction ratings for the delivery of technical assistance.

As referenced in Exhibit A, Revisions to Standard Contract Provisions of the original contract, the parties have the option to extend the agreement for up to two (2) additional years, contingent upon satisfactory delivery of services, available funding, agreement of the parties and Governor and Council approval. The Department is not exercising its option to renew at this time.

Should the Governor and Council not authorize this request the Department may be unable to collectively address challenges and build capacity across the substance misuse continuum of care service system in New Hampshire.

His Excellency: Governor Christopher T. Sununu
and the Honorable Council
Page 3 of 3

Area served: Statewide

Source of Funds: CFOA #93.859 FAIN T1083041

In the event that the Federal or Other Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Lori A. Shlbinette
Commissioner

**State of New Hampshire
Department of Health and Human Services
Amendment #1**

This Amendment to the Technical Assistance for the Alcohol and Other Drug (AOD) Service System contract is by and between the State of New Hampshire, Department of Health and Human Services ("State" or "Department") and Growth Partners, LLC ("the Contractor").

WHEREAS, pursuant to an agreement (the "Contract") approved by the Governor and Executive Council on August 26, 2020 (Item #13), the Contractor agreed to perform certain services based upon the terms and conditions specified in the Contract and in consideration of certain sums specified; and

WHEREAS, pursuant to Form P-37, General Provisions, Paragraph 17, the Contract may be amended upon written agreement of the parties and approval from the Governor and Executive Council; and

WHEREAS, the parties agree to extend the term of the agreement, increase the price limitation, or modify the scope of services to support continued delivery of these services; and

NOW THEREFORE, in consideration of the foregoing and the mutual covenants and conditions contained in the Contract and set forth herein, the parties hereto agree to amend as follows:

1. Form P-37, General Provisions, Block 1.8, Price Limitation, to read:
\$1,097,165.
2. Modify Exhibit B, Scope of Services, Section 1., Statement of Work, by adding Subsection 1.23, to read:
 - 1.23. The Contractor shall provide technical assistance activities to Substance Use Disorder (SUD) treatment providers identified by the Department, which includes, but is not limited to:
 - 1.23.1. Providing treatment providers with information about effective strategies to deal with compassion fatigue and/or vicarious trauma;
 - 1.23.2. Developing a methodology to select treatment providers who submit proposals for pilot projects to implement creative compassion fatigue or vicarious trauma programs within their agencies;
 - 1.23.3. Providing mini-grants pilot projects, upon approval by the Department;
 - 1.23.4. Providing TA for implementation of pilot projects;
 - 1.23.5. Evaluating pilot projects on effectiveness of staff support, impact on workforce retention, and cost effectiveness;
 - 1.23.6. Providing a report on promising practices based upon evaluation of and "lessons learned" from pilot projects; and
 - 1.23.7. Disseminating information from the report identified in 1.23.6 to treatment providers.
2. Modify Exhibit B, Scope of Services, Section 1., Statement of Work, by adding Subsection 1.24, to read:
 - 1.24. Substance Abuse Mental Health Services Administration (SAMHSA) Block Grant
 - 1.24.1. The Contractor shall provide services as described in Subsection 1.23 to individuals including:
 - 1.24.1.1. Pregnant women and women with dependent children;
 - 1.24.1.2. Individuals who inject drugs;
 - 1.24.1.3. Individuals at risk for tuberculosis; and

- 1.24.1.4. Individuals in need of primary substance use prevention.
3. Modify Exhibit B, Scope of Services, Section 1., Statement of Work, by adding Subsection 1.25 to read:
- 1.25. The Contractor shall provide technical assistance in collaboration with the Department that includes, but is not limited to:
- 1.25.1. Determining prevention metrics that measure all direct prevention services including, but not limited to:
- 1.25.1.1. Identifying consistent measurable outcomes.
 - 1.25.1.2. Identifying process and outcome indicators and the associated targets for all desired results.
 - 1.25.1.3. Making recommendations on possible data collection systems.
 - 1.25.1.4. Developing evaluation processes that identify and compare actual results to desired results.
- 1.25.2. Developing a work plan, to be approved by the Department, that includes but is not limited to:
- 1.25.2.1. Reviewing the current Synar sampling design that includes both over-the-counter sales and vending machine locations for tobacco products and making recommendations for changes.
 - 1.25.2.2. Identifying available staffing resources and roles and responsibilities to conduct inspection and/or coverage studies and making recommendations for inspection protocols.
 - 1.25.2.3. Developing a design strategy for incorporating the Federal Tobacco 21 Model.
 - 1.25.2.4. Incorporating tobacco products that are not in the current sample design, as requested by the Department.
 - 1.25.2.5. Recommending appropriate changes to sample design and inspection protocol.
 - 1.25.2.6. Collecting and compiling data for ASR reporting to be approved by the Department before submission to SAMHSA.
 - 1.25.2.7. Providing support for SAMHSA appendices review process.
 - 1.25.2.8. Utilizing the Synar Survey Estimation System (SSES) sample size calculator to determine sample size by strata.
 - 1.25.2.9. Drawing a random sample of outlets from the outlet frame file provided by the Department for Synar inspections.
 - 1.25.2.10. Designing and implementing a coverage study that includes:
 - 1.25.2.10.1. Developing and presenting training materials;
 - 1.25.2.10.2. Preparing and distributing materials that include, but are not limited to, maps.
 - 1.25.2.10.3. Collecting and analyzing coverage study data;
 - 1.25.2.10.4. Providing a report detailing coverage study results; and
 - 1.25.2.10.5. Completing Appendix D for the ASR for Department approval.

- 1.25.2.11. Collaborating with the Department to develop a data collection template for Synar inspections including but not limited to:
 - 1.25.2.11.1. Identifying Synar data element needs.
 - 1.25.2.11.2. Developing a set of specifications.
- 1.25.2.12. Receiving, reviewing and monitoring aggregate Synar inspection data at intervals approved by the Department.
- 1.25.2.13. Conducting quality control measures to ensure data accuracy, including, but not limited to:
 - 1.25.2.13.1. Submitting requests for data correction from designing staff as needed.
 - 1.25.2.13.2. Submitting recommendations to adjust inspection assignments to meet requirements of the sampling design.
 - 1.25.2.13.3. Preparing and entering aggregated inspection data into a Department approved file to be used for SSES computations.
- 1.25.2.14. Analyzing Synar inspection data using SSES including:
 - 1.25.2.14.1. Executing SSES;
 - 1.25.2.14.2. Providing Tables 1-8 from the Annual Synar Report to the Department; and
 - 1.25.2.14.3. Providing additional statistical analysis of data factors that contribute to the retail violation rate (RVR) across prior years.
- 1.25.2.15. Providing a report within one (1) business day of the receipt of inspection data that includes, but is not limited to:
 - 1.25.2.15.1. All inspections assigned versus completed.
 - 1.25.2.15.2. Inspections assigned versus completed by individual inspector.
 - 1.25.2.15.3. Overall age ratio and age ration for underage buyers as reported by individual inspectors while conducting compliance checks with tobacco retailers.
- 4. Modify Exhibit C-1, SFY21 Budget by replacing in its entirety with Exhibit C-1, Amendment #1, which is attached hereto and incorporated by reference herein.
- 5. Add Exhibit C-3, Amendment #1 Budget which is attached hereto and incorporated by reference herein.
- 6. Add Exhibit C-4, Amendment #1 Budget which is attached hereto and incorporated by reference herein.

All terms and conditions of the Contract not modified by this Amendment remain in full force and effect. This Amendment shall be effective upon the date of Governor and Executive Council approval.

IN WITNESS WHEREOF, the parties have set their hands as of the date written below,

State of New Hampshire
Department of Health and Human Services

4/21/2021
Date

DocuSigned by:
Katja Fox
Name: Katja Fox
Title: Director

Growth Partners, LLC

4/21/2021
Date

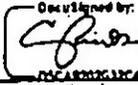
DocuSigned by:
Laurie Barger Sutter
Name: Laurie Barger Sutter
Title: CEO

The preceding Amendment, having been reviewed by this office, is approved as to form, substance, and execution.

OFFICE OF THE ATTORNEY GENERAL

4/30/2021

Date

DocuSigned by:


Name: Catherine Pinos

Title: Attorney

I hereby certify that the foregoing Amendment was approved by the Governor and Executive Council of the State of New Hampshire at the Meeting on: _____ (date of meeting)

OFFICE OF THE SECRETARY OF STATE

Date

Name:
Title:

Exhibit C-1 Amendment #1

New Hampshire Department of Health and Human Services

Contractor Name: Growth Partners, LLC

Budget Request for: Technical Assistance for the Alcohol and Drug (AOD) Service System

Budget Period: 8/7/11 thru 6/30/12

| Line Item | Total Program Cost | | | Contractor Share / Match | | | Funded by Donor's contract share | | |
|----------------------------------|--------------------|-----------|------------|--------------------------|----------|-----------|----------------------------------|-----------|------------|
| | Direct | Indirect | Total | Direct | Indirect | Total | Direct | Indirect | Total |
| 1. Total Salary/Wages | 278,779.00 | 11,379.00 | 290,158.00 | | | | 278,779.00 | 11,379.00 | 290,158.00 |
| 2. Employee Benefits | | | | | | | | | |
| 3. Contract fees | 65,000.00 | 3,063.00 | 68,063.00 | | | | 65,000.00 | 3,063.00 | 68,063.00 |
| 4. Equipment | | | | | | | | | |
| Rental | | | | | | | | | |
| Repair and Maintenance | 1,200.00 | | 1,200.00 | 1,200.00 | | 1,200.00 | | | |
| Purchase/Replacement | | | | | | | | | |
| 5. Supplies | | | | | | | | | |
| Educational | 2,300.00 | 85.00 | 2,385.00 | 700.00 | | 700.00 | 1,600.00 | 85.00 | 1,685.00 |
| Lab | | | | | | | | | |
| Pharmacy | | | | | | | | | |
| Medical | | | | | | | | | |
| Office | 2,500.00 | 50.00 | 2,550.00 | 1,250.00 | | 1,250.00 | 1,300.00 | 50.00 | 1,350.00 |
| 6. Travel | 6,473.00 | 305.00 | 6,778.00 | | | | 6,473.00 | 305.00 | 6,778.00 |
| 7. Contingency | | | | | | | | | |
| 8. General Expenses | | | | | | | | | |
| Telephone | 4,800.00 | | 4,800.00 | 4,800.00 | | 4,800.00 | | | |
| Printing | 700.00 | | 700.00 | 350.00 | | 350.00 | | | |
| Supplies | 2,500.00 | | 2,500.00 | 1,250.00 | | 1,250.00 | | | |
| Audit and Legal | 1,600.00 | | 1,600.00 | 800.00 | | 800.00 | | | |
| Insurance | 1,500.00 | | 1,500.00 | 750.00 | | 750.00 | | | |
| Board Expenses | | | | | | | | | |
| 9. Software | 2,500.00 | 118.00 | 2,618.00 | 1,000.00 | | 1,000.00 | 2,000.00 | 118.00 | 2,118.00 |
| 10. Advertising/Communications | | | | | | | | | |
| 11. Staff Education and Training | | | | | | | | | |
| 12. Subcontractors | 114,373.00 | 3,200.00 | 117,573.00 | | | | 114,373.00 | 3,200.00 | 117,573.00 |
| 13. Other (specify below vendor) | | | | | | | | | |
| TOTAL | 448,318.00 | 20,744.00 | 469,062.00 | 18,100.00 | | 18,100.00 | 430,122.00 | 20,744.00 | 450,866.00 |

Funded As A Portion of Direct

4.3%

Invoice Number: 07-34288-172-08-002-00070000

Exhibit C-3 Amendment #1

New Hampshire Department of Health and Human Services

Contractor Name: Growth Partners, LLC

Budget Request for: Supplemental Technical Assistance (TA)

Budget Period: FFY11 07/01/11-07/01/11

| Line Item | Total Program Cost | | | Contractor Earns / March | | | Funded by OHAUS contract share | | |
|---------------------------------------|--------------------|----------|------------|--------------------------|----------|-------|--------------------------------|----------|------------|
| | Direct | Indirect | Total | Direct | Indirect | Total | Direct | Indirect | Total |
| 1. Total Salary/Wages | 100,000.00 | 0.00 | 100,000.00 | 0.00 | 0.00 | 0.00 | 100,000.00 | 0.00 | 100,000.00 |
| 2. Employee Benefits | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 3. Contractors | 21,075.00 | 1,130.00 | 22,205.00 | 0.00 | 0.00 | 0.00 | 21,075.00 | 1,130.00 | 22,205.00 |
| 4. Equipment | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Rental | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Repair and Maintenance | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Purchase/Depreciation | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 5. Supplies | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Postage | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Lab | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Printing | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Travel | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Office | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 6. Travel | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 7. Occupancy | 0.00 | 43.00 | 43.00 | 0.00 | 0.00 | 0.00 | 0.00 | 43.00 | 43.00 |
| 8. Contract Expenses | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Telephone | 100.00 | 0.00 | 100.00 | 0.00 | 0.00 | 0.00 | 100.00 | 0.00 | 100.00 |
| Postage | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Subscriptions | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Audit and Legal | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Insurance | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Bond Expenses | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 9. Software | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 10. Marketing/Communications | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 11. Staff Education and Training | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 12. Materials/Agreements | 27,100.00 | 1,704.00 | 28,804.00 | 0.00 | 0.00 | 0.00 | 27,100.00 | 1,704.00 | 28,804.00 |
| 13. Other (specific dollar secondary) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| TOTAL | 127,175.00 | 2,834.00 | 130,009.00 | 0.00 | 0.00 | 0.00 | 127,175.00 | 2,834.00 | 130,009.00 |

Indirect As A Percent of Direct

13 mac



Lori A. Sbiblette
Commissioner

Karla S. Fox
Director

AUG12'20 PM 2:26 DAS

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
DIVISION FOR BEHAVIORAL HEALTH

139 PLEASANT STREET, CONCORD, NH 03301
603-271-9544 1-800-832-3345 Ext. 9544
Fax: 603-271-4332 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

August 5, 2020

His Excellency, Governor Christopher T. Sununu
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Authorize the Department of Health and Human Services, Division for Behavioral Health, on behalf of the Governor's Commission on Alcohol and Other Drugs, to award a contract with Growth Partners, LLC (VC# TBD), Lincoln, NE in the amount of \$650,800 for the provision of technical assistance to the Alcohol and Other Drug service system, with the option to renew for up to two (2) additional years, effective upon Governor and Council approval through June 30, 2022. 100% Other Funds (Governor Commission Funds).

Funds are available in the following accounts for State Fiscal Year (2021), and are anticipated to be available in State Fiscal Year (2022), upon the availability and continued appropriation of funds in the future operating budget, with the authority to adjust budget line items within the price limitation and encumbrances between state fiscal years through the Budget Office, if needed and justified.

05-95-92-920510-33820000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION FOR BEHAVIORAL HEALTH, BUREAU OF DRUG AND ALCOHOL, GOVERNOR COMMISSION FUNDS (100% Other Funds)

| State Fiscal Year | Class / Account | Class Title | Job Number | Total Amount |
|-------------------|-----------------|------------------------|------------|--------------|
| 2021 | 102-500731 | Contracts for Prog Svc | 92058502 | \$325,400 |
| 2022 | 102-500731 | Contracts for Prog Svc | 92058502 | \$325,400 |
| | | | Total | \$650,800 |

EXPLANATION

The purpose of this request is to provide technical assistance to the Alcohol and Other Drug service system in order to bridge the gaps between research, policy and practice, which will result in increased workforce capacity, knowledge and expertise on the changing environment of health care, addictions and recovery support systems. The

His Excellency, Governor Christopher T. Sununu
and the Honorable Council
Page 2 of 3

Contractor will develop and provide resources that build program and system-level capacity and facilitate communication and collaboration across systems of care.

As New Hampshire's Alcohol and Other Drug Continuum of Care System continues to transform and integrate with primary and mental healthcare, technical assistance to the system is critical to ensure a consistent approach to improving outcomes for New Hampshire citizens and families who seek services within our system.

In 2019, the Governor's Commission on Alcohol and Other Drugs, in partnership with service providers and other key stakeholders, identified data-driven priorities to comprehensively address NH's addiction crisis. The three (3)-year plan provides a framework to move NH forward in a direction that creates an outcomes-based approach that continues to build on well-coordinated efforts across the Alcohol and Other Drug Continuum of Care System.

Technical assistance is important to ensure the field has the necessary capacity and supports to provide quality care that is consistent with best practices and the Department's initiatives. Flexibility to meet emerging needs for technical assistance is critical.

The Department will monitor contracted services through regularly scheduled meetings and the submission of monthly reports using the following performance measures:

- The Contractor will respond to 100% of Department communications within 72 hours of receiving the request.
- The Contractor will ensure 85% of TA recipients report taking at least one (1) action step as a result of TA provided.
- The Contractor will ensure evaluations of TA sessions show 85% or better recipient satisfaction rating.

The Department selected the Contractor through a competitive bid process using a Request for Proposals (RFP) that was posted on the Department's website from 5/28/2020 through 6/24/2020. The Department received four (4) responses that were reviewed and scored by a team of qualified individuals. The Scoring Sheet is attached.

As referenced in Exhibit A, Revisions to Standard Contract Provisions, Section 1, of the attached contract, the parties have the option to extend the agreement for up to two (2) additional years, contingent upon satisfactory delivery of services, available funding, agreement of the parties, and Governor and Council approval.

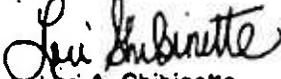
Should the Governor and Council not authorize this request the State may be unable to collectively address challenges and build capacity across the Alcohol and Other Drug Continuum of Care service system in New Hampshire.

Area served: Statewide

His Excellency, Governor Christopher T. Sununu
and the Honorable Council
Page 3 of 3

In the event that the Other Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,


Lori A. Shibanette
Commissioner



New Hampshire Department of Health and Human Services
Office of Business Operations
Contracts & Procurement Unit
Scoring Sheet

Technical Assistance for the Alcohol
and Other Drug (AOD) Service System

RFP Name

RFP-2021-BDAS-02-TECHN

RFP Number

Reviewer Names

Bidder Name

1. Altarum
2. Growth Partners
3. Myers and Stauffer
4. JSI

| Maximum Points | Actual Points |
|----------------|---------------|
| 370 | 274 |
| 370 | 311 |
| 370 | 262 |
| 370 | 230 |

1. Shannon Quinn, Program Specialist IV
2. Jaime Powers, Operations Admin.
3. Rob O'Mannon, Development Spec.
4. Beth Anne Nichols, NH-MHBG State Planner
5. Laurie Heath, Busn. Ad
6. _____

Subject: Technical Assistance for the AOD Service System (RFP-2021-BOAS-02-TECHN-01)

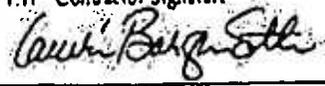
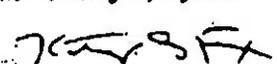
Notice: This agreement and all of its attachments shall become public upon submission to Governor and Executive Council for approval. Any information that is private, confidential or proprietary must be clearly identified to the agency and agreed to in writing prior to signing the contract.

AGREEMENT

The State of New Hampshire and the Contractor hereby mutually agree as follows:

GENERAL PROVISIONS

I. IDENTIFICATION.

| | | | |
|--|---|---|--|
| 1.1 State Agency Name New Hampshire Department of Health and Human Services | | 1.2 State Agency Address 129 Pleasant Street Concord, NH 03301-3857 | |
| 1.3 Contractor Name Growth Partners, LLC | | 1.4 Contractor Address 1900 B Street Lincoln, NE 68502 | |
| 1.5 Contractor Phone Number (402) 730-4864 | 1.6 Account Number 05-095-092-920510-3382 | 1.7 Completion Date June 30, 2022 | 1.8 Price Limitation \$650,800 |
| 1.9 Contracting Officer for State Agency Nathan D. White, Director | | 1.10 State Agency Telephone Number (603) 271-9631 | |
| 1.11 Contractor Signature  Date: 8/3/2020 | | 1.12 Name and Title of Contractor Signatory Laurie Berger Sutter Chief Executive Officer | |
| 1.13 State Agency Signature  Date: 8/5/2020 | | 1.14 Name and Title of State Agency Signatory Kay Stox, Director | |
| 1.15 Approval by the N.H. Department of Administration, Division of Personnel (if applicable) By: _____ Director, On: _____ | | | |
| 1.16 Approval by the Attorney General (Form, Substance and Execution) (if applicable) By: Catherine Pinos On: 08/10/20 | | | |
| 1.17 Approval by the Governor and Executive Council (if applicable) G&C Item number: _____ G&C Meeting Date: _____ | | | |

2. SERVICES TO BE PERFORMED. The State of New Hampshire, acting through the agency identified in block 1.1 ("State"), engages contractor identified in block 1.3 ("Contractor") to perform, and the Contractor shall perform, the work or sale of goods, or both, identified and more particularly described in the attached EXHIBIT B which is incorporated herein by reference ("Services").

3. EFFECTIVE DATE/COMPLETION OF SERVICES.

3.1 Notwithstanding any provision of this Agreement to the contrary, and subject to the approval of the Governor and Executive Council of the State of New Hampshire, if applicable, this Agreement, and all obligations of the parties hereunder, shall become effective on the date the Governor and Executive Council approve this Agreement as indicated in block 1.12, unless no such approval is required, in which case the Agreement shall become effective on the date the Agreement is signed by the State Agency as shown in block 1.13 ("Effective Date").

3.2 If the Contractor commences the Services prior to the Effective Date, all Services performed by the Contractor prior to the Effective Date shall be performed at the sole risk of the Contractor, and in the event that this Agreement does not become effective, the State shall have no liability to the Contractor, including without limitation, any obligation to pay the Contractor for any costs incurred or Services performed. Contractor must complete all Services by the Completion Date specified in block 1.7.

4. CONDITIONAL NATURE OF AGREEMENT.

Notwithstanding any provision of this Agreement to the contrary, all obligations of the State hereunder, including, without limitation, the continuance of payments hereunder, are contingent upon the availability and continued appropriation of funds affected by any state or federal legislative or executive action that reduces, eliminates or otherwise modifies the appropriation or availability of funding for this Agreement and the Scope for Services provided in EXHIBIT B, in whole or in part. In no event shall the State be liable for any payments hereunder in excess of such available appropriated funds. In the event of a reduction or termination of appropriated funds, the State shall have the right to withhold payment until such funds become available, if ever, and shall have the right to reduce or terminate the Services under this Agreement immediately upon giving the Contractor notice of such reduction or termination. The State shall not be required to transfer funds from any other account or source to the Account identified in block 1.6 in the event funds in that Account are reduced or unavailable.

5. CONTRACT PRICE/PRICE LIMITATION/ PAYMENT.

5.1 The contract price, method of payment, and terms of payment are identified and more particularly described in EXHIBIT C which is incorporated herein by reference.

5.2 The payment by the State of the contract price shall be the only and the complete reimbursement to the Contractor for all expenses, of whatever nature incurred by the Contractor in the performance hereof, and shall be the only and the complete

compensation to the Contractor for the Services. The State shall have no liability to the Contractor other than the contract price.

5.3 The State reserves the right to offset from any amounts otherwise payable to the Contractor under this Agreement those liquidated amounts required or permitted by N.H. RSA 80:7 through RSA 80:7-c or any other provision of law.

5.4 Notwithstanding any provision in this Agreement to the contrary, and notwithstanding unexpected circumstances, in no event shall the total of all payments authorized, or actually made hereunder, exceed the Price Limitation set forth in block 1.8.

6. COMPLIANCE BY CONTRACTOR WITH LAWS AND REGULATIONS/ EQUAL EMPLOYMENT OPPORTUNITY.

6.1 In connection with the performance of the Services, the Contractor shall comply with all applicable statutes, laws, regulations, and orders of federal, state, county or municipal authorities which impose any obligation or duty upon the Contractor, including, but not limited to, civil rights and equal employment opportunity laws. In addition, if this Agreement is funded in any part by monies of the United States, the Contractor shall comply with all federal executive orders, rules, regulations and statutes, and with any rules, regulations and guidelines as the State or the United States issue to implement these regulations. The Contractor shall also comply with all applicable intellectual property laws.

6.2 During the term of this Agreement, the Contractor shall not discriminate against employees or applicants for employment because of race, color, religion, creed, age, sex, handicap, sexual orientation, or national origin and will take affirmative action to prevent such discrimination.

6.3 The Contractor agrees to permit the State or United States access to any of the Contractor's books, records and accounts for the purpose of ascertaining compliance with all rules, regulations and orders, and the covenants, terms and conditions of this Agreement.

7. PERSONNEL.

7.1 The Contractor shall at its own expense provide all personnel necessary to perform the Services. The Contractor warrants that all personnel engaged in the Services shall be qualified to perform the Services, and shall be properly licensed and otherwise authorized to do so under all applicable laws.

7.2 Unless otherwise authorized in writing, during the term of this Agreement, and for a period of six (6) months after the Completion Date in block 1.7, the Contractor shall not hire, and shall not permit any subcontractor or other person, firm or corporation with whom it is engaged in a combined effort to perform the Services to hire, any person who is a State employee or official, who is materially involved in the procurement, administration or performance of this Agreement. This provision shall survive termination of this Agreement.

7.3 The Contracting Officer specified in block 1.9, or his or her successor, shall be the State's representative. In the event of any dispute concerning the interpretation of this Agreement, the Contracting Officer's decision shall be final for the State.

8. EVENT OF DEFAULT/REMEDIES.

8.1 Any one or more of the following acts or omissions of the Contractor shall constitute an event of default hereunder ("Event of Default"):

8.1.1 failure to perform the Services satisfactorily or on schedule;

8.1.2 failure to submit any report required hereunder; and/or

8.1.3 failure to perform any other covenant, term or condition of this Agreement.

8.2 Upon the occurrence of any Event of Default, the State may take any one, or more, or all, of the following actions:

8.2.1 give the Contractor a written notice specifying the Event of Default and requiring it to be remedied within, in the absence of a greater or lesser specification of time, thirty (30) days from the date of the notice; and if the Event of Default is not timely cured, terminate this Agreement, effective two (2) days after giving the Contractor notice of termination;

8.2.2 give the Contractor a written notice specifying the Event of Default and suspending all payments to be made under this Agreement and ordering that the portion of the contract price which would otherwise accrue to the Contractor during the period from the date of such notice until such time as the State determines that the Contractor has cured the Event of Default shall never be paid to the Contractor;

8.2.3 give the Contractor a written notice specifying the Event of Default and set off against any other obligations the State may owe to the Contractor any damages the State suffers by reason of any Event of Default; and/or

8.2.4 give the Contractor a written notice specifying the Event of Default, treat the Agreement as breached, terminate the Agreement and pursue any of its remedies at law or in equity, or both.

8.3. No failure by the State to enforce any provisions hereof after any Event of Default shall be deemed a waiver of its rights with regard to that Event of Default, or any subsequent Event of Default. No express failure to enforce any Event of Default shall be deemed a waiver of the right of the State to enforce each and all of the provisions hereof upon any further or other Event of Default on the part of the Contractor.

9. TERMINATION.

9.1 Notwithstanding paragraph 8, the State may, at its sole discretion, terminate the Agreement for any reason, in whole or in part, by thirty (30) days written notice to the Contractor that the State is exercising its option to terminate the Agreement.

9.2 In the event of an early termination of this Agreement for any reason other than the completion of the Services, the Contractor shall, at the State's discretion, deliver to the Contracting Officer, not later than fifteen (15) days after the date of termination, a report ("Termination Report") describing in detail all Services performed, and the contract price earned, to and including the date of termination. The form, subject matter, content, and number of copies of the Termination Report shall be identical to those of any Final Report described in the attached EXHIBIT B. In addition, at the State's discretion, the Contractor shall, within 15 days of notice of early termination, develop and

submit to the State a Transition Plan for services under the Agreement.

10. DATA/ACCESS/CONFIDENTIALITY/PRESERVATION.

10.1 As used in this Agreement, the word "data" shall mean all information and things developed or obtained during the performance of, or acquired or developed by reason of, this Agreement, including, but not limited to, all studies, reports, files, formulae, surveys, maps, charts, sound recordings, video recordings, pictorial reproductions, drawings, analyses, graphic representations, computer programs, computer printouts, notes, letters, memoranda, papers, and documents, all whether finished or unfinished.

10.2 All data and any property which has been received from the State or purchased with funds provided for that purpose under this Agreement, shall be the property of the State, and shall be returned to the State upon demand or upon termination of this Agreement for any reason.

10.3 Confidentiality of data shall be governed by N.H. RSA chapter 91-A or other existing law. Disclosure of data requires prior written approval of the State.

11. **CONTRACTOR'S RELATION TO THE STATE.** In the performance of this Agreement the Contractor is in all respects an independent contractor, and is neither an agent nor an employee of the State. Neither the Contractor nor any of its officers, employees, agents or members shall have authority to bind the State or receive any benefits, workers' compensation or other emoluments provided by the State to its employees.

12. ASSIGNMENT/DELEGATIONS/SUBCONTRACTS.

12.1 The Contractor shall not assign, or otherwise transfer any interest in this Agreement without the prior written notice, which shall be provided to the State at least fifteen (15) days prior to the assignment, and a written consent of the State. For purposes of this paragraph, a Change of Control shall constitute assignment. "Change of Control" means (a) merger, consolidation, or a transaction or series of related transactions in which a third party, together with its affiliates, becomes the direct or indirect owner of fifty percent (50%) or more of the voting shares or similar equity interests, or combined voting power of the Contractor, or (b) the sale of all or substantially all of the assets of the Contractor.

12.2 None of the Services shall be subcontracted by the Contractor without prior written notice and consent of the State. The State is entitled to copies of all subcontracts and assignment agreements and shall not be bound by any provisions contained in a subcontract or an assignment agreement to which it is not a party.

13. **INDEMNIFICATION:** Unless otherwise exempted by law, the Contractor shall indemnify and hold harmless the State, its officers and employees, from and against any and all claims, liabilities and costs for any personal injury or property damages, patent or copyright infringement, or other claims asserted against the State, its officers or employees, which arise out of (or which may be claimed to arise out of) the acts or omission of the

Contractor Initials LBS
Date 8/3/2020

Contractor, or subcontractors, including but not limited to the negligence, reckless or intentional conduct. The State shall not be liable for any costs incurred by the Contractor arising under this paragraph 13. Notwithstanding the foregoing, nothing herein contained shall be deemed to constitute a waiver of the sovereign immunity of the State, which immunity is hereby reserved to the State. This covenant in paragraph 13 shall survive the termination of this Agreement.

14. INSURANCE.

14.1 The Contractor shall, at its sole expense, obtain and continuously maintain in force, and shall require any subcontractor or assignee to obtain and maintain in force, the following insurance:

14.1.1 commercial general liability insurance against all claims of bodily injury, death or property damage, in amounts of not less than \$1,000,000 per occurrence and \$2,000,000 aggregate or excess; and

14.1.2 special cause of loss coverage form covering all property subject to subparagraph 10.2 herein, in an amount not less than 80% of the whole replacement value of the property.

14.2 The policies described in subparagraph 14.1 herein shall be on policy forms and endorsements approved for use in the State of New Hampshire by the N.H. Department of Insurance, and issued by insurers licensed in the State of New Hampshire.

14.3 The Contractor shall furnish to the Contracting Officer identified in block 1.9, or his or her successor, a certificate(s) of insurance for all insurance required under this Agreement. Contractor shall also furnish to the Contracting Officer identified in block 1.9, or his or her successor, certificate(s) of insurance for all renewal(s) of insurance required under this Agreement no later than ten (10) days prior to the expiration date of each insurance policy. The certificate(s) of insurance and any renewals thereof shall be attached and are incorporated herein by reference.

15. WORKERS' COMPENSATION.

15.1 By signing this agreement, the Contractor agrees, certifies and warrants that the Contractor is in compliance with or exempt from, the requirements of N.H. RSA chapter 281-A ("Workers' Compensation").

15.2 To the extent the Contractor is subject to the requirements of N.H. RSA chapter 281-A, Contractor shall maintain, and require any subcontractor or assignee to secure and maintain, payment of Workers' Compensation in connection with activities which the person proposes to undertake pursuant to this Agreement. The Contractor shall furnish the Contracting Officer identified in block 1.9, or his or her successor, proof of Workers' Compensation in the manner described in N.H. RSA chapter 281-A and any applicable renewal(s) thereof, which shall be attached and are incorporated herein by reference. The State shall not be responsible for payment of any Workers' Compensation premiums or for any other claim or benefit for Contractor, or any subcontractor or employee of Contractor, which might arise under applicable State of New Hampshire Workers' Compensation laws in connection with the performance of the Services under this Agreement.

16. NOTICE. Any notice by a party hereto to the other party shall be deemed to have been duly delivered or given at the time of mailing by certified mail, postage prepaid, in a United States Post Office addressed to the parties at the addresses given in blocks 1.2 and 1.4, herein.

17. AMENDMENT. This Agreement may be amended, waived or discharged only by an instrument in writing signed by the parties herein and only after approval of such amendment, waiver or discharge by the Governor and Executive Council of the State of New Hampshire unless no such approval is required under the circumstances pursuant to State law, rule or policy.

18. CHOICE OF LAW AND FORUM. This Agreement shall be governed, interpreted and construed in accordance with the laws of the State of New Hampshire, and is binding upon and inures to the benefit of the parties and their respective successors and assigns. The wording used in this Agreement is the wording chosen by the parties to express their mutual intent, and no rule of construction shall be applied against or in favor of any party. Any actions arising out of this Agreement shall be brought and maintained in New Hampshire Superior Court which shall have exclusive jurisdiction thereof.

19. CONFLICTING TERMS. In the event of a conflict between the terms of this P-37 form (as modified in EXHIBIT A) and/or attachments and amendment thereof, the terms of the P-37 (as modified in EXHIBIT A) shall control.

20. THIRD PARTIES. The parties hereto do not intend to benefit any third parties and, this Agreement shall not be construed to confer any such benefit.

21. HEADINGS. The headings throughout the Agreement are for reference purposes only, and the words contained therein shall in no way be held to explain, modify, amplify or aid in the interpretation, construction or meaning of the provisions of this Agreement.

22. SPECIAL PROVISIONS. Additional or modifying provisions set forth in the attached EXHIBIT A are incorporated herein by reference.

23. SEVERABILITY. In the event any of the provisions of this Agreement are held by a court of competent jurisdiction to be contrary to any state or federal law, the remaining provisions of this Agreement will remain in full force and effect.

24. ENTIRE AGREEMENT. This Agreement, which may be executed in a number of counterparts, each of which shall be deemed an original, constitutes the entire agreement and understanding between the parties, and supersedes all prior agreements and understandings with respect to the subject matter hereof.

New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System



EXHIBIT A

REVISIONS TO STANDARD CONTRACT PROVISIONS

1. Revisions to Form P-37, General Provisions

1.1. Paragraph 3, Effective Date/Completion of Services, is amended by adding subparagraph 3.3 as follows:

3.3. The parties may extend the Agreement for up to two (2) additional years from the Completion Date, contingent upon satisfactory delivery of services, available funding, agreement of the parties, and required governmental approval.

1.2. Paragraph 12, Assignment/Delegation/Subcontracts, is amended by adding subparagraph 12.3 as follows:

12.3. Subcontractors are subject to the same contractual conditions as the Contractor and the Contractor is responsible to ensure subcontractor compliance with those conditions. The Contractor shall have written agreements with all subcontractors, specifying the work to be performed and how corrective action shall be managed if the subcontractor's performance is inadequate. The Contractor shall manage the subcontractor's performance on an ongoing basis and take corrective action as necessary. The Contractor shall annually provide the State with a list of all subcontractors provided for under this Agreement and notify the State of any inadequate subcontractor performance.

2. Revisions to Standard Exhibits

2.1. Exhibit I, Health Insurance Portability and Accountability Act Business Associate Agreement, Section 5, Termination for Cause, is amended as follows:

5. In addition to Paragraph 10 of the standard terms and conditions (P-37) of this Agreement, upon Covered Entity's knowledge of a breach by Business Associate of the Business Associate Agreement set forth herein as Exhibit I, Covered Entity will provide an opportunity for Business Associate to cure the alleged breach within a timeframe specified by Covered Entity. If cure is not feasible, or there is a material or irreparable harm caused, the Covered Entity may terminate the Agreement. If Covered Entity determines that neither termination nor cure is feasible, Covered Entity shall report the violation to the Secretary.

New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System



EXHIBIT B

Scope of Services

1. Statement of Work

- 1.1. The Contractor shall provide outcome-driven technical assistance (TA), at the direction of the Department, to the Alcohol and Other Drug (AOD) service system in order to bridge gaps between research, policies and practices.
- 1.2. The Contractor shall ensure TA includes guidance on delivering outcome supported and evidence-informed AOD Continuum of Care (CoC) support services that:
 - 1.2.1. Increases knowledge, skills, and abilities of the AOD service system and its practitioners.
 - 1.2.2. Increases the AOD service system capacity to improve health outcomes within communities, statewide.
 - 1.2.3. Supports implementation of programs, policies, and practices that reduce the misuse of AOD across the lifespan.
 - 1.2.4. Increases and supports integration efforts with primary and mental healthcare across the AOD CoC.
 - 1.2.5. Ensures program fidelity while meeting state and federal grant requirements, including, but not limited to the Substance Abuse Prevention and Treatment Federal Block Grant (SABG).
- 1.3. The Contractor shall work closely with the Department to support current and emerging initiatives for improving the AOD CoC system of care.
- 1.4. The Contractor shall ensure all TA is approved by the Department prior to initiation and implementation of services.
- 1.5. The Contractor shall monitor, manage, and report on all TA requested and provided.
- 1.6. The Contractor shall provide TA in a variety of formats including, but not limited to:
 - 1.6.1. Onsite, face-to-face interactions.
 - 1.6.2. Offsite TA to respond to information requests, delivered through methods including, but not limited to:
 - 1.6.2.1. Tele- and video-conference calls.
 - 1.6.2.2. Other electronic distance-based communications.
 - 1.6.2.3. Resource documents including, but not limited to:
 - 1.6.2.3.1. Briefs.
 - 1.6.2.3.2. Annotated bibliographies.

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B

- 1.6.2.3.3. Literature reviews.
- 1.6.3. Individual-level activities, including, but not limited to personalized coaching and mentoring to assist TA recipients:
 - 1.6.3.1. Enhance the application of knowledge, skills and abilities (KSAs); and
 - 1.6.3.2. Address unique issues or conditions.
- 1.6.4. Group activities, including, but not limited to team-based activities involving Communities of Practice (CoP) and other peer-to-peer groups to address issues of common interest.
- 1.6.5. Intergroup activities, including, but not limited to, promoting coordination, collaboration, and interdisciplinary teamwork across network members and partners, as appropriate, to strengthen efficiencies and outcomes.
- 1.6.6. Large-group activities, including, but not limited to, engaging large groups to identify and resolve network-wide issues, design new approaches to structuring and managing professional practices, and promote network cohesiveness.
- 1.7. The Contractor shall utilize TA practices of varying intensity and duration including, but not limited to:
 - 1.7.1. Basic TA which includes, but is not limited to:
 - 1.7.1.1. Fulfilling information requests.
 - 1.7.1.2. Providing resource guides and case studies.
 - 1.7.1.3. Conducting brief expert consultations and coaching sessions.
 - 1.7.2. Intensive TA which includes, but is not limited to:
 - 1.7.2.1. Ongoing coaching.
 - 1.7.2.2. Consultation.
 - 1.7.2.3. Periodic reassessment.
- 1.8. The Contractor shall facilitate and provide technical and logistical support, as directed by the Department, in the format, frequency, and length determined by the Department for a minimum of four (4) Communities of Practice (CoP), which include, but are not limited to:
 - 1.8.1. Prevention.
 - 1.8.2. Treatment.
 - 1.8.3. Medication-Assisted Treatment.

New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System



EXHIBIT B

- 1.8.4. Recovery Support Services.
- 1.9. The Contractor shall utilize best practices for CoPs including, but not limited to:
- 1.9.1. Identifying and recruiting core members, as directed and approved by the Department, who are:
 - 1.9.1.1. Engaged.
 - 1.9.1.2. Credible.
 - 1.9.1.3. Community oriented.
 - 1.9.1.4. Willing to assume leadership roles.
 - 1.9.2. Establishing a collective identity and clear purpose, with clear goals based on assessment.
 - 1.9.3. Providing a range of sustainable opportunities for participation at different levels including, but not limited to:
 - 1.9.3.1. Meetings.
 - 1.9.3.2. Email lists.
 - 1.9.3.3. Peer mentoring.
 - 1.9.4. Providing ongoing leadership and facilitation.
 - 1.9.5. Creating opportunities for shared leadership among members.
 - 1.9.6. Providing clear guidelines and information sharing protocols.
 - 1.9.7. Establishing consensus on group norms.
 - 1.9.8. Maintaining a welcoming atmosphere where social interaction is encouraged and people feel valued.
 - 1.9.9. Conducting periodic evaluations to assess progress toward group goals and member engagement.
- 1.10. The Contractor shall provide systems development TA as a systematic process of identifying and addressing changes needed in network structure, climate, culture, and behavior to enhance performance and outcomes which includes, but is not limited to helping networks:
- 1.10.1. Successfully respond to complex and rapidly changing environments;
 - 1.10.2. Increase trust, satisfaction, and commitment among members;
 - 1.10.3. Establish effective processes for solving problems, conflict resolution, collaboration, and cooperation; and
 - 1.10.4. Operationalize processes that lead to sustainable improvements in performance.

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B

-
- 1.11. The Contractor shall develop products and resources that assist with providing TA as directed by, or in consultation with the Department including, but not limited to:
 - 1.11.1. Toolkits.
 - 1.11.2. Briefs.
 - 1.11.3. Logic models.
 - 1.11.4. Professional case studies.
 - 1.11.5. Compilation of practices and strategies on a variety of topics related to AOD.
 - 1.11.6. Library of State Information Requests (SIRs).
 - 1.11.7. In-depth resource guides.
 - 1.12. The Contractor shall maintain relationships with current subject matter experts (SMEs) as well as recruit new SMEs, as directed and approved by the Department, to enhance the TA activities.
 - 1.13. The Contractor shall review previous TA planning processes utilized by the Department, as requested by the Department, in order to suggest enhancements or modifications for the Department's consideration.
 - 1.14. The Contractor shall ensure when creating TA plans to:
 - 1.14.1. Balance specific needs and goals, objectives, performance mandates, and priorities.
 - 1.14.2. Consider political, economic, resource, and other challenges TA recipients are experiencing.
 - 1.14.3. Be sensitive to health disparities and lack of access to resources that population groups and subpopulations may be experiencing.
 - 1.14.4. Ensure that TA activities aligned with geographic, demographic, cultural, and other needs.
 - 1.14.5. Are developmentally appropriate, gender-specific, and address other population characteristics, including, but not limited to, behavioral health issues, physical disabilities, sensory impairments, and sexual orientation.
 - 1.15. The Contractor shall develop and utilize a TA performance measurement plan, as approved by the Department, which evaluates the quality and efficacy of each instance of TA provided to ensure:
 - 1.15.1. Timeliness in the response to and delivery of TA;
 - 1.15.2. Transfer of knowledge;

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B

- 1.15.3. Increased capacity;
- 1.15.4. Systems/practice change; and
- 1.15.5. TA recipient satisfaction.
- 1.16. The Contractor shall develop and utilize proposed TA Evaluation Questions, as approved by the Department.
- 1.17. The Contractor shall participate in federal programmatic webinars associated with the Department's awarded grants including, but not limited to the SABG, as directed by the Department.
- 1.18. The Contractor shall develop and maintain a public-facing website or web application that, at a minimum, provides best practice and other professional assistance information. The Contractor shall ensure:
 - 1.18.1. Content is approved by the Department and includes, but is not limited to:
 - 1.18.1.1. Access to an online forum for all Communities of Practice.
 - 1.18.1.2. All publications and content created through this contract.
 - 1.18.2. The website:
 - 1.18.2.1. Is built according the Department goals.
 - 1.18.2.2. Is user friendly.
 - 1.18.2.3. Has capacity for content growth.
 - 1.18.2.4. Is designed with a step-by-step design, integration, and testing process.
- 1.19. The Contractor shall work with the Department to ensure that the website is designed, created, and managed according to all NH Department of Information Technology (DoIT) website requirements, and that any protected health information (PHI), personal information (PI), or other confidential information solicited, will not be stored or captured on the website and shall not be further disclosed except as provided by contract. The solicitation or disclosure of any PHI, PI, or other confidential information will be subject to the requirements in Exhibit I, Exhibit K, and all applicable state rules, or state or federal law. Unless specifically required by the resulting contract and unless notice is clearly provided on the website, the Contractor agrees that website visitation will not be tracked for website analytics or marketing.
- 1.20. The Contractor shall conduct a project kick-off meeting with the Department and identified project staff within 20 business days of the contract effective date to review proposed project deliverables.

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B

- 1.21. The Contractor shall provide an initial work plan and timeline to the Department that defines the goals, objectives, activities, deliverables, and due dates to the Department for approval. The Contractor shall ensure:
 - 1.21.1. The initial work plan is provided to the Department within 10 business days of the project kick-off meeting.
 - 1.21.2. Changes to work plans or timelines are approved by the Department prior to implementation.
- 1.22. The Contractor shall utilize strategies, systems, and processes, as approved by the Department, to manage the contracting process including, but not limited to:
 - 1.22.1. Establishing regular, ongoing protocols for communication with the Department, including a regular and recurring schedule of meetings.
 - 1.22.2. Establishing regular staff operational and planning meetings.
 - 1.22.3. Utilizing TA request, design, and implementation tracking documents and protocols.
 - 1.22.4. Utilizing TA assessment, design, implementation, and evaluation processes.
 - 1.22.5. Utilizing protocols for consultant recruitment, vetting, and monitoring.
 - 1.22.6. Managing TA budget development processes.
 - 1.22.7. Utilizing TA implementation plans.
 - 1.22.8. Utilizing expenditure and invoice monitoring protocols.
 - 1.22.9. Utilizing reporting formats to cover all aspects of operations including, but not limited to:
 - 1.22.9.1. Budget,
 - 1.22.9.2. TA completion, and
 - 1.22.9.3. Evaluation findings.
2. Exhibits Incorporated
 - 2.1. The Contractor shall use and disclose Protected Health Information in compliance with the Standards for Privacy of Individually Identifiable Health Information (Privacy Rule) (45 CFR Parts 160 and 164) under the Health Insurance Portability and Accountability Act (HIPAA) of 1996, and in accordance with the attached Exhibit I, Business Associate Agreement, which has been executed by the parties.
 - 2.2. The Contractor shall comply with all Exhibits D through K, which are attached hereto and incorporated by reference herein.

3. Reporting Requirements

Growth Partners, LLC

Exhibit B

Contractor Initials

CPB

RFP-2021-BDAS-02-TECHN-01

Page 6 of 9

Date

8/3/2020

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B

-
- 3.1. The Contractor shall provide a list of all TA requested, to the Department for approval prior to initiating the provision of TA services.
 - 3.2. The Contractor shall provide written monthly progress reports to the Department, within 10 days of the end of the previous month, related to accomplishments of the contract goals and performance measures.
 - 3.3. The Contractor shall ensure each written monthly progress report includes, but is not limited to:
 - 3.3.1. A summary of the key work performed during the monthly period, including updates and outcomes of TA provided.
 - 3.3.2. Encountered and foreseeable key issues and suggested mitigation strategies for each issue, as applicable.
 - 3.3.3. Updates to the work plan.
 - 3.4. The Contractor shall complete and submit an end-of-year report no later than sixty (60) days after the end of the state fiscal year, which includes, but is not limited to:
 - 3.4.1. A complete program overview.
 - 3.4.2. Accomplishments toward program goals and performance measures linked to outcomes.
 - 3.4.3. End of year financial report.
- 4. Performance Measures**
- 4.1. The Department will monitor Contractor performance according to the following standards:
 - 4.1.1. The Contractor shall respond to 100% of Department communications within 72 hours of receiving the request.
 - 4.1.2. The Contractor shall ensure 85% of TA recipients report taking at least one (1) action step as a result of TA provided.
 - 4.1.3. The Contractor shall ensure evaluations of TA sessions show 85% or better recipient satisfaction rating.
 - 4.2. The Contractor shall actively and regularly collaborate with the Department to enhance contract management, improve results, and adjust program delivery and policy based on successful outcomes.
 - 4.3. The Contractor may be required to provide other key data and metrics to the Department, including client-level demographic, performance, and service data.
 - 4.4. Where applicable, the Contractor shall collect and share data with the Department in a format specified by the Department.

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT B

5. Additional Terms

5.1. Impacts Resulting from Court Orders or Legislative Changes

5.1.1. The Contractor agrees that, to the extent future state or federal legislation or court orders may have an impact on the Services described herein, the State has the right to modify Service priorities and expenditure requirements under this Agreement so as to achieve compliance therewith.

5.2. Federal Civil Rights Laws Compliance: Culturally and Linguistically Appropriate Programs and Services

5.2.1. The Contractor shall submit, within ten (10) days of the contract effective date, a detailed description of the communication access and language assistance services they will provide to ensure meaningful access to their programs and/or services to persons with limited English proficiency, people who are deaf or have hearing loss, are blind or have low vision, or who have speech challenges.

5.3. Credits and Copyright Ownership

5.3.1. All documents, notices, press releases, research reports and other materials prepared during or resulting from the performance of the services of the Contract shall include the following statement, "The preparation of this (report, document etc.) was financed under a Contract with the State of New Hampshire, Department of Health and Human Services, with funds provided in part by the State of New Hampshire and/or such other funding sources as were available or required, e.g., the United States Department of Health and Human Services."

5.3.2. All materials produced or purchased under the contract shall have prior approval from the Department before printing, production, distribution or use.

5.3.3. The Department shall retain copyright ownership for any and all original materials produced, including, but not limited to:

5.3.3.1. Brochures.

5.3.3.2. Resource directories.

5.3.3.3. Protocols or guidelines.

5.3.3.4. Posters.

5.3.3.5. Reports.

5.3.4. The Contractor shall not reproduce any materials produced under the contract without prior written approval from the Department.

New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System



EXHIBIT B

6. Records

6.1. The Contractor shall keep records that include, but are not limited to:

6.1.1. Books, records, documents and other electronic or physical data evidencing and reflecting all costs and other expenses incurred by the Contractor in the performance of the Contract, and all income received or collected by the Contractor.

6.1.2. All records must be maintained in accordance with accounting procedures and practices, which sufficiently and properly reflect all such costs and expenses, and which are acceptable to the Department, and to include, without limitation, all ledgers, books, records, and original evidence of costs such as purchase requisitions and orders, vouchers, requisitions for materials, inventories, valuations of in-kind contributions, labor time cards, payrolls, and other records requested or required by the Department.

6.2. During the term of this Contract and the period for retention hereunder, the Department, the United States Department of Health and Human Services, and any of their designated representatives shall have access to all reports and records maintained pursuant to the Contract for purposes of audit, examination, excerpts and transcripts. Upon the purchase by the Department of the maximum number of units provided for in the Contract and upon payment of the price limitation hereunder, the Contract and all the obligations of the parties hereunder (except such obligations as, by the terms of the Contract are to be performed after the end of the term of this Contract and/or survive the termination of the Contract) shall terminate, provided however, that if, upon review of the Final Expenditure Report the Department shall disallow any expenses claimed by the Contractor as costs hereunder the Department shall retain the right, at its discretion, to deduct the amount of such expenses as are disallowed or to recover such sums from the Contractor.

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT C

Payment Terms

1. This Agreement is funded by 100% Other funds (Governor's Commission on Alcohol and Other Drugs).
2. The Department has identified the Contractor as a Contractor, in accordance with 2 CFR 200.330.
3. Payment shall be on a cost reimbursement basis for actual expenditures incurred in the fulfillment of this Agreement, and shall be in accordance with the approved line item, as specified in Exhibits C-1, Budget through Exhibit C-2, Budget.
4. The Contractor shall submit an invoice in a form satisfactory to the State by the twentieth (20th) working day of the following month, unless otherwise specified, which identifies and requests reimbursement for authorized expenses incurred in the prior month. The Contractor shall ensure the invoice is completed, dated and returned to the Department in order to initiate payment. Invoices shall be net any other revenue received toward the services billed in fulfillment of this agreement.
 - 4.1. Backup documentation shall include, but is not limited to:
 - 4.1.1. General Ledger showing revenue and expenses for the contract.
 - 4.1.2. Timesheets and/or time cards signed by both employee and supervisor that support the hours employees worked for wages reported under this contract:
 - 4.1.2.1. Per 45 CFR Part 75.430(i)(1) Charges to Federal awards for salaries and wages must be based on records that accurately reflect the work performed.
 - 4.1.3. Per 2 CFR 200.430 (iii) Labor records must reasonably reflect the total activity for which each employee is compensated, showing percentages for time spent on activities under this contract and all other activities (totaling no more than 100%).
 - 4.2. The following backup documentation may also be requested as needed:
 - 4.2.1. Invoices supporting expenses reported.
 - 4.2.2. Cost center reports, submitted only as requested by the Department.
 - 4.2.3. Profit and loss report, submitted only as requested by the Department.

New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System



EXHIBIT C

- 5. In lieu of hard copies, all invoices may be assigned an electronic signature and emailed to invoicesforcontracts@dhhs.nh.gov or invoices may be mailed to:

Contract Manager for RFP-2021-BDAS-02-TECHN
 Department of Health and Human Services
 Bureau of Drug & Alcohol Services
 105 Pleasant Street, Main Bldg., 3rd Floor North
 Concord, NH 03301

- 6. The State shall make payment to the Contractor within thirty (30) days of receipt of each invoice, subsequent to approval of the submitted invoice and if sufficient funds are available, subject to Paragraph 4 of the General Provisions Form Number P-37 of this Agreement.
- 7. The final invoice shall be due to the State no later than forty (40) days after the contract completion date specified in Form P-37, General Provisions Block 1.7 Completion Date.
- 8. The Contractor must provide the services in Exhibit B, Scope of Services, in compliance with funding requirements.
- 9. The Contractor agrees that funding under this Agreement may be withheld, in whole or in part in the event of non-compliance with the terms and conditions of Exhibit B, Scope of Services.
- 10. Notwithstanding anything to the contrary herein, the Contractor agrees that funding under this agreement may be withheld, in whole or in part, in the event of non-compliance with any Federal or State law, rule or regulation applicable to the services provided, or if the said services or products have not been satisfactorily completed in accordance with the terms and conditions of this agreement.
- 11. Notwithstanding Paragraph 17 of the General Provisions Form P-37, changes limited to adjusting amounts within the price limitation and adjusting encumbrances between State Fiscal Years and budget class lines through the Budget Office may be made by written agreement of both parties, without obtaining approval of the Governor and Executive Council, if needed and justified.
- 12. Audits
 - 12.1. The Contractor is required to submit an annual audit to the Department if any of the following conditions exist:
 - 12.1.1. Condition A - The Contractor expended \$750,000 or more in federal funds received as a subrecipient pursuant to 2 CFR Part 200, during the most recently completed fiscal year.

**New Hampshire Department of Health and Human Services
Technical Assistance for the Alcohol and Other Drug (AOD)
Service System**



EXHIBIT C

- 12.1.2. Condition B - The Contractor is subject to audit pursuant to the requirements of NH RSA 7:28, III-b, pertaining to charitable organizations receiving support of \$1,000,000 or more.
- 12.1.3. Condition C - The Contractor is a public company and required by Security and Exchange Commission (SEC) regulations to submit an annual financial audit.
- 12.2. If Condition A exists, the Contractor shall submit an annual single audit performed by an independent Certified Public Accountant (CPA) to the Department within 120 days after the close of the Contractor's fiscal year, conducted in accordance with the requirements of 2 CFR Part 200, Subpart F of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal awards.
- 12.3. If Condition B or Condition C exists, the Contractor shall submit an annual financial audit performed by an independent CPA within 120 days after the close of the Contractor's fiscal year.
- 12.4. Any Contractor that receives an amount equal to or greater than \$250,000 from the Department during a single fiscal year, regardless of the funding source, may be required, at a minimum, to submit annual financial audits performed by an independent CPA if the Department's risk assessment determination indicates the Contractor is high-risk.
- 12.5. In addition to, and not in any way in limitation of obligations of the Contract, it is understood and agreed by the Contractor that the Contractor shall be held liable for any state or federal audit exceptions and shall return to the Department all payments made under the Contract to which exception has been taken, or which have been disallowed because of such an exception.

Exhibit C-1
SFY 21 Budget

New Hampshire Department of Health and Human Services

Contractor Name: Growth Partners, LLC

Budget Request For: RFP-2021-BDAS-02-TECHN

Budget Period: SFY 21 (From Governor and Executive Council approval of contract through June 30, 2021)

DocuSign Envelope ID: 5AE2AB0A-1727-488A-8857-40235E3A3548

| Line Item | Total Program Cost | | | Contractor Share/Match | | | Funded by ORHS Contract Share | | |
|----------------------------------|--------------------|----------------|----------------|------------------------|----------------|---------------|-------------------------------|----------------|----------------|
| | Direct Incremental | Indirect Fixed | Total | Direct Incremental | Indirect Fixed | Total | Direct Incremental | Indirect Fixed | Total |
| 1. Total Salary/Wages | 213,720 | | 213,720 | 0 | | 0 | 213,720 | | 213,720 |
| 2. Employee Benefits | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| 3. Consultants | 65,000 | | 65,000 | 0 | | 0 | 65,000 | | 65,000 |
| 4. Equipment | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| Rental | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| Repair and Maintenance | 1,200 | | 1,200 | 1,200 | | 1,200 | 0 | | 0 |
| Purchase/Depreciation | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| 5. Supplies | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| Educational | 2,500 | | 2,500 | 700 | | 700 | 1,800 | | 1,800 |
| Office | 2,500 | | 2,500 | 1,236 | | 1,236 | 1,264 | | 1,264 |
| 6. Travel | 6,473 | | 6,473 | 0 | | 0 | 6,473 | | 6,473 |
| 7. Occupancy | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| 8. Current Expenses | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| Telephone | 4,800 | | 4,800 | 4,800 | | 4,800 | 0 | | 0 |
| Postage | 250 | | 250 | 250 | | 250 | 0 | | 0 |
| Subscription | 2,500 | | 2,500 | 2,500 | | 2,500 | 0 | | 0 |
| Audit and Legal | 3,000 | | 3,000 | 3,000 | | 3,000 | 0 | | 0 |
| Insurance | 1,500 | | 1,500 | 1,500 | | 1,500 | 0 | | 0 |
| 9. Software | 3,500 | | 3,500 | 1,000 | | 1,000 | 2,500 | | 2,500 |
| 10. Marketing/Communications | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| 11. Staff Education and Training | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| 12. Subcontracts/Agreements | 20,000 | | 20,000 | 0 | | 0 | 20,000 | | 20,000 |
| 13. Other - 4.5% admin fee | 0 | 14,643 | 14,643 | 0 | | 0 | 0 | 14,643 | 14,643 |
| Total | 326,943 | 14,643 | 341,586 | 16,186 | 0 | 16,186 | 310,757 | 14,643 | 325,400 |

Exhibit C-2
SFY 22 Budget

New Hampshire Department of Health and Human Services

Bidder/Program Name: Growth Partners, LLC

Budget Request For: RFP-2021-BDAS-02-TECHN

Budget Period: SFY22 (July 1, 2021 to June 30, 2022)

| Line Item | Total Program Cost | | | Contractor Share/Match | | | Funded by DHHS Contract/Share | | |
|----------------------------------|--------------------|----------------|----------------|------------------------|----------------|--------------|-------------------------------|----------------|----------------|
| | Direct Incremental | Indirect Fixed | Total | Direct Incremental | Indirect Fixed | Total | Direct Incremental | Indirect Fixed | Total |
| 1. Total Salary/Wages | 217,620 | | 217,620 | 0 | | 0 | 217,620 | | 217,620 |
| 2. Employee Benefits | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| 3. Consultants | 76,000 | | 76,000 | 0 | | 0 | 76,000 | | 76,000 |
| 4. Equipment | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| Rental | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| Repair and Maintenance | 1,200 | | 1,200 | 1200 | | 1200 | 0 | | 0 |
| Purchase/Depreciation | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| 5. Supplies | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| Educational | 2,500 | | 2,500 | 700 | | 700 | 1,900 | | 1,900 |
| Office | 2,500 | | 2,500 | 1236 | | 1236 | 1,264 | | 1,264 |
| 6. Travel | 6,473 | | 6,473 | 0 | | 0 | 6,473 | | 6,473 |
| 7. Occupancy | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| 8. Current Expenses | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| Telephone | 4,800 | | 4,800 | 4800 | | 4800 | 0 | | 0 |
| Postage | 250 | | 250 | 250 | | 250 | 0 | | 0 |
| Subscription | 2,500 | | 2,500 | 2500 | | 2500 | 0 | | 0 |
| Audit and Legal | 3,000 | | 3,000 | 3000 | | 3000 | 0 | | 0 |
| Insurance | 1,500 | | 1,500 | 1500 | | 1500 | 0 | | 0 |
| Board Expenses | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| 9. Software | 3,500 | | 3,500 | 1000 | | 1000 | 2,500 | | 2,500 |
| 10. Marketing/Communications | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| 11. Staff Education and Training | 0 | | 0 | 0 | | 0 | 0 | | 0 |
| 12. Subcontracts/Agreements | 5,000 | | 20,000 | 0 | | 0 | 5,000 | | 5,000 |
| 13. Other - 4.5% admin fee | 0 | 14643 | 14,643 | 0 | | 0 | 0 | 14643 | 14,643 |
| Total | 326,843 | 14643 | 356,486 | 16186 | | 16186 | 310757 | 14643 | 325,400 |

DocuSign Envelope ID: 5AE2A808-1727-4988-8657-40235F303548

New Hampshire Department of Health and Human Services
Standard Exhibits D-H



The parties agree that the Department's Standard Exhibits D through Exhibit H are not applicable to this Agreement.

Remainder of page intentionally left blank.

New Hampshire Department of Health and Human Services



Exhibit I

HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT
BUSINESS ASSOCIATE AGREEMENT

The Contractor Identified in Section 1.3 of the General Provisions of the Agreement agrees to comply with the Health Insurance Portability and Accountability Act, Public Law 104-191 and with the Standards for Privacy and Security of Individually Identifiable Health Information, 45 CFR Parts 160 and 164 applicable to business associates. As defined herein, "Business Associate" shall mean the Contractor and subcontractors and agents of the Contractor that receive, use or have access to protected health information under this Agreement and "Covered Entity" shall mean the State of New Hampshire, Department of Health and Human Services.

(1) Definitions:

- a. "Breach" shall have the same meaning as the term "Breach" in section 164.402 of Title 45, Code of Federal Regulations.
- b. "Business Associate" has the meaning given such term in section 160.103 of Title 45, Code of Federal Regulations.
- c. "Covered Entity" has the meaning given such term in section 160.103 of Title 45, Code of Federal Regulations.
- d. "Designated Record Set" shall have the same meaning as the term "designated record set" in 45 CFR Section 164.501.
- e. "Data Aggregation" shall have the same meaning as the term "data aggregation" in 45 CFR Section 164.501.
- f. "Health Care Operations" shall have the same meaning as the term "health care operations" in 45 CFR Section 164.501.
- g. "HITECH Act" means the Health Information Technology for Economic and Clinical Health Act, Title XIII, Subtitle D, Part 1 & 2 of the American Recovery and Reinvestment Act of 2009.
- h. "HIPAA" means the Health Insurance Portability and Accountability Act of 1996, Public Law 104-191 and the Standards for Privacy and Security of Individually Identifiable Health Information, 45 CFR Parts 160, 162 and 164 and amendments thereto.
- i. "Individual" shall have the same meaning as the term "individual" in 45 CFR Section 160.103 and shall include a person who qualifies as a personal representative in accordance with 45 CFR Section 164.501(g).
- j. "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 CFR Parts 160 and 164, promulgated under HIPAA by the United States Department of Health and Human Services.
- k. "Protected Health Information" shall have the same meaning as the term "protected health information" in 45 CFR Section 160.103, limited to the information created or received by Business Associate from or on behalf of Covered Entity.

3/2014

Contractor Initials

UBS

Date

8/3/2010

New Hampshire Department of Health and Human Services



Exhibit I

- l. "Required by Law" shall have the same meaning as the term "required by law" in 45 CFR Section 164.103.
- m. "Secretary" shall mean the Secretary of the Department of Health and Human Services or his/her designee.
- n. "Security Rule" shall mean the Security Standards for the Protection of Electronic Protected Health Information at 45 CFR Part 164, Subpart C, and amendments thereto.
- o. "Unsecured Protected Health Information" means protected health information that is not secured by a technology standard that renders protected health information unusable, unreadable, or indecipherable to unauthorized individuals and is developed or endorsed by a standards developing organization that is accredited by the American National Standards Institute.
- p. Other Definitions - All terms not otherwise defined herein shall have the meaning established under 45 C.F.R. Parts 160, 162 and 164, as amended from time to time, and the HITECH Act.

(2) Business Associate Use and Disclosure of Protected Health Information

- a. Business Associate shall not use, disclose, maintain or transmit Protected Health Information (PHI) except as reasonably necessary to provide the services outlined under Exhibit A of the Agreement. Further, Business Associate, including but not limited to all its directors, officers, employees and agents, shall not use, disclose, maintain or transmit PHI in any manner that would constitute a violation of the Privacy and Security Rule.
- b. Business Associate may use or disclose PHI:
- I. For the proper management and administration of the Business Associate;
 - II. As required by law, pursuant to the terms set forth in paragraph d. below; or
 - III. For data aggregation purposes for the health care operations of Covered Entity.
- c. To the extent Business Associate is permitted under the Agreement to disclose PHI to a third party, Business Associate must obtain, prior to making any such disclosure, (i) reasonable assurances from the third party that such PHI will be held confidentially and used or further disclosed only as required by law or for the purpose for which it was disclosed to the third party; and (ii) an agreement from such third party to notify Business Associate, in accordance with the HIPAA Privacy, Security, and Breach Notification Rules of any breaches of the confidentiality of the PHI, to the extent it has obtained knowledge of such breach.
- d. The Business Associate shall not, unless such disclosure is reasonably necessary to provide services under Exhibit A of the Agreement, disclose any PHI in response to a request for disclosure on the basis that it is required by law, without first notifying Covered Entity so that Covered Entity has an opportunity to object to the disclosure and to seek appropriate relief. If Covered Entity objects to such disclosure, the Business

New Hampshire Department of Health and Human Services



Exhibit I

Associate shall refrain from disclosing the PHI until Covered Entity has exhausted all remedies.

- e. If the Covered Entity notifies the Business Associate that Covered Entity has agreed to be bound by additional restrictions over and above those uses or disclosures or security safeguards of PHI pursuant to the Privacy and Security Rule, the Business Associate shall be bound by such additional restrictions and shall not disclose PHI in violation of such additional restrictions and shall abide by any additional security safeguards.

(3) Obligations and Activities of Business Associate.

- a. The Business Associate shall notify the Covered Entity's Privacy Officer immediately after the Business Associate becomes aware of any use or disclosure of protected health information not provided for by the Agreement including breaches of unsecured protected health information and/or any security incident that may have an impact on the protected health information of the Covered Entity.

- b. The Business Associate shall immediately perform a risk assessment when it becomes aware of any of the above situations. The risk assessment shall include, but not be limited to:

- o The nature and extent of the protected health information involved, including the types of identifiers and the likelihood of re-identification;
- o The unauthorized person used the protected health information or to whom the disclosure was made;
- o Whether the protected health information was actually acquired or viewed
- o The extent to which the risk to the protected health information has been mitigated.

The Business Associate shall complete the risk assessment within 48 hours of the breach and immediately report the findings of the risk assessment in writing to the Covered Entity.

- c. The Business Associate shall comply with all sections of the Privacy, Security, and Breach Notification Rule.

- d. Business Associate shall make available all of its internal policies and procedures, books and records relating to the use and disclosure of PHI received from, or created or received by the Business Associate on behalf of Covered Entity to the Secretary for purposes of determining Covered Entity's compliance with HIPAA and the Privacy and Security Rule.

- e. Business Associate shall require all of its business associates that receive, use or have access to PHI under the Agreement, to agree in writing to adhere to the same restrictions and conditions on the use and disclosure of PHI contained herein, including the duty to return or destroy the PHI as provided under Section 3 (I). The Covered Entity shall be considered a direct third party beneficiary of the Contractor's business associate agreements with Contractor's intended business associates, who will be receiving PHI

New Hampshire Department of Health and Human Services



Exhibit I

pursuant to this Agreement, with rights of enforcement and indemnification from such business associates who shall be governed by standard Paragraph #13 of the standard contract provisions (P-37) of this Agreement for the purpose of use and disclosure of protected health information.

- f. Within five (5) business days of receipt of a written request from Covered Entity, Business Associate shall make available during normal business hours at its offices all records, books, agreements, policies and procedures relating to the use and disclosure of PHI to the Covered Entity, for purposes of enabling Covered Entity to determine Business Associate's compliance with the terms of the Agreement.
- g. Within ten (10) business days of receiving a written request from Covered Entity, Business Associate shall provide access to PHI in a Designated Record Set to the Covered Entity, or as directed by Covered Entity, to an individual in order to meet the requirements under 45 CFR Section 164.524.
- h. Within ten (10) business days of receiving a written request from Covered Entity for an amendment of PHI or a record about an individual contained in a Designated Record Set, the Business Associate shall make such PHI available to Covered Entity for amendment and incorporate any such amendment to enable Covered Entity to fulfill its obligations under 45 CFR Section 164.526.
- i. Business Associate shall document such disclosures of PHI and information related to such disclosures as would be required for Covered Entity to respond to a request by an individual for an accounting of disclosures of PHI in accordance with 45 CFR Section 164.528.
- j. Within ten (10) business days of receiving a written request from Covered Entity for a request for an accounting of disclosures of PHI, Business Associate shall make available to Covered Entity such information as Covered Entity may require to fulfill its obligations to provide an accounting of disclosures with respect to PHI in accordance with 45 CFR Section 164.528.
- k. In the event any individual requests access to, amendment of, or accounting of PHI directly from the Business Associate, the Business Associate shall within two (2) business days forward such request to Covered Entity. Covered Entity shall have the responsibility of responding to forwarded requests. However, if forwarding the individual's request to Covered Entity would cause Covered Entity or the Business Associate to violate HIPAA and the Privacy and Security Rule, the Business Associate shall instead respond to the individual's request as required by such law and notify Covered Entity of such response as soon as practicable.
- l. Within ten (10) business days of termination of the Agreement, for any reason, the Business Associate shall return or destroy, as specified by Covered Entity, all PHI received from, or created or received by the Business Associate in connection with the Agreement, and shall not retain any copies or back-up tapes of such PHI. If return or destruction is not feasible, or the disposition of the PHI has been otherwise agreed to in the Agreement, Business Associate shall continue to extend the protections of the Agreement, to such PHI and limit further uses and disclosures of such PHI to those purposes that make the return or destruction infeasible, for so long as Business

New Hampshire Department of Health and Human Services



Exhibit I

Associate maintains such PHI. If Covered Entity, in its sole discretion, requires that the Business Associate destroy any or all PHI, the Business Associate shall certify to Covered Entity that the PHI has been destroyed.

(4) Obligations of Covered Entity

- a. Covered Entity shall notify Business Associate of any changes or limitation(s) in its Notice of Privacy Practices provided to individuals in accordance with 45 CFR Section 164.520, to the extent that such change or limitation may affect Business Associate's use or disclosure of PHI.
- b. Covered Entity shall promptly notify Business Associate of any changes in, or revocation of permission provided to Covered Entity by individuals whose PHI may be used or disclosed by Business Associate under this Agreement, pursuant to 45 CFR Section 164.506 or 45 CFR Section 164.508.
- c. Covered Entity shall promptly notify Business Associate of any restrictions on the use or disclosure of PHI that Covered Entity has agreed to in accordance with 45 CFR 164.522, to the extent that such restriction may affect Business Associate's use or disclosure of PHI.

(5) Termination for Cause

In addition to Paragraph 10 of the standard terms and conditions (P-37) of this Agreement the Covered Entity may immediately terminate the Agreement upon Covered Entity's knowledge of a breach by Business Associate of the Business Associate Agreement set forth herein as Exhibit I. The Covered Entity may either immediately terminate the Agreement or provide an opportunity for Business Associate to cure the alleged breach within a timeframe specified by Covered Entity. If Covered Entity determines that neither termination nor cure is feasible, Covered Entity shall report the violation to the Secretary.

(6) Miscellaneous

- a. Definitions and Regulatory References. All terms used, but not otherwise defined herein, shall have the same meaning as those terms in the Privacy and Security Rule, amended from time to time. A reference in the Agreement, as amended to include this Exhibit I, to a Section in the Privacy and Security Rule means the Section as in effect or as amended.
- b. Amendment. Covered Entity and Business Associate agree to take such action as is necessary to amend the Agreement, from time to time as is necessary for Covered Entity to comply with the changes in the requirements of HIPAA, the Privacy and Security Rule, and applicable federal and state law.
- c. Date Ownership. The Business Associate acknowledges that it has no ownership rights with respect to the PHI provided by or created on behalf of Covered Entity.
- d. Interpretation. The parties agree that any ambiguity in the Agreement shall be resolved to permit Covered Entity to comply with HIPAA, the Privacy and Security Rule.

3/2014

Contractor Initials LBS

Date 8/3/2020



New Hampshire Department of Health and Human Services

Exhibit I

- e. **Severability.** If any term or condition of this Exhibit I or the application thereof to any person(s) or circumstance is held invalid, such invalidity shall not effect other terms or conditions which can be given effect without the invalid term or condition; to this end the terms and conditions of this Exhibit I are declared severable.
- f. **Survival.** Provisions in this Exhibit I regarding the use and disclosure of PHI, return or destruction of PHI, extensions of the protections of the Agreement in section (3) I, the defense and indemnification provisions of section (3) e and Paragraph 13 of the standard terms and conditions (P-37), shall survive the termination of the Agreement.

IN WITNESS WHEREOF, the parties hereto have duly executed this Exhibit I.

Department of Health and Human Services
The State

Katja S. Fox
Signature of Authorized Representative

Katja S. Fox
Name of Authorized Representative

Director
Title of Authorized Representative

8/5/2020
Date

Growth Partners LLC
Name of the Contractor

Laurie Berger Sutter
Signature of Authorized Representative

Laurie Berger Sutter
Name of Authorized Representative

Chief Executive Officer
Title of Authorized Representative

8/3/2020
Date

New Hampshire Department of Health and Human Services



Exhibit J

Exhibit J is not applicable to this Agreement.

Remainder of page intentionally left blank.

Contractor Initials LB
Date 8/3/2020

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



A. Definitions

The following terms may be reflected and have the described meaning in this document:

1. "Breach" means the loss of control, compromise, unauthorized disclosure, unauthorized acquisition, unauthorized access, or any similar term referring to situations where persons other than authorized users and for an other than authorized purpose have access or potential access to personally identifiable information, whether physical or electronic. With regard to Protected Health Information, "Breach" shall have the same meaning as the term "Breach" in section 164.402 of Title 45, Code of Federal Regulations.
2. "Computer Security Incident" shall have the same meaning "Computer Security Incident" in section two (2) of NIST Publication 800-61, Computer Security Incident Handling Guide, National Institute of Standards and Technology, U.S. Department of Commerce.
3. "Confidential Information" or "Confidential Data" means all confidential information disclosed by one party to the other such as all medical, health, financial, public assistance benefits and personal information including without limitation, Substance Abuse Treatment Records, Case Records, Protected Health Information and Personally Identifiable Information.

Confidential Information also includes any and all information owned or managed by the State of NH - created, received from or on behalf of the Department of Health and Human Services (DHHS) or accessed in the course of performing contracted services - of which collection, disclosure, protection, and disposition is governed by state or federal law or regulation. This information includes, but is not limited to Protected Health Information (PHI), Personal Information (PI), Personal Financial Information (PFI), Federal Tax Information (FTI), Social Security Numbers (SSN), Payment Card Industry (PCI), and or other sensitive and confidential information.

4. "End User" means any person or entity (e.g., contractor, contractor's employee, business associate, subcontractor, other downstream user, etc.) that receives DHHS data or derivative data in accordance with the terms of this Contract.
5. "HIPAA" means the Health Insurance Portability and Accountability Act of 1996 and the regulations promulgated thereunder.
6. "Incident" means an act that potentially violates an explicit or implied security policy, which includes attempts (either failed or successful) to gain unauthorized access to a system or its data, unwanted disruption or denial of service, the unauthorized use of a system for the processing or storage of data; and changes to system hardware, firmware, or software characteristics without the owner's knowledge, instruction, or consent. Incidents include the loss of data through theft or device misplacement, loss or misplacement of hardcopy documents, and misrouting of physical or electronic

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



mail, all of which may have the potential to put the data at risk of unauthorized access, use, disclosure, modification or destruction:

7. "Open Wireless Network" means any network or segment of a network that is not designated by the State of New Hampshire's Department of Information Technology or delegate as a protected network (designed, tested, and approved, by means of the State, to transmit) will be considered an open network and not adequately secure for the transmission of unencrypted PI, PFI, PHI or confidential DHHS data.
8. "Personal Information" (or "PI") means information which can be used to distinguish or trace an individual's identity, such as their name, social security number, personal information as defined in New Hampshire RSA 359-C:19, biometric records, etc., alone, or when combined with other personal or identifying information which is linked or linkable to a specific individual, such as date and place of birth, mother's maiden name, etc.
9. "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 C.F.R. Parts 160 and 164, promulgated under HIPAA by the United States Department of Health and Human Services.
10. "Protected Health Information" (or "PHI") has the same meaning as provided in the definition of "Protected Health Information" in the HIPAA Privacy Rule at 45 C.F.R. § 160.103.
11. "Security Rule" shall mean the Security Standards for the Protection of Electronic Protected Health Information at 45 C.F.R. Part 164, Subpart C, and amendments thereto.
12. "Unsecured Protected Health Information" means Protected Health Information that is not secured by a technology standard that renders Protected Health Information unusable, unreadable, or indecipherable to unauthorized individuals and is developed or endorsed by a standards developing organization that is accredited by the American National Standards Institute.

I. RESPONSIBILITIES OF DHHS AND THE CONTRACTOR

A. Business Use and Disclosure of Confidential Information.

1. The Contractor must not use, disclose, maintain or transmit Confidential Information except as reasonably necessary as outlined under this Contract. Further, Contractor, including but not limited to all its directors, officers, employees and agents, must not use, disclose, maintain or transmit PHI in any manner that would constitute a violation of the Privacy and Security Rule.
2. The Contractor must not disclose any Confidential Information in response to a

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



request for disclosure on the basis that it is required by law, in response to a subpoena, etc., without first notifying DHHS so that DHHS has an opportunity to consent or object to the disclosure.

3. If DHHS notifies the Contractor that DHHS has agreed to be bound by additional restrictions over and above those uses or disclosures or security safeguards of PHI pursuant to the Privacy and Security Rule, the Contractor must be bound by such additional restrictions and must not disclose PHI in violation of such additional restrictions and must abide by any additional security safeguards.
4. The Contractor agrees that DHHS Data or derivative there from disclosed to an End User must only be used pursuant to the terms of this Contract.
5. The Contractor agrees DHHS Data obtained under this Contract may not be used for any other purposes that are not indicated in this Contract.
6. The Contractor agrees to grant access to the data to the authorized representatives of DHHS for the purpose of inspecting to confirm compliance with the terms of this Contract.

II. METHODS OF SECURE TRANSMISSION OF DATA

1. Application Encryption. If End User is transmitting DHHS data containing Confidential Data between applications, the Contractor attests the applications have been evaluated by an expert knowledgeable in cyber security and that said application's encryption capabilities ensure secure transmission via the Internet.
2. Computer Disks and Portable Storage Devices. End User may not use computer disks or portable storage devices, such as a thumb drive, as a method of transmitting DHHS data.
3. Encrypted Email. End User may only employ email to transmit Confidential Data if email is encrypted and being sent to and being received by email addresses of persons authorized to receive such information.
4. Encrypted Web Site. If End User is employing the Web to transmit Confidential Data, the secure socket layers (SSL) must be used and the web site must be secure. SSL encrypts data transmitted via a Web site.
5. File Hosting Services, also known as File Sharing Sites. End User may not use file hosting services, such as Dropbox or Google Cloud Storage, to transmit Confidential Data.
6. Ground Mail Service. End User may only transmit Confidential Data via certified ground mail within the continental U.S. and when sent to a named individual.
7. Laptops and PDA. If End User is employing portable devices to transmit Confidential Data said devices must be encrypted and password-protected.
8. Open Wireless Networks. End User may not transmit Confidential Data via an open

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



wireless network. End User must employ a virtual private network (VPN) when remotely transmitting via an open wireless network.

9. Remote User Communication. If End User is employing remote communication to access or transmit Confidential Data, a virtual private network (VPN) must be installed on the End User's mobile device(s) or laptop from which information will be transmitted or accessed.
10. SSH File Transfer Protocol (SFTP), also known as Secure File Transfer Protocol. If End User is employing an SFTP to transmit Confidential Data, End User will structure the Folder and access privileges to prevent inappropriate disclosure of information. SFTP folders and sub-folders used for transmitting Confidential Data will be coded for 24-hour auto-deletion cycle (i.e. Confidential Data will be deleted every 24 hours).
11. Wireless Devices. If End User is transmitting Confidential Data via wireless devices, all data must be encrypted to prevent inappropriate disclosure of information.

III. RETENTION AND DISPOSITION OF IDENTIFIABLE RECORDS

The Contractor will only retain the data and any derivative of the data for the duration of this Contract. After such time, the Contractor will have 30 days to destroy the data and any derivative in whatever form it may exist, unless otherwise required by law or permitted under this Contract. To this end, the parties must:

A. Retention

1. The Contractor agrees it will not store, transfer or process data collected in connection with the services rendered under this Contract outside of the United States. This physical location requirement shall also apply in the implementation of cloud computing, cloud service or cloud storage capabilities, and includes backup data and Disaster Recovery locations.
2. The Contractor agrees to ensure proper security monitoring capabilities are in place to detect potential security events that can impact State of NH systems and/or Department confidential information for contractor provided systems.
3. The Contractor agrees to provide security awareness and education for its End Users in support of protecting Department confidential information.
4. The Contractor agrees to retain all electronic and hard copies of Confidential Data in a secure location and identified in section IV. A.2
5. The Contractor agrees Confidential Data stored in a Cloud must be in a FedRAMP/HITECH compliant solution and comply with all applicable statutes and regulations regarding the privacy and security. All servers and devices must have currently-supported and hardened operating systems, the latest anti-viral, anti-hacker, anti-spam, anti-spyware, and anti-malware utilities. The environment, as a

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



whole, must have aggressive intrusion-detection and firewall protection.

6. The Contractor agrees to and ensures its complete cooperation with the State's Chief Information Officer in the detection of any security vulnerability of the hosting infrastructure.

B. Disposition

1. If the Contractor will maintain any Confidential Information on its systems (or its sub-contractor systems), the Contractor will maintain a documented process for securely disposing of such data upon request or contract termination; and will obtain written certification for any State of New Hampshire data destroyed by the Contractor or any subcontractors as a part of ongoing, emergency, and/or disaster recovery operations. When no longer in use, electronic media containing State of New Hampshire data shall be rendered unrecoverable via a secure wipe program in accordance with industry-accepted standards for secure deletion and media sanitization, or otherwise physically destroying the media (for example, degaussing) as described in NIST Special Publication 800-88, Rev. 1, Guidelines for Media Sanitization, National Institute of Standards and Technology, U. S. Department of Commerce. The Contractor will document and certify in writing at time of the data destruction; and will provide written certification to the Department upon request. The written certification will include all details necessary to demonstrate data has been properly destroyed and validated. Where applicable, regulatory and professional standards for retention requirements will be jointly evaluated by the State and Contractor prior to destruction.
2. Unless otherwise specified, within thirty (30) days of the termination of this Contract, Contractor agrees to destroy all hard copies of Confidential Data using a secure method such as shredding.
3. Unless otherwise specified, within thirty (30) days of the termination of this Contract, Contractor agrees to completely destroy all electronic Confidential Data by means of data erasure, also known as secure data wiping.

IV. PROCEDURES FOR SECURITY

- A. Contractor agrees to safeguard the DHHS Data received under this Contract, and any derivative data or files, as follows:
 1. The Contractor will maintain proper security controls to protect Department confidential information collected, processed, managed, and/or stored in the delivery of contracted services.
 2. The Contractor will maintain policies and procedures to protect Department confidential information throughout the information lifecycle, where applicable, (from creation, transformation, use, storage and secure destruction) regardless of the media used to store the data (i.e., tape, disk, paper, etc.).

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



3. The Contractor will maintain appropriate authentication and access controls to contractor systems that collect, transmit, or store Department confidential information where applicable.
4. The Contractor will ensure proper security monitoring capabilities are in place to detect potential security events that can impact State of NH systems and/or Department confidential information for contractor provided systems.
5. The Contractor will provide regular security awareness and education for its End Users in support of protecting Department confidential information.
6. If the Contractor will be sub-contracting any core functions of the engagement supporting the services for State of New Hampshire, the Contractor will maintain a program of an internal process or processes that defines specific security expectations, and monitoring compliance to security requirements that at a minimum match those for the Contractor, including breach notification requirements.
7. The Contractor will work with the Department to sign and comply with all applicable State of New Hampshire and Department system access and authorization policies and procedures, systems access forms, and computer use agreements as part of obtaining and maintaining access to any Department system(s). Agreements will be completed and signed by the Contractor and any applicable sub-contractors prior to system access being authorized.
8. If the Department determines the Contractor is a Business Associate pursuant to 45 CFR 160.103, the Contractor will execute a HIPAA Business Associate Agreement (BAA) with the Department and is responsible for maintaining compliance with the agreement.
9. The Contractor will work with the Department at its request to complete a System Management Survey. The purpose of the survey is to enable the Department and Contractor to monitor for any changes in risks, threats, and vulnerabilities that may occur over the life of the Contractor engagement. The survey will be completed annually, or an alternate time frame at the Department's discretion with agreement by the Contractor, or the Department may request the survey be completed when the scope of the engagement between the Department and the Contractor changes.
10. The Contractor will not store, knowingly or unknowingly, any State of New Hampshire or Department data offshore or outside the boundaries of the United States unless prior express written consent is obtained from the Information Security Office leadership member within the Department.
11. Data Security Breach Liability. In the event of any security breach Contractor shall make efforts to investigate the causes of the breach, promptly take measures to prevent future breach and minimize any damage or loss resulting from the breach. The State shall recover from the Contractor all costs of response and recovery from

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



the breach, including but not limited to: credit monitoring services, mailing costs and costs associated with website and telephone call center services necessary due to the breach.

12. Contractor must comply with all applicable statutes and regulations regarding the privacy and security of Confidential Information, and must in all other respects maintain the privacy and security of PI and PHI at a level and scope that is not less than the level and scope of requirements applicable to federal agencies, including, but not limited to, provisions of the Privacy Act of 1974 (5 U.S.C. § 552a), DHHS Privacy Act Regulations (45 C.F.R. §5b), HIPAA Privacy and Security Rules (45 C.F.R. Parts 160 and 164) that govern protections for individually identifiable health information and as applicable under State law.
13. Contractor agrees to establish and maintain appropriate administrative, technical, and physical safeguards to protect the confidentiality of the Confidential Data and to prevent unauthorized use or access to it. The safeguards must provide a level and scope of security that is not less than the level and scope of security requirements established by the State of New Hampshire, Department of Information Technology. Refer to Vendor Resources/Procurement at <https://www.nh.gov/doi/vendor/index.htm> for the Department of Information Technology policies, guidelines, standards, and procurement information relating to vendors.
14. Contractor agrees to maintain a documented breach notification and incident response process. The Contractor will notify the State's Privacy Officer and the State's Security Officer of any security breach immediately, at the email addresses provided in Section VI. This includes a confidential information breach, computer security incident, or suspected breach which affects or includes any State of New Hampshire systems that connect to the State of New Hampshire network.
15. Contractor must restrict access to the Confidential Data obtained under this Contract to only those authorized End Users who need such DHHS Data to perform their official duties in connection with purposes identified in this Contract.
16. The Contractor must ensure that all End Users:
 - a. comply with such safeguards as referenced in Section IV A. above, implemented to protect Confidential Information that is furnished by DHHS under this Contract from loss, theft or inadvertent disclosure.
 - b. safeguard this information at all times.
 - c. ensure that laptops and other electronic devices/media containing PHI, PI, or PFI are encrypted and password-protected.
 - d. send emails containing Confidential Information only if encrypted and being sent to and being received by email addresses of persons authorized to receive such information.

LBS

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



- e. limit disclosure of the Confidential Information to the extent permitted by law.
- f. Confidential Information received under this Contract and individually identifiable data derived from DHHS Data, must be stored in an area that is physically and technologically secure from access by unauthorized persons during duty hours as well as non-duty hours (e.g., door locks, card keys, biometric identifiers, etc.).
- g. only authorized End Users may transmit the Confidential Data, including any derivative files containing personally identifiable information, and in all cases, such data must be encrypted at all times when in transit, at rest, or when stored on portable media as required in section IV above.
- h. in all other instances Confidential Data must be maintained, used and disclosed using appropriate safeguards, as determined by a risk-based assessment of the circumstances involved.
- i. understand that their user credentials (user name and password) must not be shared with anyone. End Users will keep their credential information secure. This applies to credentials used to access the site directly or indirectly through a third party application.

Contractor is responsible for oversight and compliance of their End Users. DHHS reserves the right to conduct onsite inspections to monitor compliance with this Contract, including the privacy and security requirements provided in herein, HIPAA, and other applicable laws and Federal regulations until such time the Confidential Data is disposed of in accordance with this Contract.

V. LOSS REPORTING

The Contractor must notify the State's Privacy Officer and Security Officer of any Security Incidents and Breaches immediately, at the email addresses provided in Section VI.

The Contractor must further handle and report Incidents and Breaches involving PHI in accordance with the agency's documented Incident Handling and Breach Notification procedures and in accordance with 42 C.F.R. §§ 431.300 - 306. In addition to, and notwithstanding, Contractor's compliance with all applicable obligations and procedures, Contractor's procedures must also address how the Contractor will:

1. Identify Incidents;
2. Determine if personally identifiable information is involved in Incidents;
3. Report suspected or confirmed Incidents as required in this Exhibit or P-37;
4. Identify and convene a core response group to determine the risk level of Incidents and determine risk-based responses to Incidents; and

New Hampshire Department of Health and Human Services

Exhibit K

DHHS Information Security Requirements



5. Determine whether Breach notification is required, and, if so, identify appropriate Breach notification methods, timing, source, and contents from among different options, and bear costs associated with the Breach notice as well as any mitigation measures.

Incidents and/or Breaches that implicate PI must be addressed and reported, as applicable, in accordance with NH RSA 359-C:20.

VI. PERSONS TO CONTACT

A. DHHS Privacy Officer:

DHHSPrivacyOfficer@dhhs.nh.gov

B. DHHS Security Officer:

DHHSInformationSecurityOffice@dhhs.nh.gov